

# Measurable cardinals and the Cofinality of the Symmetric Group

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## Abstract

Assuming the existence of a hypermeasurable cardinal, we construct a model of Set Theory with a measurable cardinal  $\kappa$  such that  $2^\kappa = \kappa^{++}$  and the group  $Sym(\kappa)$  of all permutations of  $\kappa$  cannot be written as a union of a chain of proper subgroups of length  $< \kappa^{++}$ . The proof involves the iteration of a suitably defined uncountable version of the Miller forcing poset as well as the “tuning fork” argument introduced by the first author and K. Thompson in [9].

## 1 Introduction

A deep theorem of Macpherson and Neumann [13] states that if the symmetric group  $Sym(\kappa)$  consisting of all permutations of a cardinal  $\kappa$  can be written as a union of an increasing chain  $\langle G_i : i < \lambda \rangle$  of proper subgroups  $G_i$ , then  $\lambda > \kappa$ . Throughout this paper the minimal  $\lambda$  with this property will be denoted by  $cf(Sym(\kappa))$ . It was proven in [18] that for  $\kappa = \kappa^{<\kappa}$  the pair  $(cf(Sym(\kappa)), 2^\kappa)$  can be anything not obviously wrong. More precisely, for every regular  $\lambda > \kappa$  and  $\theta$  such that  $cf(\theta) \geq \lambda$ , there exist a cardinal preserving forcing extension  $V^P$  such that  $cf(Sym(\kappa)) = \lambda$  and  $2^\kappa = \theta$  in  $V^P$ . Moreover, for inaccessible  $\kappa$  we can assume [14, § 1] that  $P$  is  $\kappa$ -directed closed. Therefore if  $\kappa$  is supercompact, then it remains so in  $V^P$ . The main result of this paper states that consistency of  $cf(Sym(\kappa)) > \kappa^+$  at a measurable  $\kappa$  can be obtained assuming much less than supercompactness.

**Theorem 1.** *Suppose GCH holds and there exists an elementary embedding  $j : V \rightarrow M$  such that  $\text{crit}(j) = \kappa$  and  $(H(\kappa^{++}))^V = (H(\kappa^{++}))^M$ . Then there exists a forcing extension  $V'$  of  $V$  such that  $\kappa$  is still measurable in  $V'$  and  $V' \models cf(Sym(\kappa)) = \kappa^{++}$ .*

To the best knowledge of the authors,  $cf(Sym(\kappa)) = \kappa^+$  for measurable  $\kappa$  in all other known models of Set Theory constructed under assumptions weaker than (a certain degree of) supercompactness; see Remark 1 for a more detailed discussion.

The idea of the proof of Theorem 1 resembles that of the consistency of  $\mathfrak{u} < cf(Sym(\omega))$  established in [20]. In particular, in section 2 we introduce a variant of Miller forcing and a (slightly more general than in [11]) variant of Sacks forcing at an inaccessible cardinal  $\kappa$ .

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According to Theorem 10, iterated forcing constructions where at each stage we take any of these forcing notions do not collapse  $\kappa^+$ . In section 3 we introduce a new cardinal characteristic  $\mathfrak{g}_{cl}(\kappa)$ , which is a version for  $\kappa$  of the classical groupwise density number  $\mathfrak{g}$ . Section 4 is devoted to the proof of the fact that suitably arranged iterated forcing constructions considered in section 2 of length  $\kappa^{++}$  make  $\text{cf}(Sym(\kappa))$  equal to  $\kappa^{++}$ . More precisely, the Miller forcing is responsible for  $\text{cf}^*(Sym(\kappa)) = \kappa^{++}$ , while the Sacks forcing makes  $\text{cf}(Sym(\kappa))$  and  $\text{cf}^*(Sym(\kappa))$  equal. (Here  $\text{cf}^*(Sym(\kappa))$  is the minimal length of a special chain of proper subgroups of  $Sym(\kappa)$  introduced in Definition 19.) And finally, in section 5 we show how to extend elementary embeddings to forcing extensions considered in section 2, and thus prove Theorem 1. The idea of the proof in section 5 can be traced back to the work [9], where the “tuning fork” argument was introduced.

## 2 A variant of Miller forcing for uncountable cardinals. Basic properties. Alternation with Sacks.

In this section we suggest one of the possible ways to generalize the Miller forcing introduced in [15] to uncountable cardinals and study some basic properties of iterated forcing constructions, where at each stage we take either the Miller or Sacks forcing poset. The discussion is patterned after Kanamori [11]. It is worth mentioning here that there are other generalizations of Miller forcing, see e.g. [19].

Throughout this section  $\kappa$  denotes a strongly inaccessible cardinal.

**Definition 2.** Let  $p \subset \kappa^{<\kappa}$ . For  $s \in p$  we denote by  $C(p, s)$  (or simply by  $C(s)$  if  $p$  is clear from the context) the set  $\{\alpha \in \kappa : s \hat{\ } \alpha \in p\}$ .

Miller( $\kappa$ ) denotes the following forcing. A condition is a subset  $p$  of  $\kappa^{<\kappa}$  such that

- (i)  $s \in p, t \subset s \longrightarrow t \in p$ .
- (ii) Each  $s \in p$  is increasing and has a proper extension in  $p$ .
- (iii) For every  $\alpha < \kappa$  limit,  $s \in \kappa^\alpha$ , if  $s \upharpoonright \beta \in p$  for arbitrary large  $\beta < \alpha$ , then  $s \in p$ .
- (iv) For every  $s \in p$  there is  $t \in p$  with  $s \subset t$  which splits in  $p$  (i.e.,  $C(p, t)$  has more than one element).
- (v) If  $s \in p$  splits in  $p$ , then the set  $C(p, s)$  is club.
- (vi) If  $\alpha$  is a limit ordinal,  $s \in \kappa^\alpha$ , and  $s \upharpoonright \beta$  splits in  $p$  for arbitrary large  $\beta < \alpha$ , then  $s$  splits in  $p$  and  $C(s)$  is the intersection of  $C(s \upharpoonright \beta)$  for all  $\beta$  such that  $s \upharpoonright \beta$  splits in  $p$ .

We order Miller( $\kappa$ ) by declaring  $p$  to be stronger than  $q$  (and write  $p \leq q$ ) iff  $p \subset q$ . □

It is clear that Miller( $\kappa$ ) is  $\kappa$ -closed. For every subtree  $p$  of  $\kappa^{<\kappa}$  we denote by Split( $p$ ) the family of all  $s \in p$  which split in  $p$ . Given  $s \in \kappa^{<\kappa}$ ,  $\ell(s)$  denotes the length of  $s$ , i.e. the (unique)  $\alpha$  such that  $s \in \kappa^\alpha$ . If  $p \in \text{Miller}(\kappa)$  and  $\alpha \in \kappa$ , then we denote by Split $_\alpha(p)$  the set

$$\{s \in p : \text{o.t.}(\{t \not\subseteq s : t \in \text{Split}(p)\}) \leq \alpha, \forall t \not\subseteq s (\text{o.t.}(s \upharpoonright \ell(t)) \cap C(p, t)) \leq \alpha\}.$$

In what follows we shall heavily apply *fusion argument* to Miller( $\kappa$ ) as well as to the Sacks forcing.

**Definition 3.** For  $q \leq p \in \text{Miller}(\kappa)$  and  $\alpha \in \kappa$  the notation  $q \leq_\alpha p$  means that Split $_\alpha(p) = \text{Split}_\alpha(q)$ . A sequence  $\langle p_\alpha : \alpha \in \kappa \rangle$ , where  $p_\alpha \in \text{Miller}(\kappa)$ , is called a *fusion sequence*, if

- (i) If  $\beta \leq \alpha$ , then  $p_\alpha \leq p_\beta$ .

- (ii)  $p_{\alpha+1} \leq_\alpha p_\alpha$ .
- (iii)  $p_\delta = \bigcap_{\alpha < \delta} p_\alpha$  for limit  $\delta \in \kappa$ . □

The following lemma is straightforward.

**Lemma 4.** *Let  $\langle p_\alpha : \alpha \in \kappa \rangle$  be a fusion sequence. Then  $q = \bigcap_{\alpha \in \kappa} p_\alpha \in \text{Miller}(\kappa)$  and  $q \leq_\alpha p_\alpha$  for all  $\alpha \in \kappa$ .*

Next, we recall the definition of the Sacks forcing for uncountable cardinals.

**Definition 5.** Let us fix a sequence  $\vec{A} = \langle A_\alpha : \alpha < \kappa \rangle$  such that  $|A_\alpha| < \kappa$  for all  $\alpha$ . Let  $\mathcal{T}$  be the set of all functions  $t$  which satisfy the following conditions.

- (i) There exists  $\alpha$  such that the domain of  $t$  equals  $\alpha$ .
- (ii) For all  $\beta \in \text{dom}(t)$ ,  $t(\beta) \in A_\beta$ .

$\text{Sacks}(\vec{A})$  stands for the forcing whose conditions are subsets  $T$  of  $\mathcal{T}$  such that:

- (1)  $s \in T, t \subset s \rightarrow t \in T$ .
- (2) Each  $t$  has a proper extension in  $T$ .
- (3) If  $t \in T$  and the set of such  $\beta$  that  $t \upharpoonright \beta \in T$  is unbounded in  $\ell(t)$ , then  $t \in T$ .
- (4) There exists a club  $C(T)$  such that the set  $\text{succ}_T(t)$  of immediate successors of an element  $t \in T$  with domain  $\alpha$  coincides with  $\{t \hat{\ } a : a \in A_\alpha\}$  provided  $\alpha \in C(T)$ , and  $|\text{succ}_T(t)| = 1$  otherwise.

Extension is defined by  $S \leq T$  iff  $S$  is a subset of  $T$ . □

When each  $A_\alpha$  is  $\{0, 1\}$  we get the usual Sacks forcing considered in [6, 9, 11]. Some other sequences  $\vec{A}$  are employed in [7]. Yet another sequence will be used in Section 4. But the basic properties (e.g. chain condition, fusion) of  $\text{Sacks}(\vec{A})$  does not really depend on  $\vec{A}$ .

Given any  $T \in \text{Sacks}(\vec{A})$  and  $i \in \kappa$ , we denote by  $\text{Split}_i(T)$  the set  $\{t \in T : (\exists j \leq i)\ell(t) = \alpha_j\}$ , where  $\langle \alpha_i : i \in \kappa \rangle$  is the increasing enumeration of  $C(T)$ . Now the notions of  $\leq_\alpha$  and of a fusion sequence can be introduced for  $\text{Sacks}(\vec{A})$  in the same way as for  $\text{Miller}(\kappa)$ .

If  $\gamma$  is an ordinal and  $S^0, S^1$  are disjoint subsets of  $\gamma$  such that  $S^0 \cup S^1 = \gamma$ , then we denote by  $ST_{S^0, S^1, \vec{A}}$  the forcing poset  $\mathbb{P}_\gamma$  from the iterated forcing construction  $\langle \mathbb{P}_\xi, \mathbb{Q}_\eta : \xi \leq \gamma, \eta < \gamma \rangle$  with supports of size  $\leq \kappa$  defined as follows:

$$\{\eta < \gamma : \mathbb{P}_\eta \Vdash \dot{\mathbb{Q}}_\eta = \text{Miller}(\kappa)\} = S^0 \text{ and } \{\eta < \gamma : \mathbb{P}_\eta \Vdash \dot{\mathbb{Q}}_\eta = \text{Sacks}(\vec{A})\} = S^1.$$

**Definition 6.** Suppose that  $\alpha \leq \kappa$  and  $\langle p_\beta : \beta \in \alpha \rangle$  is a decreasing sequence of elements of  $ST_{S^0, S^1, \vec{A}}$ . The “meet”  $q = \bigwedge_{\beta \in \alpha} p_\beta \in ST_{S^0, S^1, \vec{A}}$  is defined as follows:  $\text{supp}(q) = \bigcup_{\beta \in \alpha} \text{supp}(p_\beta)$  and for every  $\xi \in \text{supp}(q)$ ,  $q \upharpoonright \xi \Vdash q(\xi) = \bigcap_{\beta \in \alpha} p_\beta(\xi)$ . (Note that in case  $\alpha = \kappa$  there could be no such  $q$ .) □

In order to prove that  $\kappa^+$  is preserved by  $ST_{S^0, S^1, \vec{A}}$  and  $\kappa^{++}$  is preserved for  $\gamma = \kappa^{++}$  we need to employ a suitable variant of fusion.

**Definition 7.** Suppose that  $\alpha \in \kappa$ ,  $F \in [\gamma]^{< \kappa}$ , and  $q, p \in ST_{S^0, S^1, \vec{A}}$ .  $q \leq_{F, \alpha} p$  means that  $q \leq p$  and  $q \upharpoonright \xi \Vdash q(\xi) \leq_\alpha p(\xi)$  for all  $\xi \in F$ .<sup>1</sup>

A sequence  $\langle (p_\alpha, F_\alpha) : \alpha \in \kappa \rangle$  is a *generalized fusion sequence* (for  $ST_{S^0, S^1, \vec{A}}$ ), iff

- (i)  $|F_\alpha| < \kappa$  for all  $\alpha \in \kappa$ .

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<sup>1</sup>The preorder  $\leq_\alpha$  here depends on whether  $\xi \in S^0$  or  $\xi \in S^1$ .

- (ii)  $F_\alpha \supset F_\beta$  for all  $\beta \leq \alpha < \kappa$ .
- (iii)  $p_{\alpha+1} \leq_{F_{\alpha,\alpha}} p_\alpha$  for all  $\alpha$ .
- (iv) If  $\delta$  is limit, then  $F_\delta = \bigcup_{\beta < \alpha} F_\beta$  and  $p_\delta = \bigwedge_{\alpha < \delta} p_\alpha$ .
- (v)  $\bigcup \{F_\alpha : \alpha \in \kappa\} = \bigcup \{\text{supp}(p_\alpha) : \alpha < \kappa\}$ . □

The easy but technical proof of the following lemma is left to the reader.

**Lemma 8.** *Let  $\langle (p_\alpha, F_\alpha) : \alpha \in \kappa \rangle$  be a generalized fusion sequence for  $ST_{S^0, S^1, \vec{A}}$ . Then  $q = \bigwedge_{\alpha < \kappa} p_\alpha \in ST_{S^0, S^1, \vec{A}}$  and  $q \leq_{F_{\alpha,\alpha}} p_\alpha$  for all  $\alpha \in \kappa$ .*

There is no loss of generality to assume that each  $A_\alpha$  is an element of  $\kappa$ .

**Definition 9.** Suppose that  $p \in ST_{S^0, S^1, \vec{A}}$ ,  $F \subset \text{supp}(p)$  with  $|F| < \kappa$ , and  $\sigma : F \rightarrow \kappa^{<\kappa}$ . Then  $p|\sigma$  is a function with the same domain as  $p$  such that  $(p|\sigma)(\xi)$  equals  $p(\xi)$  if  $\xi \notin F$  and  $p(\xi)_{\sigma(\xi)}$  otherwise, where for  $q \in \text{Miller}(\kappa) \cup \text{Sacks}(\vec{A})$  and  $t \in \kappa^{<\kappa}$   $q_t$  denotes the set of all elements of  $q$  compatible with  $t$ . □

It is clear that  $p|\sigma \in ST_{S^0, S^1, \vec{A}}$  if and only if for every  $\xi \in F$  we have  $(p|\sigma) \upharpoonright \xi \Vdash_\xi \sigma(\xi) \in p(\xi)$ . If  $p|\sigma \in ST_{S^0, S^1, \vec{A}}$ , then we say that  $\sigma$  lies on  $p$ .

**Theorem 10.** *For every ordinal  $\gamma$  and decomposition  $\gamma = S^0 \sqcup S^1$  the forcing  $ST_{S^0, S^1, \vec{A}}$  preserves cardinals  $\leq \kappa^+$ .*

*Suppose that  $2^\kappa = \kappa^+$  in  $V$ . If  $\gamma < \kappa^{++}$ , then there exists a dense subset  $W_\gamma \subset ST_{S^0, S^1, \vec{A}}$  of size  $|W_\gamma| \leq \kappa^+$ . If  $\gamma = \kappa^{++}$ , then  $ST_{S^0, S^1, \vec{A}}$  has the  $\kappa^{++}$ -chain condition.*

Similar results were discussed in [11] and [6] for the Sacks forcing. Nevertheless, we give complete proofs here. Our exposition follows [11]. The first part of Theorem 10 follows from the lemma below. The second part could be proved independently from the first one, i.e., without using fusion.

**Lemma 11.** (1) *Assume that  $p \in ST_{S^0, S^1, \vec{A}}$  and  $p \Vdash \dot{z} \in V$ . Then for every  $F \in [\gamma]^{<\kappa}$  and  $\alpha_0 \in \kappa$  there exists  $q \leq_{F, \alpha_0} p$  and  $x \in V$  with  $|x| \leq \kappa$  such that  $q \Vdash \dot{z} \in x$ .*

(2) *Assume that  $p \in ST_{S^0, S^1, \vec{A}}$  and  $p \Vdash \text{“}\dot{z} \in V \text{ and } |\dot{z}| \leq \kappa\text{”}$ . Then for every  $F \in [\gamma]^{<\kappa}$  and  $\alpha_0 \in \kappa$  there exists  $q \leq_{F, \alpha_0} p$  and  $x \in V$  with  $|x| \leq \kappa$  such that  $q \Vdash \dot{z} \subset x$ .*

*Proof.* It is well-known how to obtain the second item from the first one, see [11, Theorem 2.3].

In order to prove the first item we shall inductively construct a generalized fusion sequence  $\langle (p_\alpha, F_\alpha) : \alpha \in \kappa \rangle$  with  $(p_\beta, F_\beta) = (p, F)$  for all  $\beta \leq \alpha_0$ , and  $x \in V$  of size  $|x| \leq \kappa$  such that  $q = \bigwedge_{\alpha \in \kappa} p_\alpha$  and  $x$  are as required. The trivial description of how to construct  $F_\alpha$ 's is omitted. The limit step of the construction is obvious, so we concentrate on the successor case.

Let us enumerate as  $\{\sigma_{\alpha,i} : i \in \eta\}$  all ground model functions  $\sigma : F_\alpha \rightarrow \kappa^{\alpha+1}$  which lie on some  $r \leq p_\alpha$  so that  $r \upharpoonright \xi \Vdash \sigma(\xi) \upharpoonright \alpha \in \max \text{Split}_\alpha(p_\alpha(\xi))$  for all  $\xi \in F_\alpha$ , and  $\sigma(\xi)(\alpha) = \alpha$  for all  $\xi \in F \cap S^0$ . (Here  $\eta < \kappa$  is a cardinal.) We shall construct a sequence  $\langle p_{\alpha,i} : i \in \eta \rangle$  as follows. Set  $p_{\alpha,-1} = p_\alpha$  and suppose that we have already constructed a decreasing sequence  $\langle p_{\alpha,j} : j < i \rangle$  such that  $p_{\alpha,j} \leq_{F_{\alpha,\alpha}} p_{\alpha,k}$  for all  $k \leq j < i$ . If  $i$  is limit, we set  $p_{\alpha,i} = \bigwedge_{j < i} p_{\alpha,j}$ . Suppose that  $i = j + 1$ . If there is no  $r \leq p_{\alpha,j}$  such that  $r = r|\sigma_{\alpha,j}$  and  $r \upharpoonright \xi \Vdash \sigma(\xi) \upharpoonright \alpha \in \max \text{Split}_\alpha(p_\alpha(\xi))$  for all  $\xi \in F_\alpha$ , we set  $p_{\alpha,i} = p_{\alpha,j}$ . And if there is such  $r$ , let  $r_{\alpha,j} \leq r$  and  $x_{\alpha,j} \in V$  be such that  $r_{\alpha,j} \Vdash \dot{z} = x_{\alpha,j}$ . Now, using the Maximal Principle we define  $p_{\alpha,j+1}$  to be the amalgamation of  $p_{\alpha,j}$  and  $r_{\alpha,j}$  as in the proof of [11, Theorem 2.2]. More precisely,

- (a)  $\text{supp}(p_{\alpha,j+1}) = \text{supp}(r_{\alpha,j})$ .  
(b) If  $\xi \in F_\alpha$ , then  $p_{\alpha,j+1}(\xi)$  is such that

$$r_{\alpha,j} \upharpoonright \xi \Vdash p_{\alpha,j+1}(\xi) = (p_{\alpha,j}(\xi) \setminus p_{\alpha,j}(\xi)_{\sigma_{\alpha,j}(\xi)}) \cup r_{\alpha,j}(\xi),$$

and for any condition  $c \leq p_{\alpha,j+1} \upharpoonright \xi$  incompatible with  $r_{\alpha,j} \upharpoonright \xi$ ,

$$c \Vdash_\xi p_{\alpha,j+1}(\xi) = p_{\alpha,j}(\xi).$$

- (c) if  $\xi \notin F_\alpha$ , then  $p_{\alpha,j+1}(\xi)$  is such that

$$r_{\alpha,j} \upharpoonright \xi \Vdash p_{\alpha,j+1}(\xi) = r_{\alpha,j}(\xi),$$

and for any condition  $c \leq p_{\alpha,j+1} \upharpoonright \xi$  incompatible with  $r_{\alpha,j} \upharpoonright \xi$ ,

$$c \Vdash_\xi p_{\alpha,j+1}(\xi) = p_{\alpha,j}(\xi).$$

Now we let  $p_{\alpha+1} = \bigwedge_{i \in \eta} p_{\alpha,i}$ . It follows that  $p_{\alpha+1} \leq_{F_\alpha, \alpha} p_\alpha$ . This completes our construction of  $\langle (p_\alpha, F_\alpha) : \alpha \in \kappa \rangle$ . Set  $x = \{x_{\alpha,i}\}$ .

**Claim 12.** *Suppose that  $r \leq q$ . Then there exists a sequence  $\langle r_\alpha : \alpha \in \kappa \rangle$  of elements of  $ST_{S^0, S^1, \bar{A}}$  with  $r_0 = r$ , a sequence  $\langle \sigma_\alpha : F_\alpha \rightarrow \kappa^{<\kappa} \mid \alpha < \kappa \rangle$ , and sequences  $\langle \mu_{\alpha,\xi}, \nu_{\alpha,\xi} : \alpha \in \kappa, \xi \in F_\alpha \rangle$  of ordinals less than  $\kappa$  such that*

- (i) *If  $\beta < \alpha$ , then  $r_\alpha \leq r_\beta$ .*
- (ii) *If  $\xi \in F_\alpha$ , then  $\ell(\sigma_\alpha(\xi)) = \mu_{\alpha,\xi} + 1$ .*
- (iii) *If  $\beta < \alpha$ , then  $\sigma_\beta(\xi) \subset \sigma_\alpha(\xi)$  for all  $\xi \in F_\beta$ .*
- (iv) *For every  $\xi \in F_{\alpha+1}$  we have  $r_{\alpha+1} \upharpoonright \xi \Vdash$  “ $r_{\alpha+1}(\xi) = r_{\alpha+1}(\xi)_{\sigma_{\alpha+1}(\xi)}$ ,  $\sigma_{\alpha+1}(\xi) \upharpoonright \mu_{\alpha+1,\xi}$  splits in  $r_\alpha(\xi)$ , and  $\sigma_{\alpha+1}(\xi) \upharpoonright \mu_{\alpha+1,\xi} \in \max \text{Split}_{\nu_{\alpha+1,\xi}}(q(\xi))$ ”.*
- (v) *If  $\delta$  is limit, then*

- $\sigma_\delta(\xi) \upharpoonright \mu_{\delta,\xi} = \bigcup_{\alpha < \delta} \sigma_\alpha(\xi)$ ;
- $\sigma_\delta(\xi)(\mu_{\delta,\xi}) = \sup\{\sigma_\alpha(\xi)(\mu_{\alpha,\xi}) : \alpha < \delta\}$  for all  $\xi \in F_\delta \cap S^0$   
*(We assume that  $\sigma_\alpha(\xi) = \emptyset$  for all  $\xi \notin F_\alpha$ );*
- $\nu_{\delta,\xi} = \sup_{\alpha < \delta} \nu_{\alpha,\xi}$  for all  $\xi \in F_\delta$ ;
- $r_\delta \upharpoonright \xi \Vdash$  “ $\sigma_\delta(\xi) \in r_\delta(\xi)$ ,  $\sigma_\delta(\xi) \upharpoonright \mu_{\delta,\xi}$  splits in  $r_\delta(\xi)$ ,  
and  $\sigma_\delta(\xi) \upharpoonright \mu_{\delta,\xi} \in \max \text{Split}_{\nu_{\delta,\xi}}(q(\xi))$ ” for all  $\xi \in F_\delta$ .

*Proof.* The construction proceeds by induction. For limit  $\delta$  we simply set  $\sigma_\delta(\xi)$  and  $\nu_{\delta,\xi}$  to be so as it is required in (v) and  $r_\delta = \bigwedge_{\alpha < \delta} r_\alpha$ . Thus  $\mu_{\delta,\xi} = \sup_{\alpha < \delta} \mu_{\alpha,\xi}$ . Let us fix any  $\alpha < \delta$  and  $\xi \in F_\alpha \cap S^0$ . From the above it follows that  $r_\delta \upharpoonright \xi \Vdash$  “ $\sigma_\beta(\xi) \upharpoonright \mu_{\beta,\xi}$  splits in  $r_\alpha(\xi)$  for all  $\alpha < \beta < \delta$ ”, and hence  $r_\delta \upharpoonright \xi \Vdash$  “ $\sigma_\delta(\xi) \upharpoonright \mu_{\delta,\xi}$  splits in  $r_\alpha(\xi)$ ”, and consequently  $r_\delta \upharpoonright \xi \Vdash$  “ $\sigma_\delta(\xi) \upharpoonright \mu_{\delta,\xi}$  splits in  $r_\delta(\xi) = \bigcap_{\beta < \delta} r_\beta(\xi)$ ”. By the definition of  $\text{Miller}(\kappa)$ ,  $r_\delta \upharpoonright \xi \Vdash C(r_\alpha(\xi), \sigma_\delta(\xi) \upharpoonright \mu_{\delta,\xi}) = \bigcap_{\alpha < \beta < \delta} C(r_\alpha(\xi), \sigma_\beta(\xi) \upharpoonright \mu_{\beta,\xi})$ , and hence  $r_\delta \upharpoonright \xi \Vdash \sigma_\delta(\xi)(\mu_{\delta,\xi}) = \sup_{\alpha < \beta < \delta} \sigma_\beta(\xi)(\mu_{\beta,\xi}) \in C(r_\alpha(\xi), \sigma_\delta(\xi) \upharpoonright \mu_{\delta,\xi})$ , which implies that  $r_\delta \upharpoonright \xi \Vdash \sigma_\delta(\xi)(\mu_{\delta,\xi}) \in C(r_\delta(\xi), \sigma_\delta(\xi) \upharpoonright \mu_{\delta,\xi}) = \bigcap_{\alpha < \xi} C(r_\alpha(\xi), \sigma_\delta(\xi) \upharpoonright \mu_{\delta,\xi})$ , which gives us that  $r_\delta \upharpoonright \xi \Vdash \sigma_\delta(\xi) \in r_\delta(\xi)$ . Finally, equalities  $\sigma_\delta(\xi) \upharpoonright \mu_{\delta,\xi} = \bigcup_{\alpha < \delta} \sigma_\alpha(\xi) \upharpoonright \mu_{\alpha,\xi}$  and  $\nu_{\delta,\xi} = \sup_{\alpha < \delta} \nu_{\alpha,\xi}$  combined with  $r_\delta \upharpoonright \xi \Vdash \sigma_\alpha(\xi) \upharpoonright \mu_{\alpha,\xi} \in$

$\max\text{Split}_{\nu_{\alpha,\xi}}(q(\xi))$  imply  $r_\delta \upharpoonright \xi \Vdash \sigma_\delta(\xi) \upharpoonright \mu_{\delta,\xi} \in \max\text{Split}_{\nu_{\delta,\xi}}(q(\xi))$ , which completes the limit step.

At successor step  $\alpha + 1$  consider the increasing enumeration  $\langle \xi_i : i < \eta \rangle$  of  $F_{\alpha+1}$  and find a decreasing sequence  $\langle u_i : i < \eta \rangle$  of elements of  $ST_{S^0, S^1, \vec{A}}$  as follows: Set  $u_i = \bigwedge_{j < i} u_j$  for limit  $i$ . Now given  $u_i$ , find  $v \leq u_i \upharpoonright \xi_i$ ,  $\pi \in \kappa^{\mu+1}$  for some  $\mu \in \kappa$ , and  $\nu \in \kappa$  such that  $\pi \supset \sigma_\alpha(\xi_i)$  if  $\xi_i \in F_\alpha$  and

$$v \Vdash_{\xi_i} \pi \in r_\alpha(\xi_i), \pi \upharpoonright \mu \in \max\text{Split}_\nu(q(\xi_i)) \bigcap \text{Split}(r_\alpha(\xi_i)).$$

Then we set

$$u_{i+1} = v \widehat{\ } r_\alpha(\xi_i) \pi \widehat{\ } r_\alpha \upharpoonright (\gamma \setminus (\xi_i + 1)),$$

$\sigma_{\alpha+1}(\xi_i) = \pi$ . ( $\mu_{\alpha+1,\xi_i}$  and  $\nu_{\alpha+1,\xi_i}$  automatically become equal to  $\mu$  and  $\nu$  respectively.) With  $u_i$ 's thus defined, we set  $r_{\alpha+1} = \bigwedge_{i < \eta} u_i$ . This completes the inductive construction, hence the proof of the claim.  $\square$

The following claim is obvious.

**Claim 13.** *There exists a club  $C \subset \kappa$  such that  $\mu_{\alpha,\xi} = \nu_{\alpha,\xi} = \alpha$  and  $\sigma_\alpha(\xi)(\mu_{\alpha,\xi}) = \alpha$  for every  $\alpha \in C$  and  $\xi \in F_\alpha$ . Consequently,  $r_\alpha \upharpoonright \xi \Vdash \sigma_\alpha(\xi) \upharpoonright \alpha \in \max\text{Split}_\alpha(q(\xi))$  for every such  $\alpha \in C$  and  $\xi \in F_\alpha$ .*

We are in a position now to finish the proof of Lemma 11. Let  $C$  be such as in Claim 13 and  $\alpha \in C$ . Then  $\sigma_\alpha = \sigma_{\alpha,i}$  for some  $i < \eta$  (see the construction of  $p_{\alpha+1}$  at the beginning of the proof of Lemma 11). Since  $r_{\alpha+1} \leq q \leq p_{\alpha,i}$ , Claim 12(iv) implies that for every  $\xi \in F_\alpha$  we have  $r_{\alpha+1} \upharpoonright \xi \Vdash r_{\alpha+1}(\xi) = r_{\alpha+1}(\xi)_{\sigma_\alpha(\xi)}$ . Therefore the construction of  $p_{\alpha,i+1}$  is nontrivial. Since  $r_{\alpha+1} \leq q \leq p_{\alpha,i+1}$ ,  $r_{\alpha+1} = r_{\alpha+1} \upharpoonright \sigma_\alpha \leq p_{\alpha,i+1} \upharpoonright \sigma_{\alpha,i} = r_{\alpha,i}$ , and hence  $r_{\alpha+1} \Vdash \dot{z} = x_{\alpha,i}$ . Therefore for every  $r \leq q$  there exists  $r' \leq r$  such that  $r' \Vdash \dot{z} \in x$ , which finishes our proof.  $\square$

*Proof of Theorem 10.* The proof is analogous to that of [11, Lemma 3.1]. Let  $W_\gamma$  be the set of those  $q \in ST_{S^0, S^1, \vec{A}}$  such that:

(i) There is an increasing sequence  $\langle F_\alpha : \alpha \in \kappa \rangle$  of subsets of  $\gamma$  such that  $|F_\alpha| < \kappa$  for all  $\alpha$ ,  $F_\delta = \bigcup_{\alpha \in \delta} F_\alpha$  for limit  $\delta$ , and  $\bigcup_{\alpha \in \kappa} F_\alpha = \text{supp}(q)$ .

(ii) For every  $\alpha$  there exists a (possibly empty) collection  $\Sigma_\alpha$  of ground model functions  $\sigma : F_\alpha \rightarrow \kappa^{\alpha+1}$  of size  $|\Sigma_\alpha| < \kappa$  such that  $q \upharpoonright \sigma \in ST_{S^0, S^1, \vec{A}}$  for all  $\sigma \in \bigcup_{\alpha \in \kappa} \Sigma_\alpha$ , and whenever  $\beta \in \kappa$  and  $r \leq q$ , there exists  $\alpha > \beta$  and  $\sigma \in \Sigma_\alpha$  so that  $r$  and  $q \upharpoonright \sigma$  are compatible.

The proof of Lemma 11 gives that  $W_\gamma$  is dense in  $ST_{S^0, S^1, \vec{A}}$ . In addition, almost literal repetition of the proof of [11, Lemma 3.1] gives that if a pair of sequences

$$\langle \langle F_\alpha : \alpha \in \kappa \rangle, \langle \Sigma_\alpha : \alpha \in \kappa \rangle \rangle$$

is a witness for  $q_i \in W_\gamma$ ,  $i \in 2$ , then  $q_0 \leq q_1 \leq q_0$  in  $ST_{S^0, S^1, \vec{A}}$ . It suffices to note that there are at most  $\kappa^+$ -many such pairs.

Finally, the fact that  $ST_{S^0, S^1, \vec{A}}$  has  $\kappa^{++}$ -chain condition provided  $\gamma = \kappa^{++}$  is a direct consequence of [1, Theorem 2.2].  $\square$

### 3 Miller( $\kappa$ ) and a variant of the groupwise density number

Throughout this section  $\kappa$  is strongly inaccessible,  $2^\kappa = \kappa^+$  in  $V$ ,  $\kappa^{++} = S^0 \sqcup S^1$ ,  $\vec{A} = \langle A_\alpha : \alpha \in \kappa \rangle$  is a sequence of ordinals below  $\kappa$ , and  $S^0$  is  $\kappa^+$ -stationary. Here we define a (new?) cardinal characteristic of  $\kappa$  and show that iteration of Miller( $\kappa$ ) pushes it to  $\kappa^{++}$ .

**Definition 14.** We say that  $\mathcal{G} \subset [\kappa]^\kappa$  is a *cgd-family* (abbreviated from club groupwise dense), if for every continuous increasing function  $\phi : \kappa \rightarrow \kappa$  there exists a club  $C \subset \kappa$  such that  $\bigcup_{\alpha \in C} \phi(\alpha + 1) \setminus \phi(\alpha) \in \mathcal{G}$ , and for every  $A \in \mathcal{G}$  and  $B \in [\kappa]^\kappa$  such that  $|B \setminus A| < \kappa$  we have  $B \in \mathcal{G}$ . In what follows the minimal size of a collection  $\mathfrak{G}$  of cgd-families with empty intersection is denoted by  $\mathfrak{g}_{cl}(\kappa)$ .  $\square$

**Theorem 15.** *Suppose that  $G$  is a  $ST_{S^0, S^1, \bar{A}}$ -generic filter. Then  $V[G] \models \mathfrak{g}_{cl}(\kappa) = \kappa^{++}$ .*

The proof of Theorem 15 is divided into a sequence of lemmas.

**Lemma 16.** *Suppose that  $G$  is a  $ST_{S^0, S^1, \bar{A}}$ -generic filter. Then for every subset  $x$  of  $\kappa$  such that  $x \in V[G]$  there exists  $\gamma < \kappa^{++}$  such that  $x \in V[G_\gamma]$ , and the smallest such  $\gamma$  has cofinality  $\leq \kappa$ .*

*Proof.* Let  $\dot{x}$  be a  $ST_{S^0, S^1, \bar{A}}$ -name of  $x$ . Note that the set  $D \subset W_{\kappa^{++}}$  of all  $q \in ST_{S^0, S^1, \bar{A}}$  such as in the proof of Theorem 10 with additional property that for every  $\sigma \in \Sigma_\alpha$  the condition  $q \upharpoonright \sigma$  decides  $\dot{x}(\beta)$  for all  $\beta < \alpha$ , is dense in  $ST_{S^0, S^1, \bar{A}}$ . (Any  $q$  obtained along the lines of the proof of Lemma 11 with an extra requirement that  $r_{\alpha, j}$  decides  $\dot{x}(\beta)$  for all  $\beta < \alpha$  belongs to  $D$ .) Item (ii) from the proof of Theorem 10 implies that  $\{q \upharpoonright \sigma : \sigma \in \bigcup_{\alpha \in \kappa} \Sigma_\alpha\}$  is predense below  $q$ . Therefore for every  $q \in D$  and  $\beta \in \kappa$  there exists a subset  $E_{q, \beta}$  predense below  $q$  of size  $\leq \kappa$  and such that each element of  $E_{q, \beta}$  decides  $\dot{x}(\beta)$ . From the above it follows that for every  $q \in D$  we have  $q \Vdash \dot{x} = \pi$ , where  $\pi = \{\langle \langle \beta, \check{i}_{\beta, r} \rangle, r \rangle : \beta \in \kappa, r \in E_{q, \beta}\}$  and  $r \Vdash \dot{x}(\beta) = i_{\beta, r}$ . The rest of the proof is straightforward.  $\square$

The following lemma resembles [3, Lemma 5.10].

**Lemma 17.** *Let  $G$  be a  $ST_{S^0, S^1, \bar{A}}$ -generic filter and  $\mathcal{F} \in V[G]$  be a cgd-family. There is a  $\kappa^+$ -closed unbounded set of ordinals  $\eta < \kappa^{++}$  for which  $\mathcal{F} \cap V[G_\eta] \in V[G_\eta]$  and  $\mathcal{F} \cap V[G_\eta]$  is cgd-family in  $V[G_\eta]$ .*

*Proof.* Let  $\dot{\mathcal{F}}$  be a  $ST_{S^0, S^1, \bar{A}}$ -name for  $\mathcal{F}$  and  $p \in G$  be a condition which forces that  $\dot{\mathcal{F}}$  is a cgd-family, and  $\gamma < \kappa^{++}$  be such that  $p \in \mathbb{P}_\gamma$ . The proof of Lemma 16 yields a set  $\Pi_\gamma$  of  $\mathbb{P}_\gamma$ -names of size  $|\Pi_\gamma| = \kappa^+$  such that for every  $\mathbb{P}_\gamma$ -generic filter  $H$  and  $x \in \mathcal{P}(\kappa) \cap V[H]$  there exists  $\pi \in \Pi_\gamma$  with the property  $x = \pi^H$ . For every  $\pi \in \Pi_\gamma$  we denote by  $B(\pi)$  a maximal antichain of conditions in  $\mathbb{P}_{\kappa^{++}}$  that decide whether  $\pi \in \dot{\mathcal{F}}$ . Let  $\eta_1$  be the supremum of the union of supports of all conditions appearing in some  $B(\pi)$ ,  $\pi \in \Pi_\gamma$ . (Recall that  $ST_{S^0, S^1, \bar{A}}$  has  $\kappa^{++}$ -c.c..) Then  $\mathcal{F} \cap V[G_\gamma] \in V[G_{\eta_1}]$ .

For every  $\pi \in \Pi_\gamma$  we can find a maximal antichain  $A(\pi)$  below  $p$  whose elements decide whether  $\pi$  is (the range of) a continuous increasing function, and if  $q \in A(\pi)$  decides that  $\pi$  is such, then for some  $\xi(\pi, q) > \gamma$  and  $\theta_{\pi, q} \in \Pi_{\xi(\pi, q)}$ ,  $q$  forces  $\theta_{\pi, q}$  to be a club and  $\bigcup_{\alpha \in \theta_{\pi, q}} [\pi(\alpha), \pi(\alpha + 1)] \in \dot{\mathcal{F}}$ . Let  $\eta_2$  be the upper bound of the set

$$\{\theta_{\pi, q} : \pi \in \Pi_\gamma, q \in \bigcup_{\pi \in \Pi_\gamma} A(\pi)\} \cup \{\text{supp}(q) : q \in \bigcup_{\pi \in \Pi_\gamma} A(\pi)\}.$$

Then  $\eta(\gamma) := \max\{\eta_1, \eta_2\}$  has the properties  $\dot{\mathcal{F}}^H \cap V[H_\gamma] \in V[H_{\eta(\gamma)}]$ , and if  $\psi \in V[H_\gamma]$  is any continuous increasing sequence, then there is a club  $C \in V[H_{\eta(\gamma)}]$  so that  $\bigcup_{\alpha \in C} [\psi(\alpha), \psi(\alpha + 1)] \in \dot{\mathcal{F}}^H$ , where  $H$  is any  $ST_{S^0, S^1, \bar{A}}$ -generic filter containing  $p$ .

Let  $E \subset \kappa^{++}$  be the  $\kappa^+$ -closed unbounded set of those  $\eta$  that  $\eta(\gamma) \leq \eta$  for all  $\gamma < \eta$ . A similar argument as in [3, Lemma 5.10] gives us that  $E$  is as required.  $\square$

**Lemma 18.** *For every  $p \in \text{Miller}(\kappa)$  there exists a continuous increasing sequence  $\langle \nu_\alpha : \alpha \in \kappa \rangle$  such that for every club  $C$  there exists  $q \leq p$  such that the range of every branch through  $q$  is almost (=modulo a subset of size  $< \kappa$ ) contained in  $\bigcup_{\alpha \in C} [\nu_\alpha, \nu_{\alpha+1})$ .*

*Proof.* We inductively define a desired sequence  $\langle \nu_\alpha \rangle_{\alpha \in \kappa}$ . Choose  $\nu_0$  arbitrary. For limit  $\delta \in \kappa$  we set  $\nu_\delta = \sup_{\alpha \in \delta} \nu_\alpha$ . After  $\nu_\alpha$  is defined, let  $\beta > \nu_\alpha$  be such that for every  $s \in p$  whose range is a subset of  $\nu_\alpha$  and  $\xi \in [\nu_\alpha, \beta)$ , if  $s \hat{\xi} \in p$ , then the range of the smallest extension  $t \in \text{Split}(p)$  of  $s \hat{\xi}$  is contained in  $\beta$ . We set  $\nu_{\alpha+1} = \beta$ .

We claim that the sequence  $\langle \nu_\alpha : \alpha \in \kappa \rangle$  is as required. Indeed, it is continuous by the construction. Let  $C$  and  $D \subset \bigcup_{\alpha \in C} [\nu_\alpha, \nu_{\alpha+1})$  be clubs. (The role of  $D$  here is to ensure that the splitting nodes of the condition  $q$  constructed below split into clubs rather than into sets containing clubs. We could take, e.g.,  $D = \{\alpha \in C : \nu_\alpha = \alpha\}$ .) Let  $q$  be the tree generated by the set of those  $s = s_1 \hat{\xi} \in p$  such that  $s_1 \in \text{Split}(p)$  and for every  $t \leq s$ , if  $t \in \text{Split}(p)$ , then  $s(\ell(t)) \in \bigcup_{\alpha \in C \setminus \mu(t)} [\nu_\alpha, \nu_{\alpha+1}) \cap D$ , where  $\mu(t)$  is the minimal ordinal  $\mu$  such that  $\nu_\mu$  contains the range of  $t$ . Then  $q \in \text{Miller}(\kappa)$ . It suffices to note that the range of each branch through  $q$  is a subset of  $\bigcup_{\alpha \in C} [\nu_\alpha, \nu_{\alpha+1}) \cup \beta$ , where  $\beta$  is the range of the stem (=smallest splitting element) of  $p$ .  $\square$

*Proof of Theorem 15.* The simple density argument based on Lemma 18 gives us that if  $G$  is a  $\text{Miller}(\kappa)$ -generic filter and  $\mathcal{F}$  is a cgd-family in  $V$ , then the range of  $\bigcap G \in \kappa^\kappa$  is almost included into some  $F \in \mathcal{F}$ . Using Lemma 17, the proof can be completed in the same way as that of [2, Theorem 2].  $\square$ Theorem 15.

## 4 A new lower bound for the cofinality of symmetric group

In this section  $\kappa$  denotes a strongly inaccessible cardinal. The main result of this section says that for a certain sequence  $\vec{A}$ , if both  $S^0$  and  $S^1$  are  $\kappa^+$ -stationary and  $G$  is  $ST_{S^0, S^1, \vec{A}}$ -generic, then  $V[G] \models \text{cf}(\text{Sym}(\kappa)) = \kappa^{++}$ . The motivation for this is given in section 5. We follow the strategy of the proof of [20, Theorem 2.2]. In its turn that proof relies upon the methods developed in [17, § 2].

Following [20] we give the following definition.

**Definition 19.** For a subset  $A$  of  $\kappa$  we shall identify the group  $\text{Sym}(A)$  with the subgroup of  $\text{Sym}(\kappa)$  consisting of permutations  $\sigma$  such that  $\sigma \upharpoonright (\kappa \setminus A) = \text{id}_{\kappa \setminus A}$ .

For every increasing  $\psi \in \kappa^\kappa$  we denote by  $P_\psi$  the group  $\prod_{\alpha \in \kappa} \text{Sym}(\psi(\alpha+1) \setminus \psi(\alpha))$ , which will be identified with a subgroup of  $\text{Sym}(\kappa)$ .  $\text{cf}^*(\text{Sym}(\kappa))$  is the least cardinal  $\lambda$  such that it is possible to express  $\text{Sym}(\kappa) = \bigcup_{i < \lambda} \Gamma_i$  as the union of a chain of proper subgroups such that for every increasing continuous  $\phi \in \kappa^\kappa$  there exists  $i \in \lambda$  such that  $P_\phi$  is a subgroup of  $\Gamma_i$ .

For an increasing function  $\theta : \kappa \rightarrow \kappa$  we set  $\tilde{\theta}(\alpha) = \sup_{\xi \in \alpha} \theta(\xi)$  and  $Q_\theta = P_{\tilde{\theta}}$ . (Note that  $\tilde{\theta}$  is continuous and  $P_\theta \subset Q_\theta$ .)  $\square$

The following lemma resembles [20, Theorem 2.6]. But the proofs of Lemma 20 and Theorem 2.6 from [20] are completely different.

**Lemma 20.**  $\text{cf}^*(\text{Sym}(\kappa)) \geq \mathfrak{g}_{cl}(\kappa)$ .



*Proof.* The proof is divided into two steps.

**Claim 21.** *For every  $\pi \in \text{Sym}(\kappa)$  there exists continuous increasing  $\psi \in \kappa^\kappa$  such that  $\pi \in P_\psi$ .*

*Proof.* For any  $\alpha \in \kappa$  we set  $\beta(\alpha) = \min\{\pi(\xi) : \xi \geq \alpha\}$  and  $\gamma(\alpha) = \sup\{\pi(\xi) : \xi \in \alpha\}$ . Since  $\pi$  is a bijection, the Fodor's lemma implies that  $\beta(\alpha) \geq \alpha$  for club many  $\alpha$ 's. Therefore there exists a club  $C \subset \kappa$  such that  $\gamma \upharpoonright C = \text{id}_C$  and  $\beta(\alpha) \geq \alpha$  for all  $\alpha \in C$ . Now, the increasing bijective enumeration  $\psi : \kappa \rightarrow C \cup \{0\}$  is as required.  $\square$

Given any  $B \in [\kappa]^\kappa$ , we denote by  $e_B : \kappa \rightarrow B$  the increasing bijective enumeration of  $B$ . Note that continuous strictly increasing functions from  $\kappa$  to  $\kappa$  are exactly those of the form  $e_C$  for a club  $C$ .

**Claim 22.** *Let  $\Gamma$  be a subgroup of  $\text{Sym}(\kappa)$  containing  $\text{Sym}_0(\kappa) = \{\pi : \pi(\alpha) = \alpha \text{ for all but } < \kappa \text{ many } \alpha\}$  and such that  $\langle \Gamma, g \rangle \neq \text{Sym}(\kappa)$  for all  $g \in \text{Sym}(\kappa)$ , and  $\mathcal{G}_\Gamma = \{A \in [\kappa]^\kappa : \forall B (|B \setminus A| < \kappa \rightarrow Q_{e_B} \not\subset \Gamma)\}$ . Then  $\mathcal{G}_\Gamma$  is a cgd-family.*

*Proof.* Let  $\phi : \kappa \rightarrow \kappa$  be a continuous increasing function. Since  $\text{Sym}_0(\kappa) \subset \Gamma$ , it is enough to show that there exists a club  $C$  such that, letting  $C_\phi = \bigcup_{\alpha \in C} [\phi(\alpha), \phi(\alpha + 1))$ , we have  $C_\phi \in \mathcal{G}_\Gamma$ , which means  $Q_{e_{C_\phi}} \not\subset \Gamma$ . Assume to the contrary that  $Q_{e_{C_\phi}} \subset \Gamma$  for every club  $C \subset \kappa$ . Set  $O = \bigcup_{\alpha \text{ odd}} [\phi(\alpha), \phi(\alpha + 1))$ . We claim that  $\text{Sym}(O) \subset \Gamma$ . Once this is established, we get a contradiction with [13, Lemma 2.4]. Let us fix  $\sigma \in \text{Sym}(O)$ . Claim 21 yields a continuous increasing  $\psi : \kappa \rightarrow \kappa$  such that  $\sigma \in \prod_{\xi \in \kappa} \text{Sym}([e_O \circ \psi(\xi), e_O \circ \psi(\xi + 1)) \cap O)$ . Set  $C = \{\alpha : \alpha \text{ is limit and } \phi(\alpha) = \sup_{\xi \in \alpha} e_O \circ \psi(\xi)\}$ . It is clear that  $C$  is club. Since elements of  $C$  are limit ordinals, the choice of  $O$  ensures that  $C_\phi \cap O = \emptyset$ . We claim that

$$[\phi(\alpha), \phi(\alpha + 1)) \cap [e_O \circ \psi(\xi), e_O \circ \psi(\xi + 1)) = \emptyset \quad (1)$$

for every  $\alpha \in C$  and  $\xi \in \kappa$ . Indeed, if  $\xi < \alpha$ , then  $e_O \circ \psi(\xi + 1) < \sup_{\eta < \alpha} e_O \circ \psi(\eta) = \phi(\alpha)$ . Now suppose  $\xi \geq \alpha$ . Then  $O \ni e_O \circ \psi(\xi) \geq \phi(\alpha)$ , and therefore  $[\phi(\alpha), \phi(\alpha + 1)) \cap O = \emptyset$  implies  $e_O \circ \psi(\xi) \geq \phi(\alpha + 1)$ , which proves (1). For any  $\xi \in \kappa$  consider  $\alpha(\xi), \beta(\xi) \in \kappa$  such that  $\alpha(\xi) = \min\{\alpha \in C : \phi(\alpha) \geq e_O \circ \psi(\xi + 1)\}$  and  $\phi(\alpha(\xi))$  is the  $\beta(\xi)$ 's element of  $C_\phi$ . Equation (1) gives

$$[e_O \circ \psi(\xi), e_O \circ \psi(\xi + 1)) \subset [\sup_{\beta < \beta(\xi)} e_{C_\phi}(\beta), e_{C_\phi}(\beta(\xi))] = [\tilde{e}_{C_\phi}(\beta(\xi)), \tilde{e}_{C_\phi}(\beta(\xi) + 1)),$$

and therefore

$$\prod_{\xi \in \kappa} \text{Sym}([e_O \circ \psi(\xi), e_O \circ \psi(\xi + 1)) \cap O) \subset Q_{e_{C_\phi}} \subset \Gamma,$$

which implies  $\sigma \in \Gamma$  and thus completes our proof.  $\square$

Let us express  $\text{Sym}(\kappa) = \bigcup_{i < \lambda} \Gamma_i$  as a union of an increasing chain of proper subgroups such that each  $P_\psi$  is contained in some  $\Gamma_i$ . Since  $|\text{Sym}_0(\kappa)| = \kappa$  and  $\lambda > \kappa$ , we can assume that  $\text{Sym}_0(\kappa) \subset \Gamma_0$ . For every  $A \in [\kappa]^\kappa$  there exists  $i \in \lambda$  such that  $Q_{e_A} = P_{e_A} \subset \Gamma_i$ , consequently  $\bigcap_{i \in \lambda} \mathcal{G}_{\Gamma_i} = \emptyset$ , and therefore  $\mathfrak{g}_{cl}(\kappa) \leq \lambda$ , which finishes our proof.  $\square$  Lemma 20

**Definition 23.** Let us fix a continuous increasing function  $\phi_0 : \kappa \rightarrow \kappa$  such that  $\phi_0(\alpha + 1) \geq \phi_0(\alpha) + \alpha$  for all  $\alpha \in \kappa$ . We set  $N_\alpha = \text{Sym}(\phi_0(\alpha + 1) \setminus \phi_0(\alpha))$ ,  $\vec{N} = \langle N_\alpha : \alpha < \kappa \rangle$ , and  $ST_{S^0, S^1} \stackrel{\text{def}}{=} ST_{S^0, S^1, \vec{N}}$ .

Each branch  $\vec{t} = \langle t(\alpha) \rangle_{\alpha \in \kappa}$  of  $T \in \text{Sacks}(\vec{N})$  can be naturally identified with an element of  $\sigma_{\vec{t}} \in P_{\phi_0}$  such that  $\sigma_{\vec{t}} \upharpoonright (\phi(\alpha + 1) \setminus \phi(\alpha)) = t(\alpha)$ . We also need the following

**Definition 24.**  $[\kappa]^{\kappa, \kappa}$  denotes the set  $\{A \subset \kappa : |A| = |\kappa \setminus A| = \kappa\}$ . If  $A \in [\kappa]^{\kappa, \kappa}$  and  $\sigma \in \text{Sym}(\kappa)$ , then  $\sigma^A$  is defined by  $\sigma^A(e_A(\alpha)) = e_A(\sigma(\alpha))$ . If  $\Gamma$  is a subgroup of  $\text{Sym}(\kappa)$ , then  $\Gamma^A = \{\sigma^A : \sigma \in \Gamma\}$  and  $\Gamma(A) = \{\sigma \upharpoonright A : \sigma \in \Gamma, \sigma[A] = A\}$ .  $\square$

The next lemma is of crucial importance for the proof of the equality  $\text{cf}^*(\text{Sym}(\kappa)) = \text{cf}(\text{Sym}(\kappa))$  in  $V^{ST_{S^0, S^1}}$  for  $\kappa^+$ -stationary subsets  $S^0, S^1$  of  $\kappa^{++}$ .

**Lemma 25.** *Let  $\psi : \kappa \rightarrow \kappa$  be a continuous increasing function. Then for every  $T \in \text{Sacks}(\vec{N})$  there exists  $A \in [\kappa]^{\kappa, \kappa}$  such that for every  $\pi \in P_\psi$  there exists  $S \leq T$  such that  $\sigma_{\vec{s}} \upharpoonright A = \pi^A$  for all branches  $\vec{s}$  of  $S$ .*

*Proof.* Consider  $D \in [C(T)]^\kappa$  such that  $C(T) \setminus D$  contains a club  $C'$  and  $e_D(\alpha) \geq \psi(\alpha + 1)$  for all  $\alpha$ , and set  $A = \bigcup_{\xi \in D} (\phi_0(\xi + 1) \setminus \phi_0(\xi))$ . By our choice of  $\phi_0$  we have  $\phi_0(e_D(\alpha) + 1) \geq \phi_0(e_D(\alpha)) + \psi(\alpha + 1)$  for all  $\alpha$ . A direct verification shows that  $S \in \text{Sacks}(\vec{N})$  such that  $C(S) = C'$  and for every  $\alpha \in \kappa$  and  $s \in S$  we have

$$s(e_D(\alpha)) \upharpoonright [\phi_0 \circ e_D(\alpha), \phi_0 \circ e_D(\alpha) + \zeta(\alpha)] = h \circ \pi \upharpoonright (\psi(\alpha + 1) \setminus \psi(\alpha)) \circ h^{-1},$$

where  $\zeta(\alpha)$  is such that  $\psi(\alpha + 1) = \psi(\alpha) + \zeta(\alpha)$  and  $h : \psi(\alpha + 1) \setminus \psi(\alpha) \rightarrow [e_D(\alpha), e_D(\alpha) + \zeta(\alpha)]$  is a monotone bijection, is as required.  $\square$

The following statement can be proven in the same way as [4, Lemma 2.7].

**Lemma 26.** *Suppose that  $\lambda = \text{cf}(\text{Sym}(\kappa)) < \text{cf}^*(\text{Sym}(\kappa))$  and  $\langle \Gamma_i : i \in \lambda \rangle$  is an increasing chain of proper subgroups of  $\text{Sym}(\kappa)$  such that  $\text{Sym}(\kappa) = \bigcup_{i < \lambda} \Gamma_i$ . Then there exists a continuous increasing  $\psi : \kappa \rightarrow \kappa$  such that  $P_\psi^A \not\subseteq \Gamma_i(A)$  for all  $i < \lambda$  and  $A \in [\kappa]^{\kappa, \kappa}$ .*

The next lemma can be proven by the same methods as Lemma 16.

**Lemma 27.** *Suppose that  $2^\kappa = \kappa^+$  in  $V$ ,  $\kappa^{++} = S^0 \sqcup S^1$  is a decomposition into two  $\kappa^+$ -stationary subsets, and  $G$  is  $ST_{S^0, S^1}$ -generic filter. For every  $\Pi \subset \text{Sym}(\kappa)$  of size  $|\Pi| \leq \kappa^+$  and every sequence  $\langle \Gamma_i : i < \kappa^+ \rangle \in V[G]$  of subgroups of  $\text{Sym}(\kappa)$  there is a  $\kappa^+$ -closed unbounded set of ordinals  $\eta < \kappa^{++}$  for which  $\Pi \in V[G_\eta]$ ,  $\langle \Gamma_i \cap V[G_\eta] : i < \kappa^+ \rangle \in V[G_\eta]$ , and for every  $A \in [\kappa]^{\kappa, \kappa} \cap V[G_\eta]$  and  $i < \kappa^+$  we have  $\Gamma_i(A) \cap V[G_\eta] = (\Gamma_i \cap V[G_\eta])(A)$ .*

Finally, we are in a position to prove the following theorem, which is the main result of this section.

**Theorem 28.** *Let  $S^0, S^1$ , and  $G$  be as in Lemma 27. Then  $V[G] \models \text{cf}(\text{Sym}(\kappa)) = \kappa^{++}$ .*

*Proof.* Suppose to the contrary that  $V[G] \models \text{Sym}(\kappa) = \kappa^+$ . Let  $\langle \Gamma_i : i < \kappa^+ \rangle \in V[G]$  be an increasing chain of subgroups of  $\text{Sym}(\kappa)$  such that  $\text{Sym}(\kappa) = \bigcup_{i < \kappa^+} \Gamma_i$ . By Theorem 15 and Lemma 20 we have  $V[G] \models \text{cf}^*(\text{Sym}(\kappa)) = \kappa^{++}$ . Lemma 26 yields a continuous increasing  $\psi : \kappa \rightarrow \kappa$  such that for every  $A \in [\kappa]^{\kappa, \kappa}$  and  $i < \kappa^+$  we have  $P_\psi^A \not\subseteq \Gamma_i(A)$ . Fix  $A_* \in [\kappa]^{\kappa, \kappa}$  and for every  $i < \kappa^+$  find  $\pi_i \in P_\psi$  such that  $\pi_i^{A_*} \in P_\psi^{A_*} \setminus \Gamma_i(A_*)$ . Observe that  $\Pi^A \not\subseteq \Gamma_i(A)$  for any  $A \in [\kappa]^{\kappa, \kappa}$  and  $i < \kappa^+$ , where  $\Pi = \{\pi_i : i < \kappa^+\}$ . (The condition  $\pi_i^A \notin \Gamma_i(A)$  holds at least starting from  $i$  such that  $\Gamma_i$  contains an extension of the order-preserving bijection between  $A_*$  and  $A$ .)

Let  $\eta < \kappa^{++}$  be such an element of  $\kappa^+$ -closed unbounded subset provided by Lemma 27 for  $\langle \Gamma_i : i < \kappa^+ \rangle$  and  $\Pi$  for which  $\dot{\mathbb{Q}}_\eta = \text{Sacks}(\vec{N})$ , i.e.  $\eta \in S^1$ . We can additionally require  $A_* \in V[G_\eta]$ . Suppose that  $H$  is the  $\text{Sacks}(\vec{N})$ -generic filter over  $V[G_\eta]$  such that  $G_{\eta+1} = G_\eta * H$  and  $\vec{h}$  is the common branch of all trees in  $H$ . Applying Lemma 25 we conclude that the set

$$\{S \in \text{Sacks}(\vec{N}) : \exists A \in [\kappa]^{\kappa, \kappa} \cap V[G_\eta] \exists \pi \in \Pi (\pi^A \notin (\Gamma_i \cap V[G_\eta])(A) \wedge S \Vdash \sigma_{\vec{h}} \upharpoonright A = \pi^A)\}$$

is dense for all  $i < \kappa^+$ . Therefore for every  $i$  there exists  $A_i \in [\kappa]^{\kappa, \kappa} \cap V[G_\eta]$  and  $j(i) < \kappa^+$  such that  $\sigma = \sigma_{\vec{h}} \upharpoonright A_i = \pi_{j(i)}^{A_i} \notin (\Gamma_i \cap V[G_\eta])(A_i)$ . Let  $i < \kappa^+$  be such that  $\sigma \in \Gamma_i$ . Then

$$(\Gamma_i \cap V[G_\eta])(A_i) \not\ni \pi_{j(i)}^{A_i} = \sigma \upharpoonright A_i \in \Gamma_i(A_i) \cap V[G_\eta],$$

which contradicts our choice of  $\eta$ . □

Now it is naturally to ask whether we needed to employ  $\text{Sacks}(\vec{N})$  at all.

**Question 29.** *Is  $\text{cf}(\text{Sym}(\kappa)) \geq \mathfrak{g}_{cl}(\kappa)$ ?*

The cardinal characteristic  $\mathfrak{g}_{cl}(\kappa)$  seems to be a natural generalization of the classical group-wise density number  $\mathfrak{g}$  introduced in [2] and it was proved in [4] that  $\text{cf}(\text{Sym}(\omega)) \geq \mathfrak{g}$ . But the methods of [4] do not seem to be applicable to Question 29.

## 5 Proof of Theorem 1

Without loss of generality,  $j$  is given by a hyperextender ultrapower so that  $M = \{j(f)(a) : f \in V, f : H(\kappa) \rightarrow V, \text{ and } a \in H(\kappa^{++})\}$ .

**Claim 30.** *There exists a cardinal preserving forcing extension  $V'$  of  $V$  such that GCH holds in  $V'$  and  $j$  can be extended to an elementary embedding  $j' : V' \rightarrow M'$  satisfying the following conditions:*

- (i)  $H(\kappa^{++})^{V'} = H(\kappa^{++})^{M'}$ ;
- (ii)  $j'$  is given by a hyperextender ultrapower so that  $M' = \{j'(f)(a) : f \in V', f : H(\kappa)^{V'} \rightarrow V', \text{ and } a \in H(\kappa^{++})^{V'}\}$ ;<sup>2</sup>
- (iii) *There exist disjoint  $\kappa^+$ -stationary in  $V$  (and hence in  $M$ ) subsets  $S^0, S^1 \in M$  of  $\kappa^{++}$  such that  $S^0 \cup S^1 = \kappa^{++}$ , and a sequence  $\langle (S_k^0, S_k^1) : k \in \kappa \rangle$ , where  $S_k^0$  and  $S_k^1$  are disjoint  $\rho_k^+$ -stationary subsets of  $\rho_k^{++}$  for which  $\rho_k^{++} = S_k^0 \cup S_k^1$ , such that  $j \langle (S_k^0, S_k^1) : k \in \kappa \rangle (\kappa) = (S^0, S^1)$ . (Here  $\rho_k$  denotes the  $k$ -th inaccessible cardinal below  $\kappa$ ,  $k < \kappa$ .)*

*Proof.* We define a forcing poset  $\mathbb{R}$  as follows. Let  $\mathbb{R}_0 = \{\mathbf{1}_0\}$ . For  $k \leq \kappa$  we denote by  $\dot{S}_k$  a  $\mathbb{R}_k$ -name for the poset  $\text{Fn}(\rho_k^{++}, 2, \rho_k^{++})$  adding one Cohen subset to  $\rho_k^{++}$ , see [12]. Proceeding this way along all inaccessible cardinals  $\leq \kappa$  and using reverse Easton supports we define  $\mathbb{R}$ . Let  $G$  be a  $\mathbb{R}_\kappa$ -generic over  $V$ ,  $g$  be a  $\mathbb{S}_\kappa = \dot{\mathbb{S}}_\kappa^G$ -generic over  $V[G]$ ,  $G_k = G \cap \mathbb{R}_k$  and  $g_k$  be such that  $G_{k+1} = G_k * g_k$  for all  $k < \kappa$ . Note that  $g_k$  is the characteristic function of some subset of  $S_k^0$  of  $\rho_k^{++}$ . It is clear that  $S_k^0$  as well as its complement meet all subsets of  $\rho_k^{++}$  of size  $\rho_k^{++}$  which appear in  $V[G_k]$ . Since  $\mathbb{R}_{k+1}$  has  $\rho_k^{++}$ -c.c., each  $\rho_k^+$ -closed unbounded in  $\rho_k^{++}$  subset  $C' \in V[G_{k+1}]$  contains a  $\rho_k^+$ -closed unbounded in  $\rho_k^{++}$  subset  $C \in V$  (the proof of [10, Lemma 22.25] works in this case as well), and hence  $S_k^0$  as well as  $\rho_k^{++} \setminus S_k^0$  are  $\rho_k^+$ -stationary

<sup>2</sup>We could assume here that the domain of  $f$  is still  $H(\kappa)^V$  and  $a \in H(\kappa^{++})^V$ , but this is irrelevant.

subsets of  $\rho_k^{++}$  in  $V[G_{k+1}]$ . The rest of our forcing is  $\rho_k^{+++}$ -closed, and hence  $S_k^0$  and  $\rho_k^{++} \setminus S_k^0$  remain  $\rho_k^+$ -stationary in  $V[G * g]$ . Let  $S^0$  be such that  $g$  is the characteristic function of  $S^0$  and  $S^1 = \kappa^{++} \setminus S^0$ . Again,  $S^0$  and  $S^1$  are  $\kappa^+$ -stationary subsets of  $\kappa^{++}$  in  $V[G * g]$ .

$j(\mathbb{R})$  is the iteration with reverse Easton supports of length  $j(\kappa) + 1$ . A standard argument gives us that  $j(\mathbb{R})_\kappa = \mathbb{R}_\kappa$ , and hence  $G$  is  $j(\mathbb{R})_\kappa$ -generic over  $M$  and  $(H(\kappa)^{++})^{V[G]} = (H(\kappa)^{++})^{M[G]}$ , see [6, Lemma 4.4]. From the above it follows that  $F_n(\kappa^{++}, 2, \kappa^{++})^{V[G]} = F_n(\kappa^{++}, 2, \kappa^{++})^{M[G]}$ , and therefore  $\mathbb{R} = j(\mathbb{R})_{\kappa+1}$  and  $g$  is  $F_n(\kappa^{++}, 2, \kappa^{++})$ -generic over  $M$  as well.

Suppose that there exists a  $j(\mathbb{R})$ -generic filter  $G' = G * g * H * h \in V[G * g]$  over  $M$  such that  $H$  is a  $j(\mathbb{R})_{\kappa, j(\kappa)}$ -generic over  $M[G * g]$ ,  $h$  is  $\mathbb{S}_{j(\kappa)} = j(\dot{\mathbb{S}}_\kappa)^{G * g * H}$ -generic over  $M[G * g * H]$ , and  $j[G * g] \subset G'$ . Then  $j$  can be extended to an elementary embedding  $j' : V[G * g] \rightarrow M[G']$  such that  $j'(G * g) = G'$ , see [5, Proposition 9.1]. Therefore  $j' \langle S_k^0 : k \in \kappa \rangle(\kappa) = S^0$ . In addition, conditions (i) and (ii) hold by [5, Proposition 9.3]. Thus  $j'$ ,  $V' = V[G * g]$ , and  $M' = M[H]$  are as required.

It suffices to note that such  $H$  and  $h$  exist: the construction of  $H$  is standard, see, e.g., fourth, fifth and sixth paragraphs of the proof of [6, Theorem 4.2]; the existence of  $h$  follows from the  $\kappa^+$ -distributivity of  $\mathbb{Q}_\kappa$  by virtue of [5, Proposition 15.1], which implies that the subfilter  $h$  of  $\mathbb{S}_{j(\kappa)}$  generated by  $j[g]$  is as required.  $\square$

There is no loss of generality in assuming  $j = j'$ ,  $V = V'$ , and  $M = M'$ . We define a forcing poset  $\mathbb{P}$  as follows. Let  $\mathbb{P}_0 = \{\mathbf{1}_0\}$ . For  $k \leq \kappa$  we denote by  $\dot{\mathbb{Q}}_k$  a  $\mathbb{P}_k$ -name for  $ST_{S_k^0, S_k^1}$ <sup>3</sup>. Proceeding this way along all inaccessible cardinals  $\leq \kappa$  and using reverse Easton supports we define  $\mathbb{P}$ . Observe that  $\mathbb{P}_k$  has  $\rho_k^+$ -c.c., and hence  $S_k^0, S_k^1$  are still  $\rho_k^+$ -stationary in  $V^{\mathbb{P}_k}$ . From the above and Theorem 28 we have that  $V^{\mathbb{P}} \models \text{cf}(\text{Sym}(\kappa)) = \kappa^{++}$ . Thus it suffices to prove that  $\kappa$  is measurable in  $V^{\mathbb{P}}$ . In order to do this we shall extend  $j$  to an elementary embedding from  $V^{\mathbb{P}}$  into  $M^{j(\mathbb{P})}$ .

$j(\mathbb{P})$  is an iteration of length  $j(\kappa) + 1$  in  $M$  with reverse Easton support. It is clear that  $j(\mathbb{P})_\kappa = \mathbb{P}_\kappa$ . Let  $G$  be a  $\mathbb{P}_\kappa$ -generic filter over  $V$ . Since  $M$  and  $V$  have the same  $H(\kappa^{++})$  and  $j \langle (S_k^0, S_k^1) : k \in \kappa \rangle(\kappa) = (S^0, S^1)$ , we have  $(\kappa^{++})^{M[G]} = (\kappa^{++})^{V[G]}$  (see [6, Lemma 4.4]) and  $j(\mathbb{P})_{\kappa+1} = \mathbb{P}$ . Note that  $j(\mathbb{P}) = j(\mathbb{P}_\kappa) * j(\dot{\mathbb{Q}}_\kappa)$ . Let  $g$  be generic for  $\dot{\mathbb{Q}}_\kappa^G$  over  $V[G]$ . We need to find a suitable  $j(\mathbb{P})$ -generic filter over  $M$  in order to lift  $j$  to  $V[G * g]$ . The following claim is analogous to [1, Lemma 6.4].

**Claim 31.** *If  $x \subset M[G]$  (resp.  $x \subset M[G * g]$ ),  $x \in V[G]$  (resp.  $x \in V[G * g]$ ), and  $V[G] \models |x| \leq \kappa$  (resp.  $V[G * g] \models |x| \leq \kappa$ ), then  $x \in M[G]$  (resp.  $x \in M[G * g]$ ).*

*Proof.* We present the proof of the  $G * g$  part only. The other part is even simpler. Without loss of generality,  $x$  is a set of ordinals. Let  $\dot{x}$  be a  $\mathbb{P}$ -name such that  $\dot{x}^{G * g} = x$ . The  $\kappa$ -c.c. of  $\mathbb{P}_\kappa$  and Lemma 11(2) yield a set of ordinals  $y \in V$  of size  $|y| \leq \kappa$  in  $V$  and such that  $\mathbb{P} \Vdash \dot{x} \subset y$ . For every  $\alpha \in y$  there exists a maximal antichain  $A_\alpha$  of conditions  $p \in \mathbb{P}$  such that  $p \Vdash \alpha \in \dot{x}$  for every  $p \in A_\alpha$ . Applying Theorem 10, we conclude that  $|A_\alpha| \leq \kappa^+$  for every  $\alpha \in y$ . It is clear that  $\langle A_\alpha : \alpha \in y \rangle \in H(\kappa^{++})$ , and hence  $\langle A_\alpha : \alpha \in y \rangle \in (H(\kappa^{++}))^M$ . It suffices to note that  $x = \{\alpha \in D : G * g \cap A_\alpha \neq \emptyset\}$ .  $\square$

In the same way as in the proof of [6, Theorem 4.2] (using Claim 31 instead of [9, Lemma 3]) we can find a  $j(\mathbb{P}) \upharpoonright (\kappa, j(\kappa))$ -generic filter  $H \in V[G * g]$  over  $M[G * g]$ . Thus  $j[G] = G \subset G * g * H$ ,

<sup>3</sup>Here  $S_\kappa^0 = S^0$  and  $S_\kappa^1 = S^1$ . Note that  $ST_{S_\kappa^0, S_\kappa^1}$  is an iteration again, which will be denoted  $\langle \mathbb{P}_\eta^k, \dot{\mathbb{Q}}_\xi^k : \eta \leq \rho_k^{++}, \xi < \rho_k^{++} \rangle$ .

and hence  $j$  lifts to an embedding  $j^* : V[G] \rightarrow M[G * g * H]$  definable in  $V[G * g]$ , see [5, Proposition 9.1]. Let  $M^*$  denote  $M[G * g * H]$ .

We give Definition 32 and Claim 33 in full generality for any iteration of Miller and Sacks forcings.

**Definition 32.** Let  $\rho$  be a strongly inaccessible cardinal and  $\gamma$  be an ordinal,  $S^0, S^1$  be disjoint sets such that  $S^0 \cup S^1 = \gamma$ , and  $\vec{A} = \langle A_\alpha : \alpha < \rho \rangle$  be a sequence of elements of  $\rho$ . Suppose that  $\langle (p_\alpha, F_\alpha) : \alpha \in \rho \rangle$  is a generalized fusion sequence for  $ST_{S^0, S^1, \vec{A}}$ ,  $q = \bigwedge_{\alpha < \rho} p_\alpha$ , and  $i \in \rho$ . We say that a function  $\sigma : F \rightarrow \rho^{i+1}$  is  $i$ -properly situated on  $q$  (with respect to the fusion sequence  $\langle (p_\alpha, F_\alpha) : \alpha \in \rho \rangle$ ), if  $F_i \subset F$ ,  $\sigma$  lies on some  $r \leq q$  such that  $r \upharpoonright \xi \Vdash \sigma(\xi) \upharpoonright i \in \max \text{Split}_i(q(\xi))$  for all  $\xi \in F$ , and  $\sigma(\xi)(i) = i$  for all  $\xi \in F \cap S^0$ .

**Claim 33.** Let  $\rho, S^0, S^1, \vec{A}, \langle (p_\alpha, F_\alpha) : \alpha \in \rho \rangle, q, i$  be such as in Definition 32,  $u \leq q$ ,  $F, T \in [\gamma]^{<\rho}$  with  $F \subset T$ , and  $C \subset \rho$  be a club. Then there exists  $v \leq_{F,i} u$  satisfying the following conditions:

For every  $\sigma : F \rightarrow \rho^{i+1}$  which lies on  $v$  and has the property  $\sigma(i) = i$  for all  $i \in F \cap S^0$ , **there exist**  $j \in C$  and  $\pi : T \cup F_j \rightarrow \rho^{(j+1)}$  such that  $\pi(\xi) \upharpoonright (i+1) = \sigma(\xi)$  for all  $\xi \in F$ ,  $\pi$  lies on  $v$ ,  $v \upharpoonright \sigma = v \upharpoonright \pi$ , and  $v \upharpoonright \pi$  is a witness for  $\pi$  being  $j$ -properly situated on  $q$  with respect to  $\langle (p_\alpha, F_\alpha) : \alpha \in \rho \rangle$ .

*Proof.* Let us enumerate as  $\{\sigma^\zeta : \zeta \in \eta\}$  all  $\sigma : F \rightarrow \rho^{i+1}$  with the property  $\sigma(\xi)(i) = i$  for all  $\xi \in F \cap S^0$  and which lie on some  $r \leq u$ . Set  $u_0 = u$  and suppose that for some  $\zeta < \eta$  and all  $\zeta' < \zeta$  we have already defined  $u_{\zeta'} \in ST_{S^0, S^1, \vec{A}}$  such that  $u_{\zeta'} \leq_{F,i} u_{\zeta''}$  for all  $\zeta'' \leq \zeta' < \zeta$ . If  $\zeta$  is limit, we set  $u_\zeta = \bigwedge_{\zeta' \in \zeta} u_{\zeta'}$ .

Let us consider the case  $\zeta = \zeta' + 1$ . If there is no  $r \leq u_{\zeta'}$  such that  $\sigma^{\zeta'}$  lies on  $r = r \upharpoonright \sigma^{\zeta'}$ , then we set  $u_\zeta = u_{\zeta'}$ . Otherwise set  $r_0^{\zeta'} = r$ ,  $\sigma_0^{\zeta'} = \sigma^{\zeta'}$ , and  $F_\alpha^{\zeta'} = F_\alpha \cup T$ . Repeating the same argument as in Claim 12, we can construct a sequence  $\langle r_\alpha^{\zeta'} : \alpha \in \rho \rangle$  of elements of  $ST_{S^0, S^1, \vec{A}}$ , a sequence  $\langle \sigma_\alpha^{\zeta'} : F_\alpha^{\zeta'} \rightarrow \rho^{<\rho} \mid \alpha < \rho \rangle$ , and sequences  $\langle \mu_{\alpha, \xi}^{\zeta'}, \nu_{\alpha, \xi}^{\zeta'} : \alpha \in \rho, \xi \in F_\alpha^{\zeta'} \rangle$  of ordinals less than  $\rho$  fulfilling the items (i) – (v) of Claim 12. Claim 13 yields a club  $C^{\zeta'} \subset \rho$  such that  $\mu_{\alpha, \xi}^{\zeta'} = \nu_{\alpha, \xi}^{\zeta'} = \alpha$  and  $\sigma_\alpha^{\zeta'}(\xi)(\mu_{\alpha, \xi}^{\zeta'}) = \alpha$  for every  $\alpha \in C^{\zeta'}$  and  $\xi \in F_\alpha^{\zeta'} \cap S^0$ . Let us fix  $j^{\zeta'} \in C^{\zeta'} \cap C$  and set  $\pi^{\zeta'} = \sigma_{j^{\zeta'}}^{\zeta'}$  and  $r^{\zeta'} = r_{j^{\zeta'}+1}^{\zeta'}$ . By Claim 12(iii), (iv) we have  $r^{\zeta'} \upharpoonright \pi^{\zeta'} = r^{\zeta'}$  and  $r^{\zeta'}$  is a witness for  $\pi^{\zeta'}$  being  $j^{\zeta'}$ -properly situated on  $q$ . Now let  $u_\zeta$  be the amalgamation of  $u_{\zeta'}$  and  $r^{\zeta'}$  defined as follows:

- (a)  $\text{supp}(u_\zeta) = \text{supp}(r^{\zeta'})$ .
- (b) If  $\xi \in F$ , then  $u_\zeta(\xi)$  is such that

$$r^{\zeta'} \upharpoonright \xi \Vdash u_\zeta(\xi) = (u_{\zeta'}(\xi) \setminus u_{\zeta'}(\xi)_{\sigma^{\zeta'}(\xi)}) \bigcup r^{\zeta'}(\xi),$$

and for any condition  $c \leq u_\zeta \upharpoonright \xi$  incompatible with  $r^{\zeta'} \upharpoonright \xi$ ,  $c \Vdash_\xi u_\zeta(\xi) = u_{\zeta'}(\xi)$ .

(c) if  $\xi \notin F$ , then  $u_\zeta(\xi)$  is such that  $r^{\zeta'} \upharpoonright \xi \Vdash u_\zeta(\xi) = r^{\zeta'}(\xi)$ , and for any condition  $c \leq u_\zeta \upharpoonright \xi$  incompatible with  $r^{\zeta'} \upharpoonright \xi$ ,  $c \Vdash_\xi u_\zeta(\xi) = u_{\zeta'}(\xi)$ .

By the definition of  $u_\zeta$  we have

$$u_\zeta \upharpoonright \sigma^{\zeta'} = r^{\zeta'} = r^{\zeta'} \upharpoonright \pi^{\zeta'} = (u_\zeta \upharpoonright \sigma^{\zeta'}) \upharpoonright \pi^{\zeta'} = u_\zeta \upharpoonright \pi^{\zeta'}$$

and  $u_\zeta \leq_{F,i} u_{\zeta'}$ .

We claim that  $v = \bigwedge_{\zeta < \eta} u_\zeta$  is as required. Indeed, let  $\sigma : F \rightarrow \rho^{i+1}$  be such as in the formulation. Since  $v \leq u$ ,  $\sigma = \sigma^\zeta$  for some  $\zeta \in \eta$  and the construction of  $u_{\zeta+1}$  is nontrivial.

From the above it follows that  $v|\sigma \leq u_\zeta|\sigma^\zeta = u_\zeta|\pi^\zeta$ , consequently  $\pi^\zeta$  lies on  $v$  and  $v|\sigma = v|\pi^\zeta \leq u_\zeta|\pi^\zeta = r^\zeta$ . Now it is easy to see that  $j = j^\zeta$  and  $\pi = \pi^\zeta$  are as required.  $\square$

**Claim 34.** *Let  $\rho$ ,  $S^0$ ,  $S^1$ , and  $\vec{A}$  be such as in Definition 32, and  $p \in ST_{S^0, S^1, \vec{A}}$ . Then for every sequence  $\langle D_\alpha : \alpha \in \rho \rangle$  of open dense subsets of  $ST_{S^0, S^1, \vec{A}}$  there exists a generalized fusion sequence  $\langle (p_\alpha, F_\alpha) : \alpha \in \rho \rangle$  with  $p_0 = p$  and such that, letting  $q = \bigwedge_{\alpha \in \rho} p_\alpha$ , for every limit  $i \in \rho$  and  $\sigma : F_i \rightarrow \rho^{i+1}$  which is  $i$ -properly situated on  $q$ ,  $\sigma$  lies on  $q$  and  $q|\sigma \in D_i$ .*

*Proof.* Take  $r_{\alpha, j} \in D_\alpha$  in the construction of a fusion sequence from the proof of Lemma 11 (the part before Claim 12) instead of demanding that  $r_{\alpha, j}$  decides  $\dot{z}$  as a ground model object. The resulting fusion sequence is easily seen to be as required.  $\square$

Let us come back to our main task, namely to extend  $j^*$  to an elementary embedding  $j^{**} : V[G * g] \rightarrow M^*[h]$  for some  $\mathbb{Q}_{j(\kappa)} := j^*(\dot{\mathbb{Q}}_\kappa^G) = ST_{j^*(S^0), j^*(S^1), j^*(\vec{N})}^{M^*}$ -generic filter  $h$  over  $M^*$  so that  $j^{**}$  is definable in  $V[G * g]$ . By [5, Proposition 9.1] it is enough to find such a  $\mathbb{Q}_{j(\kappa)}$ -generic  $h \in V[G * g]$  over  $M^*$  for which  $j^*[g] \subset h$ .

For every  $\xi < \kappa^{++}$  we denote by  $x(\xi) \in \kappa^\kappa \cap V[G * g]$  the (unique!) branch through all trees in  $g(\xi)$  and let  $a_\xi = \kappa$  (resp.  $a_\xi = 0$ ) for all  $\xi \in S^0$  (resp.  $\xi \in S^1$ ). We claim that

$$h = \{j^*(p)|_{\sigma_I} : p \in g, I \in M^*, I \subset j[\kappa^{++}], |I| = \kappa\},$$

where  $\sigma_I(j(\xi)) = x(\xi) \hat{\ } a_\xi$  for all  $j(\xi) \in I$ , is  $\mathbb{Q}_{j(\kappa)}$ -generic over  $M^*$ . Let  $\bar{D} \in M^*$  be an open dense subset of  $\mathbb{Q}_{j(\kappa)}$ . Write  $\bar{D}$  as  $j^*(f)(\bar{a})$ , where  $f$  has domain  $H(\kappa)^V$ ,  $f \in V[G]$ , and  $a \in H(\kappa^{++})^V$ . There is no loss of generality to assume that  $f(a)$  is dense in  $\mathbb{Q}_\kappa := \dot{\mathbb{Q}}_\kappa^G$  for all  $a \in H(\kappa)^V$ . Let us enumerate  $H(\kappa)^V$  as  $\langle a_k : k \in \kappa \rangle$  and set  $D_k = \bigcap_{k' \leq k} f(a_{k'})$ .

Let  $p \in \mathbb{Q}_\kappa$  be arbitrary. Claim 34 yields a generalized fusion sequence  $\langle (p_k, F_k) : k \in \kappa \rangle$  such that  $p_0 = p$  and, letting  $q = \bigwedge_{k \in \kappa} p_k$ , for every limit  $k \in \kappa$  and  $\sigma$  which is  $k$ -properly situated on  $q$ ,  $\sigma$  lies on  $q$  and  $q|\sigma \in D_k$ .

Let  $\langle \bar{F}_{\bar{k}} : \bar{k} \in j(\kappa) \rangle$  and  $\langle \bar{p}_{\bar{k}} : \bar{k} \in j(\kappa) \rangle$  be the results of applying  $j^*$  to  $\langle F_k : k \in \kappa \rangle$  and  $\langle p_k : k \in \kappa \rangle$  respectively. By elementarity of  $j^*$ ,  $\langle (\bar{p}_{\bar{k}}, \bar{F}_{\bar{k}}) : \bar{k} \in j(\kappa) \rangle$  is a generalized fusion sequence for  $\mathbb{Q}_{j(\kappa)}$ ,  $\bar{q} := j^*(q) = \bigwedge_{\bar{k} < j(\kappa)} \bar{p}_{\bar{k}}$ , and there exists  $\bar{\beta} \in j(\kappa)$  so that for each limit  $\bar{\alpha} \geq \bar{\beta}$  and  $\bar{\sigma}$  which is  $\bar{\alpha}$ -properly situated on  $\bar{q}$ ,  $\bar{\sigma}$  lies on  $\bar{q}$  and  $\bar{q}|\bar{\sigma} \in \bar{D}$ . We can additionally assume that  $\bar{\beta} > \kappa$ .

Fix  $u \leq q$  and a club  $C \subset \kappa$  such that  $j(C) \cap (\kappa, \bar{\beta}] = \emptyset$  (its existence is established, e.g., in the proof of [9, Lemma 4]). Using Claim 33, we can construct a fusion sequence  $\langle (u_k, T_k) : k \in \kappa \rangle$  with  $u_0 = u$  satisfying the following conditions:

(i)  $F_k \subset T_k$ ;

(ii) For every  $\sigma : T_k \rightarrow \kappa^{k+1}$  which lies on  $u_k$  and has the property  $\sigma(k) = k$  for all  $k \in T_k \cap S^0$ , there exist a limit ordinal  $m \in C \setminus (k+1)$  and  $\pi : T_{k+1} \cup F_m \rightarrow \kappa^{(m+1)}$  such that  $\pi(\xi) \upharpoonright (k+1) = \sigma(\xi)$  for all  $\xi \in T_k$ ,  $\pi$  lies on  $u_{k+1}$ ,  $u_{k+1}|\sigma = u_{k+1}|\pi$ , and  $u_{k+1}|\pi$  is a witness for  $\pi$  being  $m$ -properly situated on  $q$  with respect to  $\langle (p_k, F_k) : k \in \kappa \rangle$ .

Let  $\langle \bar{T}_{\bar{k}} : \bar{k} \in j(\kappa) \rangle$  and  $\langle \bar{u}_{\bar{k}} : \bar{k} \in j(\kappa) \rangle$  be the results of applying  $j^*$  to  $\langle T_k : k \in \kappa \rangle$  and  $\langle u_k : k \in \kappa \rangle$  respectively,  $v = \bigwedge_{k < \kappa} u_k$ , and  $\bar{v} = j^*(v) = \bigwedge_{\bar{k} < j(\kappa)} \bar{u}_{\bar{k}}$ . By elementarity of  $j^*$ , for every  $\bar{\sigma} : \bar{T}_\kappa \rightarrow j(\kappa)^{\kappa+1}$  which lies on  $\bar{u}_\kappa$  and has the property  $\bar{\sigma}(\bar{\xi})(\kappa) = \kappa$  for all  $\bar{\xi} \in \bar{T}_\kappa \cap j(S^0)$ , there exist a limit ordinal  $\bar{m} \in j(C) \setminus (\kappa+1)$  and  $\bar{\pi} : \bar{T}_{\kappa+1} \cup \bar{F}_{\bar{m}} \rightarrow j(\kappa)^{(\bar{m}+1)}$  such that  $\bar{\pi}(\bar{\xi}) \upharpoonright (\kappa+1) = \bar{\sigma}(\bar{\xi})$  for all  $\bar{\xi} \in \bar{T}_\kappa$ ,  $\bar{\pi}$  lies on  $\bar{u}_{\kappa+1}$ ,  $\bar{u}_{\kappa+1}|\bar{\sigma} = \bar{u}_{\kappa+1}|\bar{\pi}$ , and  $\bar{u}_{\kappa+1}|\bar{\sigma}$  is a witness for  $\bar{\pi}$  being  $\bar{m}$ -properly situated on  $\bar{q}$  with respect to  $\langle (\bar{p}_{\bar{k}}, \bar{F}_{\bar{k}}) : \bar{k} \in j(\kappa) \rangle$ .

Since  $p$  and  $u \leq q$  were chosen arbitrary, we can assume that  $v \in g$ . Observe that  $\bar{T}_\kappa = \bigcup_{k \in \kappa} j[T_k] \subset j[\kappa^{++}]$ ,  $|\bar{T}_\kappa| = \kappa$ , and  $\bar{T}_\kappa \in M^*$ . The elementarity of  $j^*$  implies that  $\bar{\sigma} := \sigma_{\bar{T}_\kappa}$  lies on  $j^*(w)$  for any  $w \in g$ . In particular,  $\bar{\sigma}$  lies on  $\bar{u}_k = j^*(u_k)$  for all  $k \in \kappa$ , and hence it lies on  $\bar{u}_\kappa = \bigwedge_{k \in \kappa} \bar{u}_k$  as well. Therefore we can find  $\bar{m} \in j(C) \setminus (\kappa + 1)$  and  $\bar{\pi} : \bar{T}_{\kappa+1} \cup \bar{F}_{\bar{m}} \rightarrow j(\kappa)^{(\bar{m}+1)}$  as above, i.e.  $\bar{u}_{\kappa+1} \upharpoonright \bar{\sigma}$  is a witness for  $\bar{\pi}$  being  $\bar{m}$ -properly situated on  $\bar{q}$  with respect to  $\langle \langle \bar{p}_{\bar{k}}, \bar{F}_{\bar{k}} \rangle : \bar{k} \in j(\kappa) \rangle$ . By the construction of  $\langle \langle p_k, F_k \rangle : k \in \kappa \rangle$ , elementarity of  $j^*$ , the equalities  $j(C) \cap (\kappa, \bar{\beta}) = \emptyset$  and  $\bar{m} \in j(C) \setminus (\kappa + 1)$ , and our choice of  $\bar{\beta}$ , we conclude that  $\bar{\pi} \upharpoonright \bar{F}_{\bar{m}}$  lies on  $\bar{q}$  and  $\bar{q} \upharpoonright (\bar{\pi} \upharpoonright \bar{F}_{\bar{m}}) \in \bar{D}$ . On the other hand,

$$\bar{q} \upharpoonright (\bar{\pi} \upharpoonright \bar{F}_{\bar{m}}) \geq \bar{u}_{\kappa+1} \upharpoonright \bar{\pi} = \bar{u}_{\kappa+1} \upharpoonright \bar{\sigma} \geq \bar{v} \upharpoonright \bar{\sigma} = j^*(v) \upharpoonright \sigma_{\bar{T}_\kappa} \in h,$$

which means that  $h \cap \bar{D} \neq \emptyset$  and thus finishes the proof of Theorem 1.  $\square$

### Remark 1.

1. To the best knowledge of the authors there are essentially three other different forcing extensions  $V^P$  of  $V$  which preserve the measurability of  $\kappa$  and kill the GCH at  $\kappa$  under the assumption that  $\kappa$  is  $P_2\kappa$ -hypermeasurable, see [5, § 24], [9], and [6, § 4]. In all three cases we have  $\text{cf}(\text{Sym}(\kappa)) = \kappa^+$  in  $V^P$ . The historically first of them is due to Woodin [5, § 24]. His  $P$  can be written as  $P_0 * P_1 * P_2$ , where  $P_0$  is iteration of Cohen posets below  $\kappa$  with reverse Easton support, and thus  $|P_0| = \kappa$  and  $P_0$  has  $\kappa$ -c.c.;  $P_1$  is the poset adding  $\kappa^{++}$ -many Cohen subsets of  $\kappa$ , and  $P_2$  adds no new subsets of  $\kappa$ . It is clear that  $V^{P_0 * P_1} \models \text{cf}(\text{Sym}(\kappa)) = \kappa^+$  (see the last paragraph on [18, p. 894]), and every forcing which does not add new subsets of  $\kappa$  cannot enlarge  $\text{cf}(\text{Sym}(\kappa))$ .

In forcing extensions constructed in [9] and [6] the equality  $\mathfrak{d}(\kappa) = \kappa^+$  holds, and it is well-known (the proof of [17, Proposition 1.4] works for every regular  $\kappa$ ) that  $\text{cf}(\text{Sym}(\kappa)) \leq \mathfrak{d}(\kappa)$  for every regular  $\kappa$ .

2. It is known [18] that the equality  $\text{cf}(\text{Sym}(\kappa)) = \kappa^{++}$  (and much more) is consistent for every inaccessible  $\kappa$ . But the authors were not able to lift elementary embeddings to forcing extensions used in [18]<sup>4</sup> assuming considerably less than supercompactness. However, such possibility is not formally excluded. On the other hand, applying the methods developed in [8] to forcing extensions from [18] we could obtain the following result:

*Suppose  $0^\sharp$  exists. Then there is an inner model in which  $\text{cf}(\text{Sym}(\kappa)) = \kappa^{++}$  for every regular cardinal  $\kappa$  of the form  $\aleph_{2\alpha}$ .*

It is worth mentioning here that for every cardinal  $\kappa$  the inequality  $\text{cf}(\text{Sym}(\kappa)) > \kappa^+$  implies  $\text{cf}(\text{Sym}(\kappa^+)) \leq \text{cf}(\text{Sym}(\kappa))$ , and it is not known even how to obtain  $\text{cf}(\text{Sym}(\kappa)) > \kappa^+$  at two consecutive  $\kappa$  simultaneously, see [18].

3. In order to show that  $j(\mathbb{P})_{\kappa+1} = \mathbb{P}$  in the proof of Theorem 1 we needed suitable stationary sets  $S^0, S^1$  and  $\langle S_k^0, S_k^1 : k \in \kappa \rangle$ . Instead of using the auxiliary forcing introducing such sets we could apply the same inner model argument as in the proof of [7, Theorem 11].  $\square$

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