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Abstract

The topic of this thesis is cofinitary groups, which are special subgroups of the infinite permutation group S_ω . We will begin by giving an overview of the algebraic properties of cofinitary groups. We will survey the algebraic properties of cofinitary groups, where the main results give us bounds on the size of cofinitary groups based on their orbit structure. We will then examine how to construct cofinitary groups using inverse limits and automorphisms of Boolean algebras. We then begin looking at maximal cofinitary groups and their possible sizes as well as the combinatorial characteristic \mathfrak{a}_g . In chapter 4 we will use forcing to show that there are infinitely many, non-isomorphic, maximal cofinitary groups, by constructing a group with n infinite and m finite orbits, for any tuple $(n, m) \in \mathbb{N}_{>0} \times \mathbb{N}$. In chapter 5, we use forcing constructions to show the existence of a maximal cofinitary group into which every countable group embeds. Finally, we show that we can tightly control the possible sizes of cofinitary groups in a model by adapting a novel proof from the theory of maximal almost disjoint families.

Abriss

Das Thema dieser Arbeit sind kofinitäre Gruppen, eine spezielle Klasse an Untergruppen der unendlichen Permutationsgruppen. Wir beginnen mit einer Übersicht der algebraischen Resultate für diese Gruppen. Die wichtigsten Resultate in diesem Kapitel sind strukturelle Einschränkungen der Kardinalität von kofinitären Gruppen durch ihre Orbitstruktur. In weiterer Folge betrachten wir Konstruktionen von kofinitären Gruppen mittels projektiver Limits und Automorphismen von Booleschen Algebren. Der Rest der Thesis befasst sich mit maximalen kofinitären Gruppen, wobei wir zuerst die möglichen Größen, sowie die kombinatorische Charakteristik \mathfrak{a}_g betrachten. In Kapitel 4 werden wir Forcing verwenden, um zu jedem Tupel $(n, m) \in \mathbb{N}_{>0} \times \mathbb{N}$ eine maximale kofinitäre Gruppe zu finden welche n unendliche und m endliche Orbits aufweist, wodurch wir unendlich viele nicht isomorphe Gruppen konstruieren können. In Kapitel 5 konstruieren wir mittels Forcing eine maximale kofinitäre Gruppe in welche wir alle abzählbar unendlichen Gruppen einbetten können. Im letzten Kapitel zeigen wir eine Konstruktion, welche uns die möglichen Größen von maximalen kofinitären Gruppen in unserem Modell steuern lässt.

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1 Introduction

One of the fundamental objects studied in algebra is the family of permutation groups, with broad-reaching results such as Cayley's Theorem, which asserts that all finite groups embed into a subgroup of some finite permutation group. Similar results exist for infinite permutation groups, as we will see in Chapter 5. These types of groups also play a large role in model theory, as automorphisms of structures are defined by permutations of the universe.

The theory of infinite permutation groups is a vast topic, and an interested reader might want to consult [6] to gain some insight into the general theory and problems that exist in the field.

We will be examining a special class of subgroups of infinite permutation groups which have found a "new home" in set theory, as they closely relate to an object of interest in that field, maximal almost disjoint (mad) families. The particular subgroups of S_ω , which we are interested in, are called cofinitary groups.

Definition 1.1 (Almost Disjointness). Two sets A, B are called almost disjoint if $|A \cap B| < \omega$, i.e. they have finite intersection.

Let \mathcal{A} be a set of infinite subsets of the natural numbers. Then we call \mathcal{A} an almost disjoint family if all sets $A, B \in \mathcal{A}$ are pairwise almost disjoint.

If, additionally, for any infinite set $C \subset \omega$ we have

$$C \in \mathcal{A} \text{ or } \exists D \in \mathcal{A} |C \cap D| = \omega,$$

then we call \mathcal{A} a maximal almost disjoint (mad) family. Furthermore the minimal size of an infinite mad family of subsets of the natural numbers is denoted by \mathfrak{a} .

Analogously, we can apply this concept to bijective functions of the natural numbers:

Definition 1.2 (Cofinitary Permutation). A permutation $\sigma \in S_\omega$ is called cofinitary if it has only finitely many fixed points or is the identity permutation.

Finally, we may define the central object of interest of this text:

Definition 1.3 (Cofinitary Group). A subgroup $G \leq S_\omega$ is called a cofinitary group if all $\sigma \in G$ are cofinitary.

Similarly to mad families, we may define a notion of maximality as follows: Let G be a cofinitary group. Then G is maximal if for any $\sigma \in S_\omega$ we have

$$\text{either } \sigma \in G \text{ or } \langle G, \sigma \rangle \text{ is not cofinitary.}$$

We can also characterize cofinitary groups in terms of almost disjointness, where two functions are said to be almost disjoint if they are almost disjoint as sets.

Lemma 1.4. *Let G be a subgroup of S_ω . Then G is cofinitary iff G is an almost disjoint family of sets.*

Proof. Any two permutations σ, ρ which agree on infinitely many points would give us a permutation $\sigma^{-1}\rho$ which has infinitely many fixed points and any non-cofinitary permutation would have infinite intersection with the identity permutation, hence we have shown the equivalence. \square

From a set theoreticians point of view, we note that this definition naturally generalizes, for uncountable cardinal numbers κ , to groups of permutations of κ with strictly less than κ -many fixed points. A treatment of maximal cofinitary groups of uncountable degree can be found in [10].

To aid intuition, let us consider a simple example of a cofinitary group before moving on:

Example 1.5. (i). The group $\langle f \rangle$, where $f \in \text{Sym}(\mathbb{N})$ is given by

$$f(x) := \begin{cases} x + 2 & \text{if } x \text{ is even,} \\ 0 & \text{if } x = 1, \\ x - 2 & \text{otherwise,} \end{cases}$$

is a countable cofinitary group and $\langle f \rangle \cong (\mathbb{Z}, +)$.

(ii). The element $g \in \text{Sym}(\mathbb{N})$ defined as $g = (123)(45)(67)(89) \dots$ can not be an element of a cofinitary group, as $g \circ g \neq id$ has infinitely many fixed points, even though g itself is cofinitary.

This example illustrates the main difficulty of constructing these groups, which is the fact that we have to guarantee that all non-trivial words of elements will only have finitely many fixed points.

This is conceptually similar to the word problem, which is known to be undecidable for finitely presentable groups by Novikov [26]. The word problem can be solved for a group if we find an algorithm to determine whether an arbitrary word made up of group elements is equivalent to the identity.

2 Preliminaries

We will now establish some of the notation and conventions which we will use for the remainder of this thesis. Alongside these fundamental definitions, we will state some fundamental theorems.

For indices, we generally use lowercase Latin letters when indexing over the natural numbers and lowercase Greek letters when indexing in the transfinite case.

2.1 Model Theory

We will be using model-theoretic concepts all throughout this thesis, as there seems to be a strong connection between the theory of permutation groups and model theory. Forcing also relies on some model-theoretic arguments for some of the most central theorems of the technique.

All our structures will be in some language \mathcal{L} which is a triple (C, F, R) where C is a set of constant symbols, F is a set of function symbols, and R is a set of relation symbols. A set M , along with interpretations of the symbols in \mathcal{L} , is called a structure. We say a set T of \mathcal{L} -sentences is a theory, and we call it consistent if we can not derive a contradiction from the sentences in T . An example of a theory would be PA, the axioms of Peano arithmetic. We call a structure \mathfrak{M} a model of T if all sentences of T hold in \mathfrak{M} . Note that a model only exists if the theory is consistent, as models need to be free of logical contradictions.

One theorem that we will be using a lot throughout this thesis, even though those uses often are implicit will be the theorem of Löwenheim-Skolem:

Theorem 2.1. *Let \mathfrak{B} be an \mathcal{L} -structure and let B be its universe. Furthermore, let $S \subseteq B$ and let κ be an infinite cardinal.*

- (i). *If $\max(|S|, |\mathcal{L}|) \leq \kappa \leq |B|$ then \mathfrak{B} has an elementary substructure of size κ containing S .*
- (ii). *If $\omega \leq \max(|B|, |\mathcal{L}|) \leq \kappa$ then there exists an elementary extension of \mathfrak{B} of cardinality κ .*

Another concept that will appear is that of types:

Definition 2.2 (Type). Let \mathfrak{A} be an \mathcal{L} -structure and let $B \subseteq A$. A set $p(x)$ of \mathcal{L} -formulas is called a type over B if it is maximally finitely satisfiable in \mathfrak{A} . This means that for any finite subset of $q(x) \subseteq p(x)$, there is some element $a \in A$ such that a satisfies all formulas in $q(x)$.

We say a type $p(x)$ is realized in \mathfrak{A} if there is an element $a \in A$ such that a satisfies all the formulas in $p(x)$. If this is not the case we say that the structure \mathfrak{A} omits the type.

We say a structure \mathfrak{M} is ω -homogeneous if any isomorphism of finite substructures can be extended to an automorphism of \mathfrak{M} .

Finally we require one last theorem that will be used for constructions later in the thesis:

Definition 2.3 (Skeleton). For a language \mathcal{L} the skeleton \mathcal{K} of an \mathcal{L} -structure \mathfrak{M} is the class of all finitely-generated \mathcal{L} -structures which are isomorphic to substructures of \mathfrak{M} . We say the structure \mathfrak{M} is \mathcal{K} -saturated if its skeleton is \mathcal{K} and for all $\mathfrak{A}, \mathfrak{B} \in \mathcal{K}$ and for all embeddings $f : \mathfrak{A} \rightarrow \mathfrak{M}$ and $g : \mathfrak{A} \rightarrow \mathfrak{B}$ there is an embedding $h : \mathfrak{B} \rightarrow \mathfrak{M}$ with $f = h \circ g$.

One important property of \mathcal{K} -saturated structures is that they are isomorphic.

Theorem 2.4. *Let \mathcal{L} be a countable language and let \mathcal{K} be a countable class of finitely-generated \mathcal{L} -structures. There is a countable \mathcal{K} -saturated \mathcal{L} -structure \mathfrak{M} if and only if*

- (i). \mathcal{K} is downward closed, i.e. if $\mathfrak{A} \in \mathcal{K}$, then all elements of the skeleton of \mathfrak{A} belong to \mathcal{K} .
- (ii). Let $\mathfrak{A}, \mathfrak{B} \in \mathcal{K}$. Then there is some $\mathfrak{D} \in \mathcal{K}$ and embeddings of \mathfrak{A} and \mathfrak{B} into \mathfrak{D} .
- (iii). Let $\mathfrak{B}, \mathfrak{C} \in \mathcal{K}$ such that they have a common substructure \mathfrak{A} that embeds into \mathfrak{B} and \mathfrak{C} via e_1 and e_2 respectively. Then there is some $\mathfrak{D} \in \mathcal{K}$ and embeddings $f : \mathfrak{B} \rightarrow \mathfrak{D}$ and $g : \mathfrak{C} \rightarrow \mathfrak{D}$, such that $f \circ e_1 = g \circ e_2$.

We call this \mathfrak{M} the Fraïssé limit of \mathcal{K} .

The third property of the above theorem is called the “amalgamation property” and one might replace it with the so-called “strong amalgamation property”, which stipulates that

$$\text{im}(f(\mathfrak{B})) \cap \text{im}(g(\mathfrak{C})) = \text{im}(f(e_1(\mathfrak{A}))) \quad (= \text{im}(g(e_2(\mathfrak{A}))))).$$

For a more thorough introduction to model theory, as well as the proofs to the theorems mentioned above, we would recommend either [29] or [23].

2.2 Set Theory

All of the set theoretic proofs in this thesis will be using the axioms of ZFC (Zermelo-Fränkel-Choice) with additional axioms specified as necessary. Our

set theoretic language will be that of $(\emptyset, \emptyset, \{\in\})$ with the usual interpretation. All other symbols (subsets, intersections, ...) are definable in terms of this language, and we merely see them as a form of “syntactic sugar” to make proofs readable to the working mathematician.

Generally, we will follow the notational conventions laid out by [21], which is also one of the main references used for set theoretic questions. Another frequently recommended textbook about set theory is [15].

One important idea is that we can always treat maps $f : A \rightarrow B$ as a special subset of $A \times B$, in which elements of A may only appear in at most one pair. If the function is only partially defined on A we will often write $f : A \dashrightarrow B$. $\text{dom}(f)$ and $\text{ran}(f)$ are the domain and range of the map f respectively.

When discussing cardinalities, as a convention we will use ω in place of \aleph_0 and \mathfrak{c} instead of 2^ω or 2^{\aleph_0} to denote the size of the continuum. Should other cardinal numbers appear, then we will either define their meaning explicitly or stick to standard \aleph_α notation indexed via ordinal numbers.

Let X be a set and let κ be a cardinal number. Some commonly used shorthand notation throughout the thesis will be $\mathcal{P}(X)$ to denote the power set operation, X^κ to denote sequences of length κ formed with elements of X and $[X]^\kappa$ as the set of all κ sized subsets of X . If $|X| < \kappa$ we take this set to be empty. Furthermore we define

$$[X]^{<\kappa} := \bigcup_{\alpha < \kappa} [X]^\alpha,$$

the set of all less than κ sized subsets of X .

Most proofs from the fourth chapter onwards will be utilizing forcing as a proof technique. Forcing is a powerful machinery used to construct models of ZFC in which we can guarantee the existence of certain sets. Any reader that is not familiar with forcing is urged to familiarize themselves with the concept in order to be able to follow the logic of the proofs. The standard texts for this are once again [21] and [15]. Another recommended introductory text, that is somewhat less technical, is [25].

Finally we will state one theorem that will be used frequently in later sections:

Theorem 2.5 (Δ -System Lemma). *Let κ be any infinite cardinal and let $\lambda > \kappa$ be a regular cardinal such that*

$$\forall \alpha < \lambda (|\alpha^{<\kappa}| < \lambda).$$

Then for any family of sets \mathcal{A} with $|\mathcal{A}| \geq \lambda$ and $\forall x \in \mathcal{A} (|x| < \kappa)$ there is $\mathcal{B} \subseteq \mathcal{A}$ such that $|\mathcal{B}| = \lambda$ and there exists a fixed set r , called the root, such that for any $a, b \in \mathcal{B}$ we have $a \cap b = r$.

A proof of this theorem can be found in [21].

2.3 Group Theory

As we will sometimes (implicitly) treat the groups we work with as a model theoretic structure we need a language of groups. The one we will be using is

$$\mathcal{L}_G := (\{\mathbf{1}\}, \{:, \text{---}^{-1}\}, \emptyset),$$

where the usual interpretations are used. The theory of groups includes the usual axioms of neutral and inverse elements as well as associativity.

Most groups we will be working with in the later sections will be of infinite cardinality, thus we can't rely on a lot of classical results to aid us in classification, as they mostly apply to finite groups.

First let us remind ourselves of a central definition that will appear a lot all throughout the text:

Definition 2.6 (Group action). Let G be a group and let S be a set. A group action is a function $\mu : G \times S \rightarrow S$ such that the following conditions hold:

- (i). $\mu(g, \mu(h, s)) = \mu(gh, s)$ for all $g, h \in G, s \in S$;
- (ii). $\mu(1, s) = s$ for all $s \in S$.

We will not be distinguishing left and right group actions as these definitions are essentially the same for our purpose.

We can define an equivalence relation \sim for any group action, in the following way

$$s \sim t \iff \exists g \in G \quad g(s) = t,$$

where $s, t \in S$. The equivalence classes of this relationship are called the orbits of the action μ . If there is only one equivalence class we call the action transitive.

Further, let us recall that the stabilizer of a point $s \in S$ is defined as $G_s := \{g \in G \mid g(s) = s\}$, i.e. the set of permutations with fixed point s . We note that G_s is a subgroup of G .

Definition 2.7 (Free group). Let A be a set of symbols. We define the free group on A to be the group with the presentation

$$F(A) := \langle A \mid \emptyset \rangle.$$

The elements of this group are reduced words made up of letters from the alphabet A . In the case of free groups we call the cardinality of the base set the rank of the group. Any free group has the universal property that a function

$f : A \rightarrow G$ from the base set into some group G extends uniquely to a group homomorphism $F : F(A) \rightarrow G$.

If we let G and H be groups, then we call $G * H$ the free product of groups which is defined as

$$G * H := \langle G \cup H \mid R_G \cup R_H \rangle,$$

where R_G denotes the set of relations of G .

If we now assume we have an action μ of some group G and we let $w := g_1 g_2 \dots g_n$ be a word in the group, then we can evaluate the action of $\mu(w, x)$ step by step due to the associativity of the group action. Our convention will be that the evaluation of $\mu(w, x)$, also written as $w(x)$ when no confusion about the action can arise, will be done from right to left, i.e. the first element we evaluate will be $x_n := \mu(g_n, x)$, then $\mu(g_{n-1}, x_n)$ and so on.

3 The Algebraic Perspective

Before focusing on the class of maximal cofinitary permutation groups, which requires a lot of set theoretic machinery, we will take an excursion into the classical treatment of these groups.

The study of cofinitary groups arose naturally after research was conducted by Wielandt [32], and subsequently Neumann [24], on the structure of finitary groups, permutation groups on infinite sets whose elements all have finite support. As opposed to the cofinitary groups, maximality of this class of groups is trivial, as the group that contains all permutations with infinitely many fixed points is also finitary, since $|supp(f \circ g)| \leq |supp(f)| + |supp(g)|$, where $supp(f) := \{n \mid f(n) \neq n\}$.

Considering some of the results presented later, there seems to be little hope of finding a theorem for classifying them in full generality.

3.1 Permutation Groups

In this section we will review a few of the definitions from the theory of permutation groups which we will use a lot throughout the rest of the chapter. For a more in depth treatment of the theory of permutation groups, see [6] or [8].

Let G be a permutation group defined on a set S . Then we call the cardinality of S the degree of G . The action of G on S which we obtain by applying a permutation g as a bijective function on S is called the natural action of G .

A permutation which only exchanges two elements and leaves all others in place is called a transposition. If the set S is finite, we can define the sign of a permutation σ to be

$$sgn(\sigma) := \begin{cases} 1 & \text{if } \sigma \text{ can be written as a product of an even} \\ & \text{number of transpositions,} \\ -1 & \text{otherwise} \end{cases}$$

The alternating group $A_n \leq S_n$ is then defined as the group containing all permutations with positive sign.

In the theory of permutation groups, there are a number of group actions with special properties that can provide us additional means to aid in classification.

We call a group G semiregular, if no permutation other than the identity has a fixed point or equivalently, the stabilizer G_s is trivial for all $s \in S$. If the group G also acts transitively, we call the group regular.

If G is a permutation group with a regular normal subgroup $N \trianglelefteq G$, then we can identify the set S with N by fixing $s \in S$ and then using the bijection

$$\begin{aligned}
f: N &\longrightarrow S \\
n &\longmapsto t := n(s)
\end{aligned}$$

Additionally we note that the above map also induces an isomorphism between the action of G_s on S and the action of G_s on N via conjugation. First note that the action of G_s on N is closed, so we always stay inside N . Now let $n \in N$ be such that $t = n(s)$, then

$$(g^{-1}n_1g)(s) = g^{-1}(t)$$

and we see that by regularity of N we get a uniquely determined element n_1 for each n , the one mapping s to $g^{-1}(t)$.

We know that $N \cap G_s$ will always be trivial, so if we take G_1 and note that $G = NG_1$ (if $g(1) = k$, then $n \in N$ such that $n(1) = k$ gives us $n^{-1}g \in G_1$, which yields a unique solution to the equation $g = nx$ for $x \in G_1$), then we see that G is the semidirect product of N and G_1 .

Let $k \in \omega$, we say that G is k -transitive on S if G acts transitively on S^k , the space of k -tuples under the componentwise action. If G is k -transitive and for every pair of tuples (a, b) there is a unique $g \in G$ such that g maps a to b then we say G is sharply k -transitive. As an example, the finite symmetric group S_n is both sharply n and $n - 1$ transitive.

It is a theorem that for $k \geq 4$ the only sharply k -transitive groups are either the symmetric groups S_k or S_{k+1} , the alternating group A_{k+2} and the Mathieu groups M_{11} for $k = 4$ and M_{12} for $k = 5$. Thus all the sharply k -transitive cofinitary groups are either isomorphic to these or have $k < 4$. Those interested in a proof of this theorem should consult [30] or [33].

Let G be a group acting on a set S and let \sim be an equivalence relation defined on $S \times S$. We say \sim is G -invariant if for all $s, t \in S$ and all $g \in G$ we have

$$s \sim t \iff g(s) \sim g(t).$$

Any action admits two trivial G -invariant equivalence relations, equality, i.e. $s \sim t \iff s = t$, and the universal relation where $s \sim t$ for all $s, t \in S$.

A group G acting on S is said to be primitive if these are the only possible equivalence relations on S which are G -invariant.

Lastly, we need one more definition that will allow us to more precisely characterize the groups we work with.

Definition 3.1 (Type). For a permutation group G we call the set

$$\text{typ}(G) := \{n \in \omega \mid \exists \sigma \in G \setminus \{id\} \mid \text{fix}(\sigma) = n\}$$

the type of G . If $\max(\text{typ}(G))$ exists, then we say that the type of G is bounded.

Note that any semiregular group will always be of type 0. Note that this specific type is not the concept introduced before, but it could be defined as a model theoretic type in a language of group theory that allows for group actions.

Lemma 3.2. *Let $G \leq S_\omega$. Then there exists a relational structure M on the universe ω such that*

(i). $G \leq \text{Aut}(M)$,

(ii). G and $\text{Aut}(M)$ have the same orbits in ω^n for all $n \in \omega$.

Proof. For each $n \in \omega$ let us decompose ω^n into orbits under the action of G , in total there are countably many, so let us fix an enumeration as O_1, O_2, \dots . Now associate a relation symbol R_i to each orbit O_i such that for a tuple x

$$R_i(x) \iff x \in \omega^n \text{ and } x \in O_i.$$

The Lemma directly follows from the construction of the structure $M := (\emptyset, \emptyset, (R_i)_{i \in \omega})$. \square

Remark 1. This relational structure is called the ‘‘canonical relational structure’’. Note that there may be many more non-isomorphic structures for which $G \leq \text{Aut}(M)$ holds.

3.2 Residually Finite Groups

One class of groups that often appear when studying cofinitary permutation groups are the residually finite groups, also known as the ‘‘finitely approximable’’ groups.

Definition 3.3 (Residually Finite Group). A group G is said to be residually finite if for each $g \in G \setminus \{1\}$ there is a homomorphism $\phi: G \rightarrow H$ to a finite group H with $\phi(g) \neq 1$.

We note that any finite group is trivially residually finite via the identity homomorphism. Some other examples are the finitely generated nilpotent groups or finitely generated linear groups (which is a famous result by Mal’cev [22]), along with the free groups, which we want to quickly examine in more detail. The proof of the following proposition appears in [7].

Proposition 3.4. *Let G be a free group of finite rank n . Then G is residually finite.*

Proof. Let x_1, \dots, x_n be the generators of G and let w be a reduced word in G . Write $w = x_{i_1}^{e_1} x_{i_2}^{e_2} \dots x_{i_m}^{e_m}$ where each x_{i_k} is a generator and $e_j = \pm 1$. We will construct a homomorphism $\phi: G \rightarrow S_{m+1}$ as follows. If $e_j = 1$ we let $\phi(x_{i_j})$ be such that it maps $k \mapsto k + 1$ and if $e_j = -1$ then it maps $k + 1 \mapsto k$. These restrictions will impart certain conditions on the permutations we may map our generators to, but as long as we make choices in accordance to them we obtain $\phi(w)(1) = m + 1$. Note that it may happen that a generator does not appear in w and we can thus freely choose any element f of S_{m+1} such that $\phi(w) = f$. \square

3.3 Cofinitary Groups

As a unified structural theory for general cofinitary groups currently seems outside of our grasp, we will consider subclasses of cofinitary groups that share some common structure. Often the structure we consider is that of the orbits of the natural action. This observation, along with all the results in this section, is due to Cameron [5]. Those cofinitary groups where all orbits are finite are particularly nice to work with and have some unifying features.

Before we begin with said examination, we present some elementary facts:

Proposition 3.5. *Let G be a cofinitary group.*

- (i). *Any subgroup $H \leq G$ is cofinitary.*
- (ii). *If G is cofinitary and its action on S has an infinite orbit O , then it must act faithfully on O .*
- (iii). *If G acts cofinitarily on S_1 and S_2 then it also acts cofinitarily on $S_1 \cup S_2$ and $S_1 \times S_2$.*

Now let us begin showing some non-trivial results on cofinitary groups.

Proposition 3.6. *Let G be a group. The following conditions are equivalent.*

- (i). *G is isomorphic to a permutation group of countable degree with finite orbits.*
- (ii). *G is isomorphic to a cofinitary permutation group of countable degree with all orbits finite.*
- (iii). *G has a countable family of subgroups of finite index with trivial intersection.*
- (iv). *G is a product of countably many finite groups.*

Proof. To see that (i) implies (ii), we begin by enumerating the orbits of G as O_1, O_2, \dots . Now define $\Delta_1 := O_1$ and inductively let Δ_i be a G -orbit in $\Delta_{i-1} \times O_i$. Next, let Δ'_i be the regular representation of the transitive constituent G^{Δ_i} (the transitive permutation group on Δ_i induced by G), which is always finite. Note that for any non-trivial element $g \in G$ there exists an $i \in \omega$ such that g acts non-trivially on O_i . This tells us that it also acts fixed point freely on Δ'_j for all $j \geq i$, thus assuring every permutation in G is cofinitary on $\bigcup_i \Delta'_i$.

Assume G is a cofinitary permutation group with all orbits finite, then we can express it as a subgroup of the Cartesian product of its transitive constituents. Let G_1, G_2, \dots be an enumeration of these constituents and define homomorphisms $\pi_i: G \rightarrow G_i$ as the natural projections on the i th coordinate of the cartesian product. Let $H_i := \ker(\pi_i)$ then $H_i \leq G$ and $[G : H_i] = |G_i| < \omega$. The family $(H_i)_{i \in \omega}$ now satisfies (iii).

To see (iii) implies (iv), we first recall that any subgroup of finite index contains a normal subgroup of finite index, by the property of the given family, we know that for every non-trivial element x of G there is $N_x \leq G$ with $x \notin N_x$ such that for the quotient map $\phi_{N_x}: G \rightarrow G/N_x$ we get $x \notin \ker(\phi_N)$. We then see that we can embed G into

$$K := \prod_{x \in G \setminus \{id\}} G/N_x,$$

via the injective group homomorphism

$$\begin{aligned} \phi: G &\longrightarrow K \\ x &\longmapsto (\phi_{N_{x_1}}(x), \phi_{N_{x_2}}(x), \dots) \end{aligned}$$

The product K is isomorphic to a countable product of groups, as we need only countably many finite groups that we obtain from taking quotients.

Finally, to see that (iv) implies (i), recall that every finite group is isomorphic to a subgroup of a finite permutation group. Let $(G_i)_{i \in \mathbb{N}}$ be the countable family of finite groups and let $(m_i)_{i \in \mathbb{N}}$ be the size of the symmetric group S_{m_i} such that there exists $H_i \leq S_{m_i}$ such that $G_i \cong H_i$. Then we can define $G = \prod G_i \cong \prod H_i \leq \prod S_{m_i}$. \square

Comparing (iii) to the definition of residually finite groups immediately yields the following corollary.

Corollary 3.7. *Any countable residually finite group is isomorphic to a cofinitary group with finite orbits.*

Analyzing this particular class of groups, we come to see that it is closed under countable direct products, which is a trivial consequence of (iv) in the above proposition.

Using this fact we get another corollary.

Corollary 3.8. *The free group F_c of rank 2^ω is isomorphic to a cofinitary group.*

Proof. First, let us recall that the set 2^ω consists of infinite sequences of 1s and 0s. Let us define a homomorphism $\phi: F_c \rightarrow F_2^\omega$ where F_2^ω is a countable direct product of free groups on two generators. We note that this infinite product has uncountably many elements.

Let $w = r_1^{e_1} \dots r_n^{e_n}$ be a word in F_c and consider each r_i as an infinite sequence of 1s and 0s where $r_i(k)$ denotes the k th element of the sequence. Then we define

$$\phi(w) := (r_1(1)^{e_1} r_2(1)^{e_2} \dots r_n(1)^{e_n}, r_1(2)^{e_1} r_2(2)^{e_2} \dots r_n(2)^{e_n}, \dots),$$

which gives us an embedding of the set of words in F_c into F_2^ω . We also immediately see that this map is a isomorphism, telling us that F_c is in fact cofinitary. \square

Finally, using this next lemma, we are able to fully classify the cofinitary permutation groups which admit only finite orbits.

Lemma 3.9. *Let G be a cofinitary group which has infinitely many finite orbits of size n . Then $|G| = n$.*

Proof. We will show this by contradiction.

We begin by fixing $n! + 1$ distinct elements in G , say $g_1, \dots, g_{n!+1}$, then we know that there are $n!$ possible permutations they can induce on an orbit of size n . By the pigeonhole principle, there must be at least one permutation induced on infinitely many orbits by g_1 .

Now, consider only those orbits and see that g_2 must induce one permutation of the n elements of these orbits infinitely often. Continuing iteratively, we obtain an infinite set of orbits on which each element g_k induces the same permutation of elements.

As there can be only $n!$ many of those, at least two elements g_i and g_j must induce the same permutation on those orbits, thus $g_i g_j^{-1}$ would have infinitely many fixed points, a contradiction.

To see that $|G| = n$ we note that the elements of G need to act regularly on all but finitely many of these orbits. This allows us to conclude that $|G| = n$ as elements are uniquely determined by the regular action on these orbits. \square

This lets us obtain the following corollary:

Corollary 3.10. *Let G be a cofinitary group with all orbits finite. Then it is either of countable degree or finite.*

As the last part of this section, we will be looking at some of the results concerning the normal subgroups of cofinitary groups. In classical group theory, gaining an understanding of the normal subgroups of a group makes it easier to understand possible homomorphisms into other groups, as well as the quotient groups. In infinite group theory, this becomes rather difficult, as indicated by the oftentimes very complicated automorphism groups of infinite permutation groups.

The Schreier-Ulam Theorem [28] indicates that no cofinitary group can be a normal subgroup of S_ω , as the only two nontrivial subgroups of this group are $\bigcup_{n \in \omega} S_n$ and $\bigcup_{n \in \omega} A_n$.

Note, once again, that the result depends on the existence of finite orbits, which seem to aid greatly in obtaining elementary results.

This next result is once again presented in [5].

Proposition 3.11. *Let G be an infinite, transitive cofinitary group and let $N \trianglelefteq G$ be a normal subgroup. If N has a finite orbit, then it is semiregular and G/N acts as a cofinitary group on the set of orbits of N .*

Proof. Assume N has two orbits of different size O_1 and O_2 and let wlog $|O_1| < |O_2|$, then by transitivity of G there exists an element $g \in G$ and elements $y \in O_1$ and $x_1 \in O_2$ such that $g(y) = x_1$. As $|O_1| < |O_2|$ there must be an $x_2 \in O_2$, such that $g(x_2) \notin O_1$. Finally, as N is transitive on its orbits there exists an $f \in N$, such that $f(x_1) = x_2$. This gives us

$$N \ni (g^{-1}fg)(y) = g^{-1}(f(g(y))) = g^{-1}(x_2) \notin O_1.$$

Together with Lemma 3.9 this tells us that N is finite and acts regularly on all but finitely many orbits. By a similar argument as above we get that N must be a semiregular group. Now let K be the kernel of the action of G on the orbit set \mathcal{O} of N . Then $N \leq K$. As K is semiregular $|K| \leq |N|$, thus $N = K$. thus G/N acts faithfully on \mathcal{O} .

It remains to show that G/N acts cofinitarily. Indeed, let $g \in G$ fix infinitely many orbits in \mathcal{O} . By the pigeonhole principle there must be one permutation of the set $1, \dots, n$ that occurs infinitely often. N must also act the same way on infinitely many of these orbits, so there is an $h \in N$ such that gh^{-1} fixes an element in all of these orbits, which tells us that $gh^{-1} = id$, so $g \in N$. \square

Using this result and the fact that a cofinitary group always acts faithfully on infinite orbits, allows us to classify the actions of normal subgroups as follows:

Corollary 3.12. *Let G be as above and let $N \trianglelefteq G$. Then N acts faithfully on each orbit.*

Assuming primitivity of our cofinitary group will yield another structural result, for which we need the next definition.

Definition 3.13 (Frobenius Group). A group G is said to be a Frobenius group if it is transitive and of type $\{0, 1\}$.

Proposition 3.14. *Suppose G is an infinite, primitive, transitive cofinitary group and let $N \trianglelefteq G$ be a non-trivial abelian normal subgroup of G . Then one of the two following cases holds:*

- (i). G is a Frobenius group,
- (ii). N is an elementary abelian p -group and G is a semidirect product of N with an irreducible cofinitary linear group of infinite dimension over \mathbb{F}_p .

Remark 2. This result draws an explicit connection to the notion of cofinitary linear groups, which are subgroups of $GL(V)$ (where V is some vector space) where every element has finite dimensional fixed point space.

Proof. A normal subgroup induces an equivalence relation through its orbits, so we know that if G is primitive and transitive, N must also be primitive. As any cofinitary group acts faithfully on infinite orbits, we get that N must be regular.

This allows us to identify N with the set of elements permuted by N so that N acts by right multiplication and G_1 acts by conjugation on this set. Since G is primitive, N has no non-trivial proper G_1 -invariant subgroup.

Suppose now that G is not a Frobenius group, then there is some $g \in G_1$ with non-trivial centraliser in N .

Assume N has an element of finite order, and let p be a prime dividing $|C_N(g)|$, then the elements of order dividing p in N form a characteristic subgroup, which must be all of N , and thus an elementary abelian p -group of infinite dimension.

Otherwise N is torsion-free and so there is a non-trivial element $n \in N$ such that for a non-trivial $h \in H$ these elements commute, which would mean that h also commutes with all powers of n , contradicting cofinitarity.

We know that $G \leq S_\omega$ is a subgroup of $GL(V)$, the infinite linear group over any vector space V and thus trivially a subgroup of $AGL(V)$, the affine linear group. These groups decompose as $G = V \rtimes G_0$ where V is the additive group of the vector space and G_0 is a linear group on V . In the case of G primitive G_0 must be irreducible on V . \square

Note that the stated proof differs from the one given in [5] which as pointed out to me in personal communication contained a minor flaw. Peter Cameron

further stated that the result still holds when we do not ask for G to be cofinitary, with the minor alteration that both N and H will be defined as linear over the rational numbers.

3.4 Topology of Cofinitary Groups

In this section we will examine how we can turn a cofinitary group into a topological group. This section is based on the definitions and results given in [4], in particular Chapters 2.3 and 2.4. There are still a number of open questions regarding the topological properties of cofinitary groups, especially the question if any maximal cofinitary groups are closed. In a recent paper Horowitz and Shelah showed the existence of a Borel maximal cofinitary group, assuming ZF [14].

Before we get into this, let us recall the basic definition.

Definition 3.15 (Topological group). Let G be a group, we say that G is a topological group if G is a topological space and both the group law $\cdot : G \times G \rightarrow G$ and taking inverses $^{-1} : G \rightarrow G$ are continuous functions under the topology on G .

For a symmetric group of countable degree acting on the set S and any of its subgroups, we can define a natural topology via pointwise convergence. We may assume S to be ω without loss of generality. A sequence of permutations f_n converges to a limit f if for all $i \in \omega$ there is an $N \in \omega$, such that $f_n(i) = f(i)$ for all $n > N$.

To see that under this notion of convergence we have a topological group, let $\lim_{n \rightarrow \omega} g_n = g$ and $\lim_{n \rightarrow \omega} f_n = f$, then both $\lim_{n \rightarrow \omega} f_n^{-1} = f^{-1}$ and $\lim_{n \rightarrow \omega} f_n g_n = f g$. As this is very easy to show we will not give an explicit proof and leave it as an exercise to the reader.

In fact we can define a metric on the symmetric group that will induce this topology of pointwise convergence. For any $c \in (0, 1)$ we can define

$$d_c(g, h) := \begin{cases} 0 & \text{if } g = h, \\ c^{-i} & \text{if } g(n) = h(n) \text{ for } n < i \text{ but } g(i) \neq h(i). \end{cases}$$

This metric is a very intuitive notion, as it measures the length of the initial segment that two functions (interpreted as sequences, where the n th element is given by $f(n)$) agree on. Note that this topology is not complete. We can let $g_n := (012 \dots n-1)$, which is Cauchy in S_ω , but the limit of g_n is not in S_ω as 0 is not in the domain of $\lim_{n \rightarrow \omega} g_n$.

We can modify the metric to be

$$d'(g, h) := \max(d_c(g, h), d_c(g^{-1}, h^{-1})),$$

which defines the same topology but is complete.

Proposition 3.16. *Let $G \leq S_\omega$. Then G is closed if and only if $G = \text{Aut}(M)$ for some first order structure M on ω .*

Proof. Let $g_n \rightarrow g$ be a sequence in G and suppose G is closed. Let M be the canonical relational structure of G . Let us further suppose $\tilde{g} \in \text{Aut}(M)$ and let \bar{a} be a tuple of elements of ω . There is some $g' \in G$ such that $g'(\bar{a}) = \tilde{g}(\bar{a})$ by Lemma 3.2. We iteratively construct a sequence g_n by choosing $g_n = g'$ for the tuple $\bar{a} = (0, \dots, n-1)$, this sequence converges to \tilde{g} and since G is closed we know that $\tilde{g} \in G$.

For the other implication we can assume wlog that M is a purely relational structure.

Now suppose $G = \text{Aut}(M)$, and let $g_n \rightarrow g$ be a sequence in G . Let $a \in M$. Then there exists $n \in \omega$ such that $g_n(a) = g(a)$. Let \bar{a} now be a tuple in M satisfying a relation R . Note that as g_n is an automorphism we know that there is some \bar{n} such that $(g_{\bar{n}}(a_1), \dots, g_{\bar{n}}(a_n)) = (g(a_1), \dots, g(a_n)) =: g(\bar{a})$ and thus $R(\bar{a})$ implies $R(g(\bar{a}))$. Thus g is an automorphism of M and so G is closed. \square

Corollary 3.17. *Let M be a countably infinite first order structure M then either $|\text{Aut}(M)| \leq \aleph_0$ or $|\text{Aut}(M)| = 2^{\aleph_0}$. The first case is true if and only if the stabiliser of some tuple is the identity.*

Proof. Let us assume there is some tuple whose stabiliser is the identity. This implies that G must be a discrete group, and as such G must be countable.

If not, then the identity and thus every point must be a limit point, which gives the other case. \square

Similar results exist that help us understand other important topological subgroups of S_ω :

Proposition 3.18. *Let $G \leq S_\omega$.*

- (i). *G is open if and only if it contains the stabilizer of a finite tuple in S_ω .*
- (ii). *G is discrete if and only if there is a finite tuple whose stabilizer in G is the identity.*
- (iii). *G is compact if and only if it is closed and all orbits are finite.*
- (iv). *G is locally compact if and only if it is closed and there is a finite tuple such that all the orbits of its stabilizer are finite.*

Proof. All but the last two statements have been shown previously. Before we show the second to last one, let us note that the last one is a trivial consequence of it.

Let us assume that there exists an infinite orbit O . Let $a \in O$ and define $X_b := \{g \in G \mid g(a) = b\}$. These point stabilizers form an open cover of G . We note that any finite subset of $X := \{X_b \mid b \in O\}$ will not form a cover of G . As S_ω is Hausdorff we see that the closedness is a necessary condition as well.

Now, assume G is closed and has finitely many orbits. We enumerate the orbits as O_1, O_2, \dots . Towards a contradiction we may assume that there is a cover of G that is infinite and admits no finite subcover.

Let $g \upharpoonright O_i$ be the restriction of $g \in G$ to the finite permutation group S_{O_i} in the natural way. Assume that for a fixed i , for all $h \in S_{O_i}$ the induced cover of the set

$$G_h := \{g \in G \mid g \upharpoonright O_i = h\}$$

has a finite subcover. This is clearly absurd, as this would contradict our assumption. Thus for all $i \in \omega$ there is at least one $h_i \in S_{O_i}$, such that G_{h_i} has no finite subcover. Let the sequence $(\hat{h}_i)_{i \in \omega}$ denote these elements. As the group is closed, we know that the limit

$$\bar{g} := \bigcup_{i \in \omega} G_{\hat{h}_i}$$

must lie in G . Thus \bar{g} must lie in some member of the cover, say S .

As S is open there exists some m , such that

$$\bigcup_{i=1}^m G_{\hat{h}_i} \subseteq S$$

a contradiction. □

To end this section, we'll just state one more fact about the closure of permutation groups with finite orbits inside of S_ω , namely that the closure of $G \leq S_\omega$, \bar{G} is the inverse limit of the inverse system $G_i = G/N_i$ where N_i is the normal subgroup fixing $O_1 \cup O_2 \cup \dots \cup O_i$, with the morphisms taken to be the canonical projections from G_i into G_j for $i > j$.

3.5 Constructions

Before we begin introducing the methods of forcing to construct cofinitary groups, we will examine some of the classical techniques that can be used to obtain them.

Over the years many different ways of constructing permutation groups with few fixed points have been discovered, with the papers of Koppelberg [20] and

Cameron [5]. outlining a multitude of possible approaches. Of those, we will consider two exemplary ones, the first one for its simplicity and the second one for its interesting results.

3.5.1 Constructions using Inverse Limits

The results of this section are due to [20].

Definition 3.19 (Inverse Limit). Let (I, \leq) be a directed poset and let $(A_i)_{i \in I}$ be a family of groups. Let $f_{ij} : A_j \rightarrow A_i$ be a homomorphism for all $i \leq j$ with the properties

- (i). f_{ii} is the identity homomorphism,
- (ii). $f_{ik} = f_{ij} \circ f_{jk}$ for all $i \leq j \leq k$.

We call this type of object an inverse system and define its inverse limit to be

$$\varprojlim_{i \in I} A_i := \left\{ \vec{a} \in \prod_{i \in I} A_i \mid a_i = f_{ij}(a_j) \text{ for all } i \leq j \text{ in } I \right\}.$$

Let λ be a limit ordinal and let $(G_\iota)_{\iota \in \lambda}$ and $(\phi_{\iota, \kappa})_{\kappa \leq \iota < \lambda}$ be an inverse system of groups. We then let $G := \varprojlim_{\iota < \lambda} G_\iota$ be the inverse limit of the system and define $X := \bigcup_{\iota < \lambda} G_\iota$ to be the disjoint union of the G_ι . We let $g \in G$ act on X in the following way:

If $x \in G_\alpha$, then $g(x) = g_\alpha(x)$ where g_α is the α th element in the tuple that makes up g . This allows us to view G as a subgroup of S_X .

The set of fixed points of any $g \in G \setminus \{1\}$ can not be of size λ by our definition, as otherwise all g_γ for $\gamma < \lambda$ would be the identity due to it being an inverse limit, a contradiction. In particular, if $\lambda = \omega$ any element that is not the identity can only have finitely many fixed points.

This construction is called a “tree-like” one by Koppelberg, due to its utilization of set theoretic trees to obtain an inverse system, many of which allow for the construction of a cofinitary group. For more information about the theory of trees refer to the second chapter of [21].

Now let us consider some concrete examples:

Example 3.20. Let $\lambda = \omega$, and let all the G_ι be finite groups with strictly increasing cardinalities. This will result in $|X| = \omega$ and $|G| = 2^\omega$. Depending on the individual properties of the G_ι we can influence the properties of G , for example we can let all G_ι be abelian, which will result in the abelian group with 2^ω many generators.

If we venture outside of our usual realm of groups of countable rank, we are able to experiment with all sorts of possible cardinal numbers with different

properties. For example, one might want to use a limit cardinal of countable cofinality and let the sizes of the groups in the inverse system be dictated by a cofinal sequence. The resulting rank and cardinality of the group are then dictated by König's Theorem.

Finally, let us examine an example based around a specific class of trees:

Definition 3.21 (κ -Kurepa Tree). Let κ be a cardinal number. We call a tree $(T, <)$ a κ -Kurepa tree if it has at least κ^+ many branches of length κ and levels of size less than κ .

Now let $\mathcal{F} \subseteq \mathcal{P}(\kappa)$ such that $|\mathcal{F}| \geq \kappa^+$ and for any $\alpha < \kappa$ the following holds

$$|\{\alpha \cap F \mid F \in \mathcal{F}\}| < \kappa.$$

We call this \mathcal{F} a κ -Kurepa family.

Remark 3. Note that a κ -Kurepa family does not depend on the ordering of κ allowing us to use any arbitrary unordered set in its stead. Further, the existence of κ -Kurepa families is equivalent to the existence of κ -Kurepa trees. For a proof of this, see Chapter 2 Theorem 5.18 of [21].

In a similar way we can define a κ -Kurepa group and show that its existence is equivalent to that of κ -Kurepa families and trees.

Definition 3.22 (κ -Kurepa Group). Let κ be an infinite cardinal and let $G \leq S_\kappa$ and $|G| \geq \kappa^+$. We call G a κ -Kurepa group if G is cofinitary and for any $\alpha < \kappa$ the following holds

$$|\{g \upharpoonright \alpha \mid g \in G\}| < \kappa.$$

Theorem 3.23. *A κ -Kurepa group exists if and only if a κ -Kurepa tree exists.*

Proof. Let G be a κ -Kurepa group. Then we see immediately that it is a κ -Kurepa family of subsets of $\kappa \times \kappa$.

Conversely, let $(T, <)$ be a κ -Kurepa tree. We let G_α be the free abelian group generated by the elements of level α of the tree. For $\beta \geq \alpha$ we obtain a surjective homomorphism of groups,

$$\begin{aligned} \phi: G_\beta &\longrightarrow G_\alpha \\ h &\longmapsto g \end{aligned}$$

by mapping the generator h of G_β to the unique generator g of G_α for which $g < h$ holds. Taking the inverse limit of this system gives us a κ -Kurepa group. \square

3.5.2 Constructions via Automorphisms of Structures

Another viable way of constructing cofinitary groups is via the use of automorphisms of certain structures. Two examples we will examine in this section will be automorphisms of Boolean algebras and automorphisms of relational structures. For the two constructions presented in this chapter, as well as additional results, see [20] and [5] respectively. For a more comprehensive examination of the connections of permutation groups and model theory see [19].

The difficulty in the construction of cofinitary groups from automorphisms of Boolean algebras is in finding those automorphisms with few fixed points. The following result due to Koppelberg shows us that they exist for certain Boolean algebras.

Proposition 3.24. *Let \mathcal{B} be a free product of pairwise isomorphic Boolean algebras $(\mathcal{B}_i)_{i \in I}$ and let $\phi : I \rightarrow I$ be a permutation of the indices. The automorphism g of \mathcal{B} induced by ϕ will have*

$$\text{fix}(g) \subseteq \bigcup \{ \mathcal{B}_j \mid j \text{ lies in a finite orbit of } \phi \}.$$

Thus there exist large cofinitary groups of automorphisms of Boolean algebras, as we can extend the group generated by g to a cofinitary group of arbitrary size as we will see in the next chapter.

The next two results will use the construction via Fraïssé limits as illustrated in the introductory chapter on model theory.

Proposition 3.25. *Let M be a countable ω -homogeneous structure whose skeleton has the strong amalgamation property. There exists a cofinitary dense subgroup of $\text{Aut}(M)$ which is free of countable rank.*

Proof. We begin by enumerating all possible pairs of tuples of distinct elements of the same type. Thus we will get a list of the following form

$$\{ ((a_{11}, \dots, a_{1n}), (b_{11}, \dots, b_{1n})), ((a_{21}, \dots, a_{2m}), (b_{21}, \dots, b_{2m})), \dots \},$$

where $tp(a_{ki}) = tp(b_{kj})$ for all $i, j, k \in \omega$. We further enumerate all elements of M as x_1, x_2, \dots allowing us to identify x_i with $i \in \omega$.

Now let us construct our group iteratively. At stage $2n$ we add a new partial permutation f_n mapping the first member of the n th pair to the second one. At stage $2n + 1$ we extend each previously constructed permutation and their inverses in such a way that they end up as partial isomorphisms for all elements up to n . Particularly, if $f_k^{\pm 1}(m)$ is not defined for some $m \leq n$, then we choose

it to be an element l where $l > n$ and l does not appear any of the other permutations constructed up until that point.

If we take the limit of this construction, we obtain a countable set of permutations $(f_i)_{i \in \omega}$ which all define automorphisms of M . If we now consider the group $F := \langle f_i \rangle_{i \in \omega}$, then it is dense in $Aut(M)$ as it has the same orbits.

To see that the group is cofinitary, let $w = f_{i_1}^{n_1} \dots f_{i_l}^{n_l}$ be an arbitrary cyclically reduced word. It is sufficient to consider these words as conjugation preserves fixed points. Assume x is a fixed point of w , such that it does not arise due to a point that appears in the pair used to construct f_{i_k} in an even step. Without loss of generality we may assume that the evaluation of w does not yield x more than once, i.e. $f_{i_1}^{n_1} \dots f_{i_j}^{n_j}(x) \neq x$ for $j < l$.

Considering cyclic permutations $w' = f_{j_1}^{m_1} \dots f_{j_k}^{m_k}$ of w , we will find one where the fixed point x' corresponding to x has the following property

$$x' > f_{j_1}^{m_1}(x') \text{ and } x' > f_{j_k}^{-m_k}(x').$$

By construction there is at most one choice of y and f_j^m such that y comes before x in our enumeration and $f_j^m(y) = x$, where x and y are not members of the j th pair. Thus we have that $f_{j_1}^{m_1} = f_{j_1}^{-m_k}$, contradicting our assumption of w being cyclically reduced.

Thus any fixed point has to arise via the elements of the pairs of tuples used to construct the f_i , yielding only finitely many fixed points for any non-trivial word. \square

There exist many other interesting groups that can be constructed similarly to the one above using a Fraïssé type construction, one particular example is that of a transitive discrete unbounded cofinitary group of countable degree.

3.6 Maximal cofinitary groups

As opposed to the finitary permutation groups, which have a single maximal group that contains all other finitary permutation groups as subgroups, cofinitary groups admit no unique maximal group. A standard argument invoking Zorn's Lemma at least guarantees us the existence of these groups, making their study feasible.

One of the central questions of interest when it comes to maximal cofinitary groups is their size. Using forcing methods we can find models with all manner of differently sized maximal cofinitary groups. Particularly interesting is the minimal size. Analogously to mad families we define the following:

Definition 3.26. Inside a model M let \mathfrak{a}_g denote the minimal cardinality of a maximal cofinitary group.

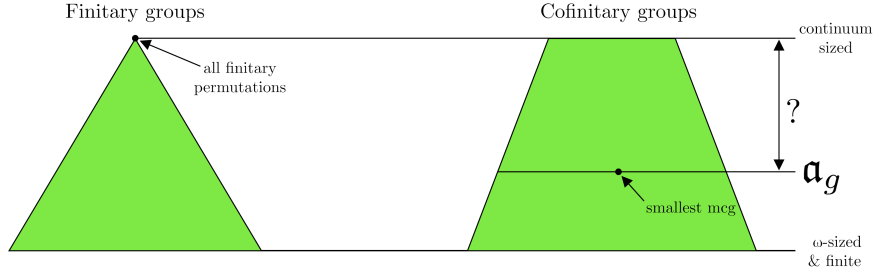


Figure 1: A comparison between the hierarchy of finitary and cofinitary groups of countable degree.

There exist some ZFC-provable inequalities for \mathfrak{a}_g , as we will discuss in the remainder of this section. A closely related cardinal characteristic is \mathfrak{a}_f , the minimal size of a maximal almost disjoint family of functions defined on a countable set. We can use simple diagonalization to show that \mathfrak{a}_f is at least uncountable.

Lemma 3.27. $\mathfrak{a}_f > \omega$

Proof. Assume A is a countable almost disjoint family of functions. We enumerate all the functions in A and define $f : \omega \rightarrow \omega$ by taking $f(n) \neq f_k(n)$ for all $k < n$. Now $A \cup \{f\}$ will be almost disjoint, contradicting maximality. \square

In the case of \mathfrak{a}_g the same holds, however the argument is much more technical. We can't simply construct an element using a diagonalization argument, which stems from the fact that we need to guarantee that all elements of the free product of the countable group and the new function remain cofinitary.

Theorem 3.28. $\mathfrak{a}_g > \omega$

Proof. The proof we will show here is due to Adeleke [1], for an alternative one see [31]. We will show that for any countable, cofinitary groups G and H there exists a permutation σ such that $\langle G, \sigma H \sigma^{-1} \rangle$ is a cofinitary group. The theorem then follows immediately.

We begin by enumerating all words of the following form:

$$w_i(y) = (yh_{i1}y^{-1})g_{i1}(yh_{i2}y^{-1})g_{i2} \dots (yh_{in(i)}y^{-1})g_{in(i)},$$

where we take y to be a placeholder variable, $h_{ij} \in H \setminus \{id\}$ and $g_{ij} \in G \setminus \{id\}$. It is sufficient to use these words, as all non-trivial words in the free product of G and yHy^{-1} are either of this form or a conjugate.

Our goal is to iteratively construct a permutation σ such that all $w_i(\sigma)$ have only finitely many fixed points. For this we construct an ascending sequence of

finite partial functions $y_1 \subseteq y_2 \subseteq \dots$ such that

- (i). $\{0, 1, \dots, i-1\} \subseteq \text{dom}(y_i)$ and $\{0, 1, \dots, i\} \subseteq \text{ran}(y_i)$,
- (ii). For any $x \in \text{dom}(y_i) \setminus \text{dom}(y_{i-1})$ we have that the word $w_j(y_i)$ does not have x as a fixed point for $j \in [1, i]$.
- (iii). Each y_i is a map of the form $x_{i1} \mapsto x_{i2} \mapsto \dots \mapsto x_{il(i)}$

Let us begin by picking two variables a, b and define $y_1(a, b)$ to be

$$a \mapsto 0 \mapsto b \mapsto 1.$$

We need to check whether or not we can find concrete values for a and b such that the second condition holds.

For this we consider the equation $w_1(y_1(a, b))(a) = a$, $w_1(y_1(a, b))(b) = b$ and $w_1(y_1(a, b))(0) = 0$.

All of these equations give us certain necessary conditions on the pair (a, b) , namely $g_{1n(1)}(0) \neq a, b$, $g_{1n(1)}(a) \neq a, b$, $g_{1n(1)}(b) \neq a, b$ and $h_{11}(b) \notin \{0, b, 1\}$, for it to satisfy property (ii). As is evident, there are infinitely many pairs (a, b) that satisfy these conditions.

Note that the solution sets of these conditions are either finite or form a non intersecting curve in the discrete space ω^2 .

Now let us construct y_{n+1} assuming y_n is known. Let $S = \omega \setminus \text{dom}(y_n)$ and denote the k th element of S by s_k . Once again we want to find a pair (a, b) that can take values from $S \setminus \{s_1\}$. We now define

$$y_{n+1} := y_n \cup \{(a, x_{n1}), (x_{n,l(n)}, b), (b, s_1)\},$$

which clearly is a partial function extending y_n satisfying properties (i) and (iii).

To see property (ii), we once again consider a set of equations. Begin by noting that $\text{dom}(y_{n+1}) \setminus \text{dom}(y_n) = \{a, b, x_{n,l(n)}\}$. Thus for each $w_i(y)$ with $1 \leq i \leq n+1$ we get three equations that restrict our choice of (a, b) , leading to similar restrictions on our pair as above, leaving us with infinitely many choices still.

However, we will still have to make sure that our choice of (a, b) adds no new fixed points to words $w_j(y)$ and elements of $D_j := \text{dom}(y_n) \setminus \text{dom}(y_{j-1})$. The case we need to consider in particular are those $x \in D_j$ and $w_j(y)$ where $w_j(y_{n+1})(x)$ is defined but $w_j(y_n)(x)$ is not as otherwise the induction hypothesis guarantees us that x is not a fixed point.

If $w_j(y_{n+1})(x)$ becomes defined, then it must be due to one of the components of the pair (a, b) appearing in its evaluation. Thus for each w_j and x we get conditions similar to the ones above that give us a finite or 1 dimensional, meaning

at least one of the canonical projections π_1 or π_2 is injective, solution set as discussed above that we can eliminate from the space $S^2 := S \times S$. Eliminating a finite amount of these lines from S^2 will still leave infinitely many choices for (a, b) and thus we are done.

Now, taking the limit $\sigma = \bigcup_{i \in \omega} y_i$, we get a permutation of the naturals with a single cycle and the property that the number of fixed points of each $w_i(\sigma)$ is bounded via its index i . \square

Another absolute bound we have established previously in Corollary 3.8 is that $\mathfrak{a}_g \leq \mathfrak{c}$, as we have constructed a cofinitary group of size continuum, thus there must be a maximal cofinitary group containing it that is also of size continuum. Another lower bound of \mathfrak{a}_g is the cardinal invariant $non(\mathcal{M})$ [3].

Besides these inequalities, there are some consistency results, such as $\mathfrak{a} < \mathfrak{a}_g$ being consistent with ZFC [3]. A model of $\mathfrak{a} < \mathfrak{a}_g$ would be the Random Real Model, in which $\omega_1 = \mathfrak{a} < non(\mathcal{M}) = \mathfrak{c}$, see [2] for details.

4 The Isomorphism Type of Maximal Cofinitary Groups

After our study of cofinitary groups in the classical sense of group theory, this chapter is dedicated to developing the theory of forcing on cofinitary groups, motivated by their relation to mad families.

In particular we will find that there are at least countably many non-isomorphic maximal cofinitary groups, by being able to construct groups with an arbitrary number of orbits.

The notation and basic results in Section 4.2 on forcing of maximal cofinitary groups are from Fischer [11] and the results of Sections 4.1 and 4.3 about the isomorphism classes are due to Kastermans [17].

4.1 An Upper Bound on Orbits

Before we begin going through the motions that will allow us to use forcing, we will use an algebraic argument to gain a first, motivating result for the study of isomorphism classes of maximal cofinitary groups.

Theorem 4.1. *The natural action of a maximal cofinitary group can not have infinitely many orbits.*

Proof. Towards a contradiction we assume that G is a maximal cofinitary group whose set of orbits \mathcal{O} under the natural action is of cardinality ω .

Without loss of generality we can assume that this group has no orbits of size 1, as there can only be finitely many of these and thus they can be ignored in our construction.

We will now construct a cofinitary permutation $f \notin G$ and then show that $\langle G, f \rangle$ is a cofinitary group contradicting maximality.

First, let us fix an enumeration of the orbits of G as O_1, O_2, \dots acting on ω denoted by $(O_i)_{i \in \omega}$. We now define f recursively via an ascending sequence of partial functions $(f_i)_{i \in \omega}$ with $f_j \subseteq f_k$ for $j \leq k$. We begin by defining $f_0 := \emptyset$. Assuming f_n has been defined, we can define

$$k := \min(\omega \setminus (\text{dom}(f_n) \cap \text{ran}(f_n))).$$

We also define $m := \min(O_j)$ where

$$j := \min(\{j \in \omega \mid O_j \cap (\text{dom}(f_n) \cup \text{ran}(f_n)) = \emptyset \text{ and } k \notin O_j\}).$$

Finally we define $f_{n+1} := f_n \cup \{(k, m)\}$ if $k \notin \text{dom}(f_n)$ and $f_{n+1} := f_n \cup \{(m, k)\}$ otherwise and set $f := \bigcup_{i=0}^{\infty} f_i$.

Now let us check that f is a bijective function on ω . By construction we see that our function is total, as any number n will appear in both the domain and range of the partial function f_{2^n} and furthermore it can only appear once in the range and once in the domain.

Furthermore, this construction guarantees that $\text{fix}(f) = \emptyset$ and as such f is a cofinitary permutation. It is also obvious that $f \notin G$ due to its effect on the orbits of G .

It now remains to show that $\langle G, f \rangle$ is a cofinitary group. For this we consider the free product $G * F(\{f\})$ and let $w \in G * F(\{f\})$ and show that the evaluation of any such word will only have finitely many fixed points.

We will show this via a graph theoretic argument on the orbit graph of our group action.

Definition 4.2 (Orbit Graph). For a group G acting on a set S inducing the orbits $(O_i)_{i \in I}$ as well as a function $f: S \rightarrow S$, we define the (G) -orbit graph of f to be an undirected graph $T = (V, E)$ where $V := \{O_i \mid i \in I\}$ and

$$(O_j, O_k) \in E \iff \exists m \in O_j \exists n \in O_k f(m) = n.$$

Remark 4. If (O_j, O_k) is induced by a unique pair (m, n) then we will refer to the edge via (m, n) instead.

Inspecting the orbit graph of our permutation f , we notice the following:

Claim 4.3. *The orbit graph of f contains no non-trivial circuits. In other words, the orbit graph of f is an infinite tree.*

Proof of Claim. Assume that this is false, then there must exist a circuit of length $n > 1$ that we can write as $O_1 O_2 \cdots O_n O_{n+1}$ where $O_{n+1} = O_1$. This means there are edges $(O_i, O_{i+1}) \in E$ that form this circuit. Each edge has an associated pair of elements $(k_i, l_i) \in O_i \times O_{i+1}$ such that $f(k_i) = l_i$.

Since the circuit is of finite length, there must be some $m \in \omega$ such that the G -orbit graph of f_m includes the circuit, but the one of f_{m-1} does not.

Thus there exists a unique pair $(k, l) \in f_m \setminus f_{m-1}$ that is used to complete the circuit, connecting the orbits O_s and O_{s+1} for some $s \leq n$. However, both of these orbits are already path connected in the orbit graph of f_{m-1} which leads to a contradiction, as both

$$O_s \cap (\text{dom}(f_{m-1}) \cup \text{ran}(f_{m-1})) \neq \emptyset,$$

and

$$O_{s+1} \cap (\text{dom}(f_{m-1}) \cup \text{ran}(f_{m-1})) \neq \emptyset,$$

which means the pair (k, l) could not have been selected in the construction of f_m . \square

We can now, as mentioned before, consider reduced words $w \in G * F(\{f\})$ and the evaluation of their action on the orbit tree. In fact, as such elements are of the form

$$w = g_0 f^{k_0} g_1 f^{k_1} \dots g_{l-1} f^{k_{l-1}} g_l,$$

with $k_i \neq 0$ for all $i < l$. We will only observe a change between vertices in the graph when evaluating the element f , as elements from G remain in their orbits.

Now suppose that w has infinitely many fixed points in $G * F(\{f\})$ and take $n \in \omega$ to be an arbitrary fixed point of w and consider the path $p(w, n) = (O_i)_{i < l}$ of orbits that we pass through when evaluating $w(n)$. Necessarily for n to be a fixed point we have $n \in O_1, O_l$ which means $O_l = O_1$. Thus the path $p(w, n)$ has to be a circuit, but since the orbit graph is a tree, we must backtrack all the steps taken away from O_1 eventually.

Let O_m be the orbit occurring in $p(w, n)$ that has maximal distance from O_1 . If there are multiple such orbits, let O_m be the one where m is minimal among them. We know that there must be a pair $(k, l) \in f$ that occurs in the evaluation of w and causes us to pass from O_{m-1} to O_m . The next step in our path will be from O_m back to O_{m-1} and by construction of f this step has to occur via the same pair (k, l) . As w is reduced, we know that we have to evaluate an element $g' \in G$ before we are able to go back via the edge (l, k) , but this means that g' must have a fixed point at l .

Thus for every fixed point n of w we find that there must be a corresponding fixed point in one of the elements of G occurring in w . As there are infinitely many fixed points but finitely many such elements one of them must have infinitely many fixed points by the pigeonhole principle, call it g_j .

As all the $g \in G$ and f are bijective functions, we know that any initial segment of w will also be a bijective function, thus we know that $w' := g_0 f^{k_0} \dots f^{k_{j-1}} g_j : \omega \rightarrow \omega$ will have $w'(n) \neq w'(m)$ for $n \neq m$ and thus we find that each fixed point of w corresponds to a different fixed point of g_j , meaning it must have infinitely many. Hence G can not be cofinitary, a contradiction. \square

4.2 The Basics of Forcing Cofinitary Groups

Having obtained an upper bound on the number of the orbits of a maximal cofinitary group's action, we now begin introducing a manner of basic notions that will allow us to construct cofinitary groups via forcing, eventually letting us construct groups with an arbitrary number of orbits.

Definition 4.4. Let A be a set and let $\widehat{W}_A \subseteq W_A$ be the subset of words such

that for $w \in \widehat{W}_A$ we have either $w = a^n$ for some $a \in A$, $n \neq 0$ or $w = a_1va_2$ with $a_1, a_2 \in A$ and $a_1 \neq a_2$, i.e. the set of cyclically reduced words made up of letters from A .

Note that any $w \in W_A$ can be written as some $w = u^{-1}w'u$ with $u \in W_A$ and $w' \in \widehat{W}_A$, which means that if we consider A to be a set of permutations, then the cycle structure of w is determined via a word $w' \in \widehat{W}_A$.

As a matter of notational convenience, for $f: S \rightarrow S$ we let

$$fix(f) := \{s \in S \mid f(s) = s\}$$

be the set of fixed points of a function.

Definition 4.5 (Cofinitary Representation). Let G be a group and let $\rho: G \rightarrow S_\omega$ be a homomorphism of groups. We call ρ a cofinitary representation of G , if

$$\forall g \in G \ |fix(\rho(g))| < \omega.$$

If B is a set, we say the map $f: B \rightarrow S_\omega$ induces a cofinitary representation, if the induced homomorphism of the free group $\phi: F(B) \rightarrow S_\omega$ is a cofinitary representation of $F(B)$.

Definition 4.6 (Evaluations). Let A be a set, let $s \subseteq A \times \omega \times \omega$ and let $a \in A$ and define

$$s_a := \{(n, m) \mid (a, n, m) \in s\}.$$

Furthermore, for a word $w \in W_A$ we define the relation $e_w[s] \subseteq \omega \times \omega$ recursively as follows.

If $w = a$ for some $a \in A$ then $(n, m) \in e_w[s]$ if $(n, m) \in s_a$ and if $w = a^i v$ for some $v \in W_A$ and $i = 1, -1$ without cancellation, then

$$(n, m) \in e_w[s] \iff \exists k \ (k, m) \in e_{a^i}[s] \wedge (n, k) \in e_v[s].$$

If, furthermore, s_a is a finite injective partial function for all $a \in A$, then so is $e_w[s]$ and we call it the evaluation of w on s .

Lastly, we define

$$oc_A((s, F)) := \{a \in A \mid a \in dom(s)\} \cup \{a \in A \mid \exists w \in F \ a \in w\}.$$

If s is as above with the additional condition of every s_a being a partial function or empty, then the evaluation $e_w[s]$ of a word w corresponds to a partial function $\omega \rightarrow \omega$ and we write $e_w[s] \downarrow$ if $n \in dom(e_w[s])$ and $e_w[s] \uparrow$ otherwise.

Definition 4.7 (Evaluations 2). For disjoint sets A, B , a function $f: B \rightarrow S_\omega$,

a word $w \in W_{A \cup B}$ and $s \subseteq A \times \omega \times \omega$, we define

$$e_w[s, f] := e_w[s \cup \{ (b, k, l) \mid (f(b))(k) = l \}].$$

All notions concerning e_w defined before apply equally to this extended notion.

Remark 5. Let A, B, w, s and f be as in the above definition. Then for $u, v \in W_{A \cup B}$ such that $w = uv$ without cancellation it holds that $n \in \text{dom}(e_w[s, f])$ if and only if $n \in \text{dom}(e_v[s, f])$ and $e_v[s, f](n) \in \text{dom}(e_u[s, f])$.

Moreover, for $w \in \hat{W}_{A \cup B}$ we have that

$$n \in e_w[s, f](n) \iff e_v[s, f](n) = e_{vu}[s, f](e_v[s, f](n)).$$

Thus $e_w[s, f]$ and $e_{vu}[s, f]$ have the same number of fixed points.

Definition 4.8. Let A and B be disjoint sets and $f: B \rightarrow S_\omega$ a function such that the induced homomorphism $\rho: F(B) \rightarrow S_\omega$ is a cofinitary representation. Then we define the poset $\mathbb{Q}_{A, \rho}$ as follows:

- (i). The conditions of $\mathbb{Q}_{A, \rho}$ are pairs (s, W) such that $s \in [A \times \omega \times \omega]^{<\omega}$ and s_a is a partial finite injective function for every $a \in A$ and $W \subseteq \widehat{W}_{A \cup B}$ is finite.
- (ii). For two conditions $(s_1, W_1) \leq (s_2, W_2)$ iff $s_1 \supseteq s_2$, $W_1 \supseteq W_2$ and for every $n \in \omega$ and $w \in W_2$, if $e_w[s_1, \rho](n) = n$ then already $e_w[s_2, \rho](n) \downarrow$ and $e_w[s_2, \rho](n) = n$, i.e. the extension adds no new fixed points to the evaluation.

As usual, we want to know whether our forcing poset fulfills any of the chain conditions, thus providing us with information about the cardinals of a generic extension constructed via this poset.

Proposition 4.9. $\mathbb{Q}_{A, \rho}$ has the countable chain condition (c.c.c.).

Proof. Assuming $|A| > \aleph_0$ we show this by contradiction, otherwise there are at most countably many possible elements for the first component of the tuples in $\mathbb{Q}_{A, \rho}$ as

$$|A \times \omega \times \omega|^{<\omega} = |\omega|^{<\omega} = \omega$$

and any two tuples that agree on the first component are trivially compatible.

Let C be a set of conditions with $|C| > \omega$. We will now use the Δ -System Lemma to show there must be some compatible conditions in C .

We first apply the lemma to the set

$$\Delta_1 := \{s \mid (s, W) \in C\},$$

obtaining some uncountable subset Δ'_1 of it along with finite $t \subset A \times \omega \times \omega$ such that $s_1 \cap s_2 = t$ for any $s_1, s_2 \in \Delta_1$. Similarly we obtain finite sets A_1, A_2 as roots of Δ -systems Δ'_2 and Δ'_3 for the sets

$$\Delta_2 := \{oc_A(W) \mid \exists p \in \Delta_1 (p, W) \in C\}$$

and

$$\Delta_3 := \{dom(p) \cup oc_A(W) \mid \exists p \in \Delta_1 (p, W) \in C\}$$

respectively.

We note that $dom(t)$ and A_1 are subsets of A_2 as

$$\begin{aligned} A_2 &= (dom(s_1) \cup oc_A(W_1)) \cap (dom(s_2) \cup oc_A(W_2)) \\ &= (dom(s_1) \cap dom(s_2)) \cup \dots \cup (oc_A(W_1) \cap oc_A(W_2)) = t \cup \dots \cup A_1. \end{aligned}$$

Next, we define

$$\Delta_4 := \{s \in \Delta_1 \mid s \cap (A_2 \times \omega \times \omega) = t\}.$$

We see that Δ_4 is also uncountable, as $s \cap (A_2 \times \omega \times \omega) \supset t$.

Finally define

$$\Delta_5 := \{(s, W) \in C \mid s \in \Delta_4, oc_A(W) \in \Delta'_2 \text{ and } (dom(s) \cup oc_A(W)) \in \Delta'_3\}$$

and note that this set is also uncountable.

Let $(s, W_s), (u, W_u) \in \Delta_5$ then we have $(s \cup u, W_s \cup W_u) \in \mathbb{Q}_{A, \rho}$ and

$$s \cap (oc_A(W_u) \times \omega \times \omega) \subseteq t$$

as $dom(s) \cap oc_A(W_u) \subseteq A_2$.

Thus for $w \in W_u$ we get that $e_w(s \cup u, \rho)(n) = n$ is equivalent to

$$e_w(t \cup u, \rho)(n) = e_w(u, \rho)(n) = n$$

and thus

$$(s \cup u, W_s \cup W_u) \leq (u, W_u).$$

Note that since s and u were arbitrary and union is symmetric we are done. \square

Remark 6. In fact, this proof establishes the stronger property of $\mathbb{Q}_{A, \rho}$ having the (\aleph_1-) Knaster property.

Before we can begin using this poset for forcing, we need to check that it behaves the way we want it to.

Definition 4.10 (Generic Representation). Let \mathcal{G} be a $\mathbb{Q}_{A,\rho}$ -generic filter over a family of dense sets \mathcal{F} . We define $\rho_{\mathcal{G}}: A \cup B \rightarrow S_{\infty}$ as

$$\rho_{\mathcal{G}}(x) := \begin{cases} \rho(x) & \text{if } x \in B, \\ \bigcup \left\{ s_x \mid \exists F \subset \widehat{W}_{A \cup B}(s, F) \in \mathcal{G} \right\} & \text{if } x \in A. \end{cases}$$

From this definition it is not apparent whether

$$\bigcup \left\{ s_x \mid \exists F \subseteq \widehat{W}_{A \cup B}(s, F) \in \mathcal{G} \right\}$$

actually defines a cofinitary permutation. We will now introduce a Lemma that will establish that fact and aid us in the proof of the main theorem of this section. This result is due to [12].

Lemma 4.11 (Domain and Range Extension Lemma). *Let A and B be disjoint sets and $\rho: B \rightarrow S_{\omega}$ a function inducing a cofinitary representation. Then*

- (i). *For any $(s, F) \in \mathbb{Q}_{A,\rho}$, $a \in A$ and $n \in \omega$ such that $n \notin \text{dom}(s_a)$ there exist cofinitely many $m \in \omega$ such that $(s \cup \{(a, n, m)\}, F) \leq (s, F)$.*
- (ii). *For any $(s, F) \in \mathbb{Q}_{A,\rho}$, $a \in A$ and $n \in \omega$ such that $n \notin \text{ran}(s_a)$ there exist cofinitely many $m \in \omega$ such that $(s \cup \{(a, m, n)\}, F) \leq (s, F)$.*

Before we will prove this Lemma let us introduce a helper definition and another helpful Proposition.

Definition 4.12 (*a*-Good Word). Let A and B be disjoint sets, $a \in A$, $j \in \omega \setminus \{0\}$ and $w \in W_{A \cup B}$. We call w an *a*-good word of rank j if it is of the form

$$w = a^{\alpha_1} v_1 a^{\alpha_2} v_2 \dots a^{\alpha_j} v_j,$$

where $v_i \in W_{A \setminus \{a\} \cup B}$ for all $i \leq j$ and $\alpha_i \neq 0$.

Using this definition we will now show a slightly stronger statement than the above Lemmas for *a*-good words.

Proposition 4.13. *Let A be a set, $s \in [A \times \omega \times \omega]^{<\omega}$ such that every s_a is a partial injective finite function, let $a \in A$ and let $w \in W_{A \cup B}$ be *a*-good. For any $n \in \omega \setminus \text{dom}(s_a)$ and any finite $C \subseteq \omega$ there are cofinitely many $m \in \omega$ such that*

$$\forall l \in \omega \ e_w[s \cup \{(a, n, m)\}, \rho](l) \in C \iff e_w[s, \rho](l) \downarrow \text{ and } e_w[s, \rho](l) \in C$$

Proof. We will show this via induction over the rank of w . If the rank is 1 and w is *a*-good, it must be of the form $w = a^{\alpha_1} v_1$.

First assume that $\alpha_1 > 0$. We pick $m \in \omega \setminus (C \cup \text{dom}(s_a))$. Assume

$$e_w[s \cup \{(a, n, m)\}, \rho](l) \in C$$

and $e_w[s, \rho](l) \uparrow$. This would mean that there is some $1 \leq i \leq \alpha_1$ such that

$$e_{a^i v_1}[s \cup \{(a, n, m)\}, \rho](l) = m \text{ but } m \notin \text{dom}(s_a)$$

and so $e_w[s \cup \{(a, n, m)\}, \rho](l) \uparrow$. Thus $i = \alpha_1$ and

$$e_w[s \cup \{(a, n, m)\}, \rho](l) = m \notin C,$$

contradicting our assumption. The other direction of the equivalence is always true.

Now let $\alpha_1 < 0$. We select

$$m \in \omega \setminus \bigcup_{i=-1}^{\alpha_1} \text{ran}(e_{a^i u_1}[s, \rho]).$$

Assume $e_w[s \cup \{(a, n, m)\}, \rho](l) \in C$ and $e_w[s, \rho](l) \uparrow$. This means that there is a $\alpha_1 \leq i \leq -1$ minimal in magnitude such that

$$e_{a^i u_1}[s \cup \{(a, n, m)\}, \rho](l) = n.$$

Thus $e_{a^i u_1}[s, \rho](l) \uparrow$ and $e_{a^{i+1} u_1}[s, \rho](l) \downarrow$, contradicting our choice of m .

Assume we have shown our proposition up to rank $j - 1$. Our word of rank j is of the form $w = a^{\alpha_1} u_1 \hat{w}$, where \hat{w} is a -good of rank $j - 1$. We define

$$C' := e_{a^{\alpha_1} u_1}[s, \rho]^{-1}(C),$$

and use the induction hypothesis to get a cofinite set $S_1 \subseteq \omega$ using the proposition with \hat{w} and C' . Using the hypothesis again, this time for $a^{\alpha_1} u_1$ and C we get another cofinite set S_2 .

Consider now $m \in S_1 \cap S_2$ and assume $e_w[s \cup \{(a, n, m)\}, \rho](l) \in C$. This tells us that $e_w^\wedge[s \cup \{(a, n, m)\}, \rho](l) \in C'$ and thus $e_w^\wedge[s, \rho](l) \in C'$. As such,

$$e_{a^{\alpha_1} u_1}[s \cup \{(a, n, m)\}, \rho](e_w^\wedge[s, \rho](l)) \in C$$

and by definition we get

$$e_{a^{\alpha_1} u_1}[s, \rho](e_w^\wedge[s, \rho](l)) = e_w[s, \rho](l) \in C.$$

□

Proof of 4.11. Clearly it is sufficient to show that either of these statements holds for arbitrary singleton sets $F = \{w\}$ as in general, F is finite and the intersection of finitely many cofinite sets is still cofinite.

- (i). First, we may assume that $a \in w$, as otherwise we are already done. In case w is a-good, the statement follows directly from Proposition 4.13.

Otherwise w will be of the form $w = uva^\alpha$ where $u \in W_{A \setminus \{a\} \cup B}$, $v \in W_{A \cup B}$ a-good and $\alpha \in \mathbb{Z}$. Let $\hat{w} := va^\alpha u$, which is also a-good.

By the previous proposition, we know that if we fix $n \in \omega \setminus \text{dom}(s_a)$, and set $C := \text{fix}(s_a)$, then we will get a cofinite set \hat{C} such that for all $m \in \hat{C}$ we have $(s \cup \{(a, n, m)\}, \{\hat{w}\}) \leq (s, \{\hat{w}\})$.

We will now show that these m also fulfill the relation

$$(s \cup \{(a, n, m)\}, \{w\}) \leq (s, \{w\}).$$

To check, pick $l \in \text{fix}(e_w[s \cup \{(a, n, m)\}, \rho])$, by Remark 5 this gives us that

$$e_{va^\alpha}[s \cup \{(a, n, m)\}, \rho](l) \in \text{fix}(e_w[s \cup \{(a, n, m)\}, \rho])$$

and as \hat{w} is a-Good, we know that $l \in \text{fix}(e_w[s, \rho])$ and as such

$$e_{va^\alpha}[s, \rho](l) \in \text{fix}(e_w[s, \rho]).$$

- (ii). Let us fix $(s, \{w\}) \in \mathbb{Q}_{A, \rho}$ and $a \in A$. Substituting $a \mapsto a^{-1}$ in w , we get a new word w' . Now we define

$$s' := S \cup \{(b, n, m) \mid (b, n, m) \in s \wedge b \neq a\}$$

i.e. we use the map s but invert the function defined by s_a . Now we can use the previous case to find a cofinite set \hat{C} , such that for $m \notin \text{dom}(s'_a) = \text{ran}(s_a)$ we get that for $n \in \hat{C}$ we have $(s' \cup \{(a, m, n)\}, \{\hat{w}\}) \leq (\hat{s}, \{\hat{w}\})$, which is equivalent to $(s \cup \{(a, n, m)\}, \{w\}) \leq (s, \{w\})$.

□

Corollary 4.14. *Let A and B be sets, let $w \in W_{A \cup B}$ and let $A_0 := \text{oc}_A(w) \subset A$ be the set of letters of A occurring in w . Furthermore let $C, D \subseteq \omega$ be finite sets and let $(s, F) \in \mathbb{Q}_{A, \rho}$. Then there exists a finite $t \subseteq A_0 \times \omega \times \omega$ such that $(t \cup s, F) \leq (s, F)$ and $\text{dom}(e_w[s \cup t, \rho]) \supseteq C$ and $\text{ran}(e_w[s \cup t, \rho]) \supseteq D$.*

Proof. Applying Lemma 4.11 repeatedly for the sets C and D and elements from A_0 we get a descending chain of conditions that after a finite number of

applications of the Lemma fulfills all the properties we ask for. t may simply be taken to be the union of all the pairs added during the construction of the chain. \square

Using this Lemma, we can show another fact that establishes that the previously defined extension ρ_G is a sensible choice.

Lemma 4.15. *For all $w \in \widehat{W}_{A \cup B}$ we have that*

$$(s, F) \Vdash_{\mathbb{Q}_{A, \rho}} e_w[\rho_G](n) = m$$

for some $n, m \in \omega$ implies that $e_w[s, \rho](n) \downarrow$ and $e_w[s, \rho](n) = m$.

Proof. We will show this via induction on the number of appearances of letters from A in w . If there are none, then we are already done, as we get that $w \in \widehat{W}_B$, meaning that ρ fully defines the behavior of ρ_G with respect to w .

Assuming we have shown the statement for words with at most k letters from A , we now consider a word $w \in \widehat{W}_{A \cup B}$ with $k + 1$ letters from A .

Assume towards a contradiction, that $e_w[s, \rho](n) \uparrow$ and

$$(s, F) \Vdash_{\mathbb{Q}_{A, \rho}} e_w[\rho_G](n) = m.$$

Thus, we can find an element $a \in A$ and words $u, v \in W_{A \cup B}$ such that $w = ua^{\pm 1}v$ and $e_v[s, \rho](n) \downarrow$ while $e_{a^{\pm 1}v}[s, \rho](n) \uparrow$. Furthermore we can write $w = w_0w_1$ where w_0 does not contain a and w_1 is a -good.

From Lemma 4.11, we know that there must exist some set of tuples $\bar{s} \subseteq \{a\} \times \omega \times \omega$ such that $(s \cup \bar{s}, F) \leq (s, F)$ and $e_{w_1}[s \cup \bar{s}, \rho](n) \downarrow$. We chose \bar{s} such that

$$\bar{n} := e_{w_1}[s \cup \bar{s}, \rho](n) \neq e_{w_0}^{-1}[s, \rho](m)$$

if $e_{w_0}^{-1}[s, \rho](m)$ is defined. Using that

$$(s, F) \Vdash_{\mathbb{Q}_{A, \rho}} e_w[\rho_G](n) = m$$

and

$$(s \cup \bar{s}, F) \Vdash_{\mathbb{Q}_{A, \rho}} e_{w_1}[\rho_G](n) = \bar{n},$$

we get that

$$(s \cup \bar{s}, F) \Vdash_{\mathbb{Q}_{A, \rho}} e_{w_0}[\rho_G](\bar{n}) = m$$

and as w_0 contains at most k elements from A , the induction hypothesis yields $e_{w_0}[s \cup \bar{s}, \rho](\bar{n}) = m$ and since there is no occurrence of a in w_0 we get that $e_{w_0}[s, \rho](\bar{n}) = m$, a contradiction. \square

The next definition and lemma are due to Kasternans and Zhang [18].

Definition 4.16 (Hitable Function). Let $G \leq S_\omega$ and let $f: \omega \rightarrow \omega$ be a partial, infinite function. We call f hitable with respect to G if the free product $G * \langle f \rangle$ does not contain any words with infinitely many fixed points other than those that evaluate as the identity.

Note that for this to be the case f must be injective and may only have finitely many fixed points.

Lemma 4.17 (Hitting Lemma). Let A and B be disjoint sets and let $\rho: B \rightarrow S_\omega$ be a function inducing a cofinitary representation. Furthermore, let $f: \omega \rightarrow \omega$ be a hitable function with respect to $\text{im}(\rho) \leq S_\omega$. Then for any $(s, F) \in \mathbb{Q}_{A, \rho}$ and $a \in A$ there exists $n \in \text{dom}(f)$, $n \notin \text{dom}(s_a)$ such that $(s \cup \{(a, n, f(n))\}, F) \leq (s, F)$.

Proof. We begin by showing this for $F = \{w\}$ where w is a reduced word. If w does not contain a , then any tuple $(a, n, f(n))$ where $n \notin \text{dom}(s_a)$ will suffice and as $\text{dom}(s_a)$ is finite we are done.

Let us assume

$$e_w[(s \setminus s_a) \cup \{(a, n, f(n)) \mid n \in \text{ran}(f)\}, \rho] \cong \text{id},$$

where defined, then a must occur at least twice in w . If a were to occur only once, then we can find a cyclic permutation of w of the form $a^{\pm 1}w'$ which would contradict the fact that $\text{im}(\rho_G) * \langle f \rangle$ is cofinitary. Thus w must contain either the pattern $a^{\pm 2}$ or a subword of the form $a^{\pm 1}w'a^{\pm 1}$ with $a^{\pm 1} \notin w'$. We define f' to be the subset of f that does not contain any of the fixed points of f or any of the (finitely many) pairs used in both $a^{\pm 1}$ when evaluating the pattern $a^{\pm 1}w'a^{\pm 1}$.

We now define f'' iteratively. Let $f''_0 := \emptyset$ and let $f'_0 := f'$. Assuming f''_n and f'_n have been defined we define

$$f''_{n+1} := f''_n \cup \{(m, n)\},$$

where $m = \min(\text{dom}(f''_n))$. If we consider the possible evaluations of $a^{\pm 1}w'a^{\pm 1}$ and let the pair used in place of one of the a be (m, n) , then there are at most two other pairs $p_1, p_2 \in f'_n$ that will be used for the evaluation in the other a . We then let

$$f'_{n+1} := f'_n \setminus \{(m, n), p_1, p_2\}.$$

Finally, we let $f'' := \bigcup_{n \in \omega} f''_n$, which is a partial, infinite function. Using this f'' we get that

$$e_w[(s \setminus s_a) \cup \{(a, n, f''(n)) \mid n \in \text{ran}(f)\}, \rho]$$

is nowhere defined.

In the case where

$$e_w[(s \setminus s_a) \cup \{(a, n, f(n)) \mid n \in \text{ran}(f)\}, \rho] \not\cong id,$$

we simply remove one of the pairs of f that is used in an occurrence of a for each fixed point of its evaluation to get f'' .

As s_a is finite, there is only a finite number of pairs $(m, n) \in f''$ such that $m \in \text{dom}(s_a)$ or $n \in \text{ran}(s_a)$. Removing these still leaves us with infinitely many candidate pairs, we call this set \hat{f} and define $\hat{s} := s \cup \{(a, m, n) \mid (m, n) \in \hat{f}\}$

Now we consider the fixed points of $e_w[\hat{s}, \rho]$, which, by definition, can only be finitely many. For every $n \in \text{fix}(e_w[\hat{s}, \rho]) \setminus \text{fix}(e_w[s, \rho])$ there must be some $(c, d) \in \hat{f}$ that the iterative evaluation of w might contain (along with a pair from s_a). Thus, if we remove at most $|s_a|$ pairs from \hat{f} for each fixed point, we can eliminate all new fixed points obtained by adding \hat{f} to s , leaving us with an infinite set of candidate pairs. If F is not a singleton set we must consider all the evaluations of the words in F and remove all pairs from f that can give rise to fixed points by repeatedly using the two steps used to construct f'' from f . After having done this for each word the rest of the proof works the same. \square

4.3 A Lower Bound on the Number of Isomorphism Classes of Maximal Cofinitary Groups

Having established some forcing machinery, we may now begin proving the main theorem of this section. As with any forcing argument, we will begin by defining the sets our $\mathbb{Q}_{A, \rho}$ -generic filter will intersect.

Definition 4.18. Let A be a set and let $\rho: B \rightarrow S_\omega$ be a function inducing a cofinitary representation. Let $a \in A$, $n \in \omega$ and let $w \in \hat{W}_{A \cup B}$ then we define the following sets:

- $D_{a, n} := \{(s, F) \in \mathbb{Q}_{A, \rho} \mid n \in \text{dom}(s_a)\},$
- $R_{a, n} := \{(s, F) \in \mathbb{Q}_{A, \rho} \mid n \in \text{ran}(s_a)\},$
- $W_w := \{(s, F) \in \mathbb{Q}_{A, \rho} \mid w \in F\}.$
- Let $T \in [\omega]^\omega$ then we define

$$T_{a, n} := \{(s, F) \in \mathbb{Q}_{A, \rho} \mid \exists k \geq n \ k \in \text{dom}(s_a) \cap T \text{ and } s_a(k) \in T\}.$$

- Let $f: S \rightarrow S$ be hitable with respect to the cofinitary group $\langle \rho(B) \rangle$. Then

define

$$F_{a,n} := \{(s, F) \in \mathbb{Q}_{A,\rho} \mid \exists k \geq n \ k \in \text{dom}(s_a) \text{ and } s_a(k) = f(k)\}.$$

Proposition 4.19. *These posets are dense subsets of $\mathbb{Q}_{A,\rho}$ for any choice of $n \in \omega$, $a \in A$ and $w \in \widehat{W}_{A \cup B}$.*

Proof. Let (s, F) be arbitrary in $\mathbb{Q}_{A,\rho}$.

- If $n \in \text{dom}(s_a)$, then (s, F) is also contained in $D_{a,n}$ and we're done.
Otherwise we find cofinitely many good extensions $(s \cup \{(a, n, m)\}, F) \in D_{a,n}$ with respect to w for all $w \in F$ by Lemma 4.11.
As F is finite, we take the finite intersection of the sets of possible tuples for each word, yielding a cofinite set S of candidates.
Thus we can pick an arbitrary triple $(a, n, m) \in S$ and will find that $(s \cup \{(a, n, m)\}, F) \in D_{a,n}$ and $(s \cup \{(a, n, m)\}, F) \leq (s, F)$.
- Similarly, for (s, F) with $n \notin \text{ran}(s)$ we find an extension $(s \cup \{(a, m, n)\}, F) \in R_{a,n}$ such that $(s \cup \{(a, m, n)\}, F) \leq (s, F)$ using Lemma 4.11, arguing the same way as above.
- We can trivially extend (s, F) to $(s, F \cup \{w\})$, which lies in W_w .
- We can use 4.11 with $n \in T \setminus \text{dom}(s_a)$ to find a cofinite set that after intersecting with T yields infinitely many pairs $(n, m) \in T$ such that $(s \cup \{(a, n, m)\}, F) \in T_{a,n}$ and $(s \cup \{(a, n, m)\}, F) \leq (s, F)$.
- The density of this set follows in a straightforward manner from Lemma 4.17, as it directly provides an unbounded set of possible extensions.

□

Remark 7. When considering the families of all such sets, $\mathcal{D} := \{D_{a,n} \mid a \in A, n \in \omega\}$ with \mathcal{R} , \mathcal{T} and \mathcal{F} defined analogously, which are of size $\max(\omega, |A|)$ as their elements are indexed over $A \times \omega$. The family \mathcal{W} is indexed over the elements in $\widehat{W}_{A \cup B}$, and as such has cardinality $|\widehat{W}_{A \cup B}| = |A \cup B|^{<\omega} = \max(|A \cup B|, \omega) = \max(|A| + |B|, \omega) \leq \mathfrak{c}$.

Now we will prove one final proposition before we finally show how we can construct maximal cofinitary groups with arbitrary orbit structure.

Proposition 4.20. *Let $A = \{a\}$ be a singleton set, let B be a set with $|B| < \mathfrak{c}$ and $a \notin B$. Furthermore let $\rho: B \rightarrow S_\omega$ be a function inducing a cofinitary representation of $F(B)$.*

Assuming the existence of a $\mathbb{Q}_{A,\rho}$ -generic filter, the following are true:

- (i). We can find a cofinitary extension $\rho_{\mathcal{G}}: A \cup B \rightarrow S_{\omega}$ that extends ρ such that $\rho_{\mathcal{G}} \upharpoonright B = \rho$ and $\text{im}(\rho_{\mathcal{G}}) \cong \rho(F(B)) * (\mathbb{Z}, +)$.
- (ii). Let $T \in [\omega]^{\omega}$ be infinite. Then we can find a cofinitary extension $\rho_{\mathcal{G}}: A \cup B \rightarrow S_{\infty}$ that extends ρ such that $\rho_{\mathcal{G}} \upharpoonright B = \rho$, $\text{im}(\rho_{\mathcal{G}}) \cong \rho(F(B)) * (\mathbb{Z}, +)$ and $|(T \times T) \cap \rho_{\mathcal{G}}(a)| = \omega$.
- (iii). Let $f: \omega \rightarrow \omega$ be hitable with respect to $\rho(F(B))$.

Then we can find a cofinitary extension $\rho_{\mathcal{G}}: A \cup B \rightarrow S_{\infty}$ that extends ρ such that $\rho_{\mathcal{G}} \upharpoonright B = \rho$, $\text{im}(\rho_{\mathcal{G}}) \cong \rho(F(B)) * (\mathbb{Z}, +)$ and $|f \cap \rho_{\mathcal{G}}(a)| = \omega$.

Proof. (i). For this construction we consider the collections of sets $(D_{a,n})_{n \in \omega}$, $(R_{a,n})_{n \in \omega}$ and $(W_w)_{w \in \widehat{W}_{A \cup B}}$, whose elements we have shown to be dense. Let \mathcal{G} be a $\mathbb{Q}_{A,\rho}$ -generic filter, such that for all $n \in \omega$ and $w \in \widehat{W}_{A \cup B}$ we have $\mathcal{G} \cap D_{a,n} \neq \emptyset$, $\mathcal{G} \cap R_{a,n} \neq \emptyset$ and $\mathcal{G} \cap W_w \neq \emptyset$.

Examining the generic representation $\rho_{\mathcal{G}}$ as defined above, we notice immediately that a maps to an element of S_{ω} due to the intersection with the dense sets given, which force it to be a total bijective function.

It remains to show that $\rho_{\mathcal{G}}$ induces a cofinitary representation of $F(A \cup B)$. To see this, we take any $w \in W_{A \cup B}$ and find $\widehat{w} \in \widehat{W}_{A \cup B}$, $u \in W_{A \cup B}$ such that $w = u^{-1}\widehat{w}u$.

As $W_{\widehat{w}}$ is dense, there must be some $(s, F) \in W_{\widehat{w}}$ such that $(s, F) \in \mathcal{G}$. Let $m \in \omega$ be a fixed point of $e_{\widehat{w}}[\rho_{\mathcal{G}}]$, then there must be a condition $(t, E) \in \mathcal{G}$ with

$$(t, E) \Vdash_{\mathbb{Q}_{A,\rho}} e_{\widehat{w}}[\rho_{\mathcal{G}}](m) = m,$$

with $(t, E) \leq_{\mathbb{Q}_{A,\rho}} (s, F)$ and we get $e_{\widehat{w}}[t, \rho](m) = m$, which implies $e_{\widehat{w}}[s, \rho](m) = m$. This means that $\text{fix}(e_{\widehat{w}}[\rho_{\mathcal{G}}]) = \text{fix}(e_{\widehat{w}}[s, \rho])$, which is finite.

To check that $e_w[\rho_{\mathcal{G}}]$ has at most finitely many fixed points we can see from the definition that this set is just

$$e_{u^{-1}}[\rho_{\mathcal{G}}](\text{fix}(e_{\widehat{w}}[\rho_{\mathcal{G}}])),$$

which is finite yet again.

The structure of the new group as a free product follows trivially from adding a single element to the set B , extending ρ and considering the new free group's structure.

- (ii). The arguments of this and the next item are very much the same, except that we add other, previously defined dense sets that our filter has to have

non-empty intersections with. For this construction we include the family $T_{a,n}$ of dense sets.

The families $(D_{a,n})_{n \in \omega}$, $(R_{a,n})_{n \in \omega}$ and $(W_w)_{w \in \widehat{W}_{A \cup B}}$ guarantee us the same properties as before, while the non-empty intersection with all the $(T_{a,n})_{n \in \omega}$ guarantees us the property $|\rho_G(a) \cap T \times T| = \omega$.

- (iii). For this construction we add the family $(F_{a,n})_{n \in \omega}$ to the collection of dense sets that our $\mathbb{Q}_{A,\rho}$ -generic filter has to have non-empty intersections with. With the other properties as in (i), the intersection with $F_{a,n}$ guarantees us that $|\rho_G(a) \cap f| = \omega$.

□

Remark 8. This proposition is an alternative way of proving that $\mathfrak{a}_g > \omega$ as in the case where B is countable we know that we can construct a generic filter explicitly. For larger cardinalities of A or B we will need to use Martin's Axiom (MA), which states that a generic filter exists for any collection of dense sets with cardinality less than \mathfrak{c} . In some sense this axiom can be thought of as a generalization of CH .

We can now utilize this proposition when we construct a maximal cofinitary group of arbitrary orbit structure.

Theorem 4.21. *Let $(m, n) \in \omega \times \omega \setminus \{0\}$. Then, assuming Martin's Axiom, there exists a maximal cofinitary group such that its natural action has m finite and n infinite orbits.*

Proof. Begin by fixing a tuple $(m, n) \in \omega \times \omega \setminus \{0\}$. To construct a cofinite group with n infinite and m finite orbits, we first fix an arbitrary partition of

$$\omega = \bigcup_{i=1}^n O_i \cup \bigcup_{j=1}^m \bar{O}_j,$$

where all O_i are infinite and \bar{O}_j are finite.

Now we will construct sequences of generators

$$g_i := \{g_{i,\alpha} \in \text{Sym}(O_i) \mid \alpha < \mathfrak{c}\},$$

and

$$\bar{g}_j := \{g_{j,\alpha} \in \text{Sym}(\bar{O}_j) \mid \alpha < \mathfrak{c}\},$$

such that $\langle g_i \rangle$ is transitive and $\langle g_i \rangle \cong F(g_i)$. For $\langle \bar{g}_j \rangle$ we simply ask for transitivity on \bar{O}_j .

Assuming we have constructed these sequences up to some $\alpha \in \mathfrak{c}$, we define $G_{i,\alpha} = \langle g_i \rangle_{i < \alpha}$, $\bar{G}_{i,\alpha} = \langle \bar{g}_j \rangle_{i < \alpha}$ and $G_\alpha := \langle g_\beta \rangle_{\beta < \alpha}$, which are defined as

$$g_\beta(x) = \begin{cases} g_{i,\beta}(x) & \text{if } x \in O_i, \\ \bar{g}_{j,\beta}(x) & \text{if } x \in \bar{O}_j. \end{cases}$$

As \bar{O}_j is finite we may simply take $g_{j,0} := \sigma$ where σ is any cyclic permutation of \bar{O}_j . Further, we set $g_{j,\alpha} := g_{j,0}$. This guarantees us transitivity of $\langle \bar{g}_j \rangle$ on \bar{O}_j , which is all we ask for in this case.

For infinite orbits we define the permutation $g_{i,0}$ to be $\sigma_i \circ f \circ \sigma_i^{-1}$ where σ_i is the order preserving bijection from ω onto O_i and

$$f(x) := \begin{cases} x + 2 & \text{if } x \text{ is even,} \\ 0 & \text{if } x = 1, \\ x - 2 & \text{otherwise.} \end{cases}$$

This f generates a countable cofinitary group on ω isomorphic to $(\mathbb{Z}, +)$. And as such, the $g_{i,0}$ do the same on O_i .

Next we fix an enumeration of the elements of S_ω as $(f_\alpha)_{(\alpha \in \mathfrak{c})}$. Then we proceed recursively, at step α we check if $\langle f_\alpha, G_\alpha \rangle$ is cofinitary. If this is not the case, we use utilize construction (i) from Proposition 4.20 with B such that $|B| = |G_{i,\alpha}|$ and $\rho: B \rightarrow S_\infty$ as a cofinitary representation of $G_{i,\alpha}$. The existence of the necessary filter is guaranteed by MA, as mentioned in the previous remark. Doing this for all orbits O_i we can define $g_{i,\alpha} = \rho_G(a)$.

In the case of $\langle f_\alpha, G_\alpha \rangle$ being cofinitary, we must have at least one tuple (i, j) such that $f_\alpha \cap O_i \times O_j$ is infinite.

For the case $i = j$ we get that $f_\alpha \upharpoonright O_i \times O_i$ is a hitable function with respect to $G_{i,\alpha}$, and as such we can use construction (iii) (with parameters as in the previous paragraph) from Proposition 4.20 to define $g_{i,\alpha}$ and the first construction to obtain all $g_{k,\alpha}$ for $k \neq i$.

For the case $i \neq j$, we first use construction (ii) of Proposition 4.20 with

$$T = \sigma_j^{-1} \text{ran}(f_\alpha \cap O_i \times O_j),$$

to construct $g_{j,\alpha}$. Next, consider a partial function $h: O_i \rightarrow O_i$ defined as

$$h := (f_\alpha \cap O_i \times O_j)^{-1} \circ g_{j,\alpha} \circ (f_\alpha \cap O_i \times O_j),$$

which is infinite as $g_{j,\alpha}$ is a total bijective function on O_j .

If h is hitable with respect to $G_{i,\alpha}$ we can again use the third construction from Proposition 4.20, otherwise we simply resort to the first one to define $g_{i,\alpha}$. For all other $k \in \omega \setminus \{i, j\}$ we use the first construction to get $g_{k,\alpha}$.

Finally we need to check whether $G_\mathfrak{c} := \langle g_\alpha \rangle_{\alpha < \mathfrak{c}}$ fulfills our requirements.

Our group has the required orbit structure as adding an element preserves the orbits by construction.

The fact that each G_α is cofinitary is immediately clear by construction, as we always guarantee that $\langle G_\alpha, g_{\alpha+1} \rangle$ is cofinitary by construction and the case of α being a limit ordinal being trivial.

Finally, we need to show that $G_\mathfrak{c}$ is maximal. Arguing by contradiction, assume that there is some $f \in S_\omega$ such that $\langle f, G_\mathfrak{c} \rangle$ is cofinitary. But since our construction ranges over all $f \in S_\omega$, $f = f_\alpha$ for some $\alpha < \mathfrak{c}$, thus at step α in our construction we would have constructed a $g_{i,\alpha}$ such that $g_{i,\alpha} \cap f_\alpha$ is infinite or such that for a $g_{j,\alpha}$ we have that $f_\alpha^{-1}g_{j,\alpha}f_\alpha$ is not cofinitary or such that $g_{i,\alpha} \cap f_\alpha^{-1}g_{j,\alpha}f_\alpha$ is infinite. Thus we get that either $f \in G_{\alpha+1}$ or $\langle f, G_{\alpha+1} \rangle$ is not cofinitary. \square

5 A Universal Maximal Cofinitary Group

In this section we will show that there exist cofinitary groups into which every countable group can be embedded, this result was first shown in [31] and then proven in a different manner in [16], which is what Section 5.2 is based on.

5.1 More Basics of Forcing Cofinitary Groups

The results of this section, which is a continuation of Section 4.2, are once again from the paper by Fischer [11].

We begin by showing a generalization of (i) from Proposition 4.20, namely that our forcing notion from the previous section can be used with an arbitrary set A to add $|A|$ -many elements to our cofinitary group.

Proposition 5.1. *Let A and B be sets, let $\rho: B \rightarrow S_\omega$ induce a cofinitary representation and let \mathcal{G} be a $\mathbb{Q}_{A,\rho}$ -generic filter. Then $\rho_{\mathcal{G}}: A \cup B \rightarrow S_\infty$ induces a cofinitary representation $\hat{\rho}_{\mathcal{G}}: F(A \cup B) \rightarrow S_\infty$. Furthermore $\rho_{\mathcal{G}} \upharpoonright B = \rho$ and $\hat{\rho}_{\mathcal{G}} \upharpoonright B = \hat{\rho}$.*

Proof. The proof of this statement is the same as the one from (i) of Proposition 4.20, with the one change being that our collections of dense sets are now indexed over A as well as ω . Everything else in the proof still holds the way it was stated, since we never used the fact that A was a singleton set. \square

Remark 9. By choosing to include $(W_w)_{\widehat{W}_{A \cup B}}$ in our family of dense sets, we guarantee that there will be no relations that impede on the freeness of the newly added elements, as any non-trivial word $w \in \widehat{W}_{A \cup B}$ under $\hat{\rho}_{\mathcal{G}}$ can have at most finitely many fixed points and as such will not map to the identity, meaning $\hat{\rho}_{\mathcal{G}}(A \cup B) = \hat{\rho}(B) * F(A)$.

This result shows us that the image of $\rho_{\mathcal{G}}$ will be a cofinitary group, but we still need to show that if we choose A to be large enough, the group will not only be cofinitary, but also maximal.

Definition 5.2 (Complete embedding). Let $(\mathbb{P}, \leq_{\mathbb{P}})$ and $(\mathbb{Q}, \leq_{\mathbb{Q}})$ be posets and let $\mathbb{Q} \subseteq \mathbb{P}$. Then \mathbb{Q} is completely contained in \mathbb{P} if

- (i). For any $q, q' \in \mathbb{Q}$ such that $q \leq_{\mathbb{Q}} q'$ we have $q \leq_{\mathbb{P}} q'$,
- (ii). For all $q, q' \in \mathbb{Q}$ such that $q \perp_{\mathbb{Q}} q'$ we have $q \perp_{\mathbb{P}} q'$,
- (iii). All maximal antichains in \mathbb{Q} are maximal in \mathbb{P} .

Alternatively, this third condition may be stated equivalently as:

- (iii') For all $p \in \mathbb{P}$, there is some $q \in \mathbb{Q}$, such that for all $q' \in \mathbb{Q}$ with $q' \leq_{\mathbb{Q}} q$ we have $q' \parallel_{\mathbb{P}} p$.

Remark 10. To see that this definition is equivalent, consider that (iii) tells us that for any $p \in \mathbb{P}$ and an antichain A in \mathbb{Q} there is at least one element $r \in A$ that is compatible with p , as otherwise the antichain would not be maximal in \mathbb{P} , pick the element that extends both p and r as q in the second definition.

To see that (iii') and (iii) are equivalent, let us assume (iii') holds and let A be an antichain in \mathbb{Q} . Assume $p \perp_{\mathbb{P}} q$ for all $q \in A$. Then we know that there is some element r that fulfills (iii') for p and some element $s \in A$ such that $r \parallel_{\mathbb{Q}} s$. Thus we can find a common extension r' of both r and s , which has $r' \parallel_{\mathbb{P}} p$ and is a common extension of both s and p , contradicting the assumption $p \perp_{\mathbb{P}} q$ for all $q \in A$.

Assume (iii') is false, then there is some $p \in \mathbb{P}$ such that for all $q \in \mathbb{Q}$ there is a $q' \leq q$ such that $q' \perp_{\mathbb{P}} p$. Let $D := \{q \in \mathbb{Q} \mid q \perp p\}$, then this set is dense and thus there is a maximal antichain $A \subseteq D$ in \mathbb{Q} . This antichain is not maximal in \mathbb{P} as $p \perp q$ for all $q \in D$.

Definition 5.3 (Restriction of Poset). Let $A_0 \subseteq A$. Then for a condition $p = (s, F) \in \mathbb{Q}_{A, \rho}$, we write $s \upharpoonright A_0$ for $s \cap A_0 \times \omega \times \omega$. Furthermore, we write $p \upharpoonright A_0$ for $(s \upharpoonright A_0, F)$. We call this the *restriction* of p to A_0 .

Furthermore we write $p \upharpoonright A_0$ for $(s \upharpoonright A_0, F \cap \widehat{W}_{A_0 \cup B})$. This is called the *strong restriction* of p to A_0 . Note that $p \upharpoonright A_0 \in \mathbb{Q}_{A_0, \rho}$, while $p \upharpoonright A_0$ is generally not.

Lemma 5.4. *Let $A_0 \subseteq A$. Then $\mathbb{Q}_{A_0, \rho}$ is completely contained in $\mathbb{Q}_{A, \rho}$.*

Proof. If $A_0 = A$ or \emptyset there is nothing to show, so we assume that A_0 is a proper subset of A and define $A_1 := A \setminus A_0$. Let $p = (s, F) \in \mathbb{Q}_{A_0, \rho}$ be a condition. Then we immediately see that for $p \in \mathbb{Q}_{A, \rho}$ and a condition $q = (t, E) \in \mathbb{Q}_{A_0, \rho}$ such that $q \leq p$ in $\mathbb{Q}_{A_0, \rho}$ we immediately have $q \leq_{\mathbb{Q}_{A, \rho}} p$.

Furthermore, we see that for $p, q \in \mathbb{Q}_{A_0, \rho}$ we get

$$q \perp_{\mathbb{Q}_{A_0, \rho}} p \iff q \perp_{\mathbb{Q}_{A, \rho}} p,$$

as the incompatibility is due to an element contained within A_0 .

Thus it remains to show that one of the equivalent third conditions from Definition 5.2 holds.

Claim 5.5. *For all $(s, F) \in \mathbb{Q}_{A, \rho}$ there exists a t such that $s \upharpoonright A_0 \subset t \subset A_0 \times \omega \times \omega$ where for any $a \in A_0$ t_a is a partial injective finite function and if $(r, E) \leq_{\mathbb{Q}_{A_0, \rho}} (t, F \cap \widehat{W}_{A_0 \cup B})$, then $(s \cup r, F) \leq_{\mathbb{Q}_{A, \rho}} (s, F)$.*

Proof of claim. Let $w_j \in F \setminus \widehat{W}_{A_0 \cup B}$. This means that each w_j is of the form

$$u_{k+1}v_k u_k v_{k-1} u_{k-1} \dots v_1 u_1$$

with $u_i \in W_{A_0 \cup B}$ and $v_i \in W_{A_1 \cup B}$ for all $1 \leq i \leq k+1$, where all words except for u_1 and u_{k+1} must be non empty and each v_i starts and ends with a letter from A_1 .

Now we can use Corollary 4.14 to inductively construct an element $t \subset A_0 \times \omega \times \omega$. To do so, we repeatedly apply it for each of the words $(u_i)_{1 \leq i \leq k+1}$ and the condition (s, F) yielding us a $t'_i \subseteq A_0 \times \omega \times \omega$ with $s \upharpoonright A_0 \subseteq t'_i$ and $\text{dom}(e_{u_i}[s \cup t'_i, \rho]) \supseteq \text{ran}(e_{v_i}[s, \rho])$ and $\text{ran}(e_{u_i}[s \cup t'_i, \rho]) \supseteq \text{dom}(e_{v_{i+1}}[s, \rho])$ and $(s \cup t, F) \leq_{\mathbb{Q}_{A_0, \rho}} (s, F)$ where $t = \bigcup_{1 \leq i \leq k+1} t'_i$.

Now let $(r, E) \in \mathbb{Q}_{A_0, \rho}$ such that

$$(r, E) \leq_{\mathbb{Q}_{A_0, \rho}} (t, F \cap W_{A_0 \cup B}).$$

To see that $(s \cup r, F) \leq_{\mathbb{Q}_{A_0, \rho}} (s, F)$ fix $w \in F$ and let $n \in \omega$ be a fixed point of $e_w[s \cup r, \rho]$. If $w \in W_{A_0 \cup B}$ then we are done as $(r, E) \leq (t, F \cap W_{A_0 \cup B})$. Otherwise, for $w \in F \setminus \widehat{W}_{A_0 \cup B}$ we know that by construction of t that if $e_w[s \cup r, \rho](n) \downarrow$ for some $n \in \omega$, then we already have $e_w[s \cup t, \rho](n) \downarrow$. As $(s \cup t, F) \leq (s, F)$ we know that $e_w[s, \rho](n) \downarrow$ and we are done. \square

It remains to show that for all pairs of conditions $(s, F), (r, E)$ as above we also have that $(s \cup r, E) \leq (r, E)$. For this, assume $e_w[s \cup r, \rho](n) = n$ for some $n \in \omega$. As $r \supset t \supset s \upharpoonright A_0$ and $w \in \widehat{W}_{A_0 \cup B}$ we see that the evaluation of $s \cup r$ must be the same as the evaluation of r thus $e_w[r, \rho](n) = n$.

Thus we get $(s \cup r, E \cup F) \leq_{\mathbb{Q}_{A_0, \rho}} (s, F)$ and $(s \cup r, E \cup F) \leq_{\mathbb{Q}_{A_0, \rho}} (r, E)$. \square

Lemma 5.6. *Let $A := A_0 \cup A_1$ where $A_0 \cap A_1 = \emptyset$, let $(t, E) \in \mathbb{Q}_{A_0, \rho}$ and suppose*

$$(t, E) \Vdash_{\mathbb{Q}_{A_0, \rho}} (s_1, F_1) \leq_{\mathbb{Q}_{A_1, \rho_{\mathcal{G}}}} (s_2, F_2)$$

then

$$(t \cup s_1, F_1) \leq_{\mathbb{Q}_{A, \rho}} (t \cup s_2, F_2).$$

Proof. By assumption we already get $t \cup s_1 \supset t \cup s_2, F_1 \supset F_2$. Next, choose $w \in F_2$ and let $n \in \omega$ be any fixed point of $e_w[t \cup s_1, \rho](n) = n$. Now, let \mathcal{G} be $\mathbb{Q}_{A_0, \rho}$ -generic and let $(t, E) \in \mathcal{G}$, this means that $e_w[s_1, \rho_{\mathcal{G}}](n) = n$ and by our hypothesis, we get $e_w[s_2, \rho_{\mathcal{G}}](n) = n$. Using Lemma 4.15 and the fact that $w \in \widehat{W}_{A_1 \cup B}$, we get $e_w[t \cup s_2, \rho](n) = n$. \square

Lemma 5.7. *Suppose \mathcal{G} is $\mathbb{Q}_{A, \rho}$ -generic over V and let $A := A_0 \dot{\cup} A_1$ such that $A_0, A_1 \neq \emptyset$ and $A_0 \cap A_1 = \emptyset$. Then $\mathcal{H} := \mathcal{G} \cap \mathbb{Q}_{A_0, \rho}$ is $\mathbb{Q}_{A_0, \rho}$ -generic over V and*

$$\mathcal{K} := \{p \upharpoonright A_1 \mid p \in \mathcal{G}\},$$

is $\mathbb{Q}_{A_1, \rho}$ -generic over $V[\mathcal{H}]$. Also $\rho_{\mathcal{G}} = (\rho_{\mathcal{H}})_{\mathcal{K}}$.

Proof. We know that $\mathbb{Q}_{A_0, \rho}$ is completely contained in $\mathbb{Q}_{A, \rho}$ by Lemma 5.4, as such we know that for any maximal antichain C of elements in $\mathbb{Q}_{A_0, \rho}$, C is also a maximal antichain in $\mathbb{Q}_{A, \rho}$. As such we know that $\mathcal{G} \cap C = S$ where $S \subset \mathbb{Q}_{A_0, \rho}$ and thus

$$\mathcal{H} \cap C = (\mathcal{G} \cap \mathbb{Q}_{A_0, \rho}) \cap C = (\mathcal{G} \cap C) \cap \mathbb{Q}_{A_0, \rho} = S \cap \mathbb{Q}_{A_0, \rho} = S.$$

Finally, to show that \mathcal{K} is $\mathbb{Q}_{A_1, \rho_{\mathcal{H}}}$ -generic in $V[\mathcal{H}]$, we consider a dense set $D \subseteq \mathbb{Q}_{A_1, \rho_{\mathcal{H}}}$ with $D \in V[\mathcal{H}]$. Next we define

$$D' := \{p \in \mathbb{Q}_{A, \rho} \mid p \upharpoonright A_0 \Vdash_{\mathbb{Q}_{A_0, \rho}} p \upharpoonright A_1 \in \dot{D}\}.$$

As D is dense there must be a condition p in \mathcal{H} such that

$$p \Vdash_{\mathbb{Q}_{A_0, \rho}} \text{“} D \text{ is dense”}.$$

Now let $(s, F) = q \leq_{\mathbb{Q}_{A, \rho}} p$. Then by Claim 5.5 we find $q' \leq_{\mathbb{Q}_{A_0, \rho}} q \upharpoonright A_0$ such that if $q_1 \leq_{\mathbb{Q}_{A_0, \rho}} q'$ then $q_1 \parallel_{\mathbb{Q}_{A, \rho}} q$.

Now, as D is dense, we can also find a condition $r = (s', F') \in \mathbb{Q}_{A_1, \rho}$ and a condition $(t, E) \leq_{\mathbb{Q}_{A_0, \rho}} p$ such that

$$(t, E) \Vdash_{\mathbb{Q}_{A_0, \rho}} \dot{r} \in \dot{D} \wedge \dot{r} \leq_{\mathbb{Q}_{A_1, \rho}} \dot{q} \upharpoonright A_1.$$

Using Lemma 5.6, we now get that $(t \cup s', F') \leq_{\mathbb{Q}_{A, \rho}} (t \cup s \upharpoonright A_1, F)$ and thus $(t \cup s', F' \cup E) \leq_{\mathbb{Q}_{A, \rho}} (s, F)$.

We see that $(t \cup s', F' \cup E) \in D'$ allowing us to conclude that D' is dense below p . Since $p \in \mathcal{G}$ we know that there is some $p' \in D' \cap \mathcal{G}$ and in $V[\mathcal{H}]$ we obtain that $p' \upharpoonright A_1 \in D$ and thus $\mathcal{K} \cap D \neq \emptyset$.

Finally, to see that $\rho_{\mathcal{G}} = (\rho_{\mathcal{H}})_{\mathcal{K}}$ we first understand that they must agree on B , as it stays the same in our extensions. For an element $a_1 \in A_1$, we then see that

$$\begin{aligned} \rho_{\mathcal{G}}(a_1) &= \bigcup \{s_{a_1} \mid \exists F \subset \cap W_{A \cup B} (s, F) \in \mathcal{G}\} \\ &= \bigcup \{(s \upharpoonright A_1)_{a_1} \mid \exists F \subset \cap W_{A \cup B} (s, F) \in \mathcal{G}\} \\ &= \bigcup \{(s_{a_1} \mid \exists F \subset \cap W_{A \cup B} (s, F) \in \mathcal{K}\} \\ &= (\rho_{\mathcal{H}})_{\mathcal{K}}(a_1) \end{aligned}$$

Lastly, consider an element $a_0 \in A_0$:

$$\begin{aligned}\rho_{\mathcal{G}}(a_0) &= \bigcup \{s_{a_1} \mid \exists F \subset \cap W_{A \cup B} (s, F) \in \mathcal{G}\} \\ &= \bigcup \{s_{a_0} \mid \exists F \subseteq \hat{W}_{A_0 \cup B} (s, F) \in \mathcal{H}\} \\ &= (\rho_{\mathcal{H}})\mathcal{K}(a_0)\end{aligned}$$

The second equality is due to the property of filters, if some condition $(s, F) \in \mathcal{G}$ forces some property of s_{a_0} , then we find a condition $(s', F') \in \mathbb{Q}_{A_0, \rho} \cap \mathcal{G}$ with $(s, F) \leq_{\mathbb{Q}_{A, \rho}} (s', F')$ defined by $F' = F \cap \hat{W}_{A_0 \cup B}$ and $s' = s \upharpoonright A_0$. \square

Lemma 5.8. *Let B be a set and suppose $\rho: B \rightarrow S_{\infty}$ induces a non-trivial cofinitary representation. Let $b \in B$ such that $\rho(b) \neq 1$, let $(s, F) \in \mathbb{Q}_{A, \rho \upharpoonright B \setminus \{b\}}$ and let $a \in A$. Then there is some $N \in \omega$, such that for all $n \geq N$ we have $(s \cup \{(a, n, \rho(b)(n))\}, F) \leq_{\mathbb{Q}_{A, \rho \upharpoonright B \setminus \{b\}}} (s, F)$*

Proof. We first begin by enumerating the words of F in which a occurs, as all others don't concern us for our statement. Denote them by w_1, \dots, w_l . Any word w_i is of the form

$$u_{i, j_i} a^{k_{i, j_i}} u_{i, j_i - 1} \dots u_{i, 1} a^{k_{i, 1}} u_{i, 0},$$

where the $u_{i, l_i} \in W_{A \setminus \{a\} \cup B \setminus \{b\}}$ and non empty except possibly the ones at indices 0 and j_i .

Next we use Lemma 4.11 to ensure that for any $u_{i, l}$ with $\text{dom}(e_{u_{i, l}}[s, \rho])$ and $\text{ran}(e_{u_{i, l}}[s, \rho])$ finite we have

$$\text{dom}(e_{a^{k_{i, l+1}}}[s, \rho]) \supset \text{ran}(e_{u_{i, l}}[s, \rho]),$$

and

$$\text{ran}(e_{a^{k_{i, l}}}[s, \rho]) \supset \text{dom}(e_{u_{i, l}}[s, \rho]).$$

For each w_1, \dots, w_l let \bar{w}_i be the word where every instance of a has been replaced by b . As ρ induces a cofinitary representation we know that the evaluation $e_{\bar{w}_i}[s, \rho]$ will always have at most finitely many fixed points, even if it is totally defined.

Let $\bar{w}_{i, l}$ be the subword of \bar{w}_i that begins with the word $u_{i, l}$ and define

$$\begin{aligned}N_i := \max(\{e_v[s, \rho](n) \mid e_{\bar{w}_i}[s, \rho](n) = n \text{ and } v = b^{\text{sign}(k_{i, l})p} \bar{w}_{i, l} \text{ and} \\ 0 \leq p \leq |k_{i, m}| \text{ and } 0 \leq m \leq j_i\}),\end{aligned}$$

for the i where $e_{\bar{w}_i}[s, \rho]$ is totally defined.

Now pick $N \in \omega$ such that

$$N \geq \max(\{N_i \mid i < l\}, \text{dom}(s_a), \{n \mid \rho(b)(n) \in \text{ran}(s_a)\}).$$

For any $n \geq N$ and \bar{w}_i where $e_{\bar{w}_i}[s, \rho]$ is not fully defined we have

$$\text{dom}(e_{w_i}[s, \rho]) = \text{dom}(e_{w_i}[s \cup \{(a, n, \rho(b)(n))\}, \rho]),$$

due to the stipulations on range and domain above. If now $e_{\bar{w}_i}[s, \rho]$ is fully defined, then for all $n \geq N$ we have

$$e_{w_i}[s \cup \{(a, n, \rho(b)(n))\}, \rho](k) = k \implies e_{w_i}[s, \rho](k) = k.$$

□

Theorem 5.9. *Let A and B be sets and let $\rho: B \rightarrow S_\omega$ induce a cofinitary representation. If $|A| > \aleph_0$, and \mathcal{G} is a $\mathbb{Q}_{A, \rho}$ -generic filter over V , then $\text{im}(\rho_{\mathcal{G}})$ is a maximal cofinitary group in $V[G]$ of cardinality $|A \cup B|$.*

Proof. Towards a contradiction assume that $\text{im}(\rho_{\mathcal{G}})$ is not a maximal cofinitary group. Thus there must be a permutation $f \in S_\omega$ such that $f \notin \text{im}(\rho_{\mathcal{G}})$ and $\langle \text{im}(\rho_{\mathcal{G}}), f \rangle$ is a cofinitary group. We can thus extend the domain of $\rho_{\mathcal{G}}$ with a single element x and define $\dot{\rho}_{\mathcal{G}}: A \cup B \cup \{x\} \rightarrow S_\omega$ such that $\dot{\rho}_{\mathcal{G}}(x) = f$ and $\dot{\rho}_{\mathcal{G}} \upharpoonright (A \cup B) = \rho_{\mathcal{G}}$.

As $f \in V[\mathcal{G}]$ there is a name \dot{f} for f . As f is countable, there is an at most countable set $A_0 \subset A$ such that \dot{f} is a $\mathbb{Q}_{A_0, \rho}$ -name. Thus $f \in V[\mathcal{H}]$ for $\mathcal{H} := \mathcal{G} \cap \mathbb{Q}_{A_0, \rho}$. Now we define $A_1 := A \setminus A_0$ and $\mathcal{K} := \{p \upharpoonright A_1 \mid p \in \mathcal{G}\}$. Next define

$$D_{f, N} := \{(s, F) \in \mathbb{Q}_{A_1, \rho_{\mathcal{H}}} \mid \exists n \geq N s_a(n) = f(n)\}.$$

For every $N \in \omega$ and $a \in A_1$ this set is dense by Proposition 4.19. In $V[\mathcal{H}][\mathcal{K}]$ we have that $(\rho_{\mathcal{H}})_{\mathcal{K}}(a)(n) = f(n)$ for all $a \in A_1$ and infinitely many $n \in \omega$. By Lemma 5.8 we have $(\rho_{\mathcal{H}})_{\mathcal{K}} = \rho_{\mathcal{G}}$ which contradicts that $\dot{\rho}_{\mathcal{G}}$ induces a cofinitary representation. □

5.2 Constructing a Universal Cofinitary Group

Our first hurdle in constructing this universal group is whether or not we can even represent the groups we want to embed as subgroups of S_ω at all, which we have already shown for a few special classes of groups in Section 3.

Definition 5.10. A group G is said to have cofinitary action if there exists a group homomorphism $\rho: G \rightarrow S_\omega$ which admits a cofinitary representation.

At this point we do not know whether all countable groups even have a cofinitary action. This fact will be established first in this section before finally constructing a group into which all countable groups can be embedded.

Before we can start with the Lemma that will establish this, we need to alter the forcing notion that we have been using so far to accommodate us.

Definition 5.11. Let G be a countable group and let $f: G \rightarrow G$ be the identity function of G as a set. We then let $\hat{f}: F(G) \rightarrow G$ be the group homomorphism obtained via the universal property of the free group.

Let A be a set of the same cardinality as G . Then $\mathbb{Q}_{A,\rho}^G$ is the forcing notion defined as:

- (i). The conditions of $\mathbb{Q}_{A,\rho}^G$ are pairs (s, F) where $s \subseteq A \times \omega \times \omega$ is finite and s_a is a partial finite injective function for every $a \in A$ and $W \subseteq \widehat{W}_{A \cup B}$ is finite. Furthermore for every word $w \in \ker(\hat{f}) \subset W_A$ we require $e_w[s, \rho] \cong id$ wherever it is defined.
- (ii). For two conditions $(s_1, W_1) \leq (s_2, W_2)$ if $s_1 \supseteq s_2$, $W_1 \supseteq W_2$ and for every $n \in \omega$ and $w \in W_2$, if $e_w[s_1, \rho](n) = n$ then already $e_w[s_2, \rho](n) \downarrow$ and $e_w[s_2, \rho](n) = n$.

Remark 11. Note that $\mathbb{Q}_{A,\rho}^G \subseteq \mathbb{Q}_{A,\rho}$ and thus $\mathbb{Q}_{A,\rho}^G$ inherits the countable chain condition.

This restriction of the poset now allows us to force relations in the group $\rho_G(A)$, by allowing us to have certain words be the identity when evaluated. As a subset of $\mathbb{Q}_{A,\rho}$ all the universal statements about $\mathbb{Q}_{A,\rho}$ hold for our new notion $\mathbb{Q}_{A,\rho}^G$ as well, particularly Lemma 4.11 and Proposition 5.1.

The relations that define our group G also play another role by providing us with a way of refining a condition.

Definition 5.12 (Applying Relations). Let $(s, F) \in \mathbb{Q}_{A,\rho}^G$ then we say $t \in [A \times \omega \times \omega]^{<\omega}$, where every t_a is a partial injective function, is obtained from s by applying relations if

$$(a, n, m) \in t \iff \exists w \in W_A \text{ } aw \in \ker(\hat{f}) \text{ and } e_w[s, \rho](m) = n.$$

Note that a t obtained by applying relations is not necessarily an element of $\mathbb{Q}_{A,\rho}^G$ as it may be infinite. To avoid this, we can stipulate that the a appearing in the first coordinate of t may only be ones that appear in s along with possibly finitely more from a set $A' \subseteq A$.

We call this A' -applying relations.

Lemma 5.13. Let $(s, F) \in \mathbb{Q}_{A,\rho}^G$, $\bar{A} \subseteq A$ finite and let t be obtained from s by \bar{A} -applying relations. Then

(i). $s \subseteq t$,

(ii). t is constant under \bar{A} -applying relations,

(iii). $(t, F) \in \mathbb{Q}_{A, \rho}^G$,

(iv). $(t, F) \leq (s, F)$.

Proof. (i). Using a^{-1} in place of w , this is clear by definition.

(ii). Let q be the element obtained from t by \bar{A} -applying relations. Towards a contradiction we assume $q \setminus t \neq \emptyset$, thus there is an element $(a, n, m) \in q \setminus t$ and a word $w \in W_A$ such that for $a \in \text{dom}(t) = \text{dom}(s) \cup \bar{A}$ we have $aw \cong id$.

Let $n \in \omega$ be arbitrary and assume $e_w[s, \rho](n) = m$. Then the pair (a, m, n) would have been added when applying relations to s already.

As such, the only case for $q \setminus t$ to not be empty is for $l \in \omega$ such that $e_w[s, \rho](l) \uparrow$ but $e_w[t, \rho](l) \downarrow$.

This means there is some element $a' \in \text{dom}(s) \cup \bar{A}$ appearing in w which appears in the first coordinate of a tuple added while \bar{A} -applying relations to s , so we can write $w = ua'v$. Let $(a', j, k) \in t \setminus s$ be that pair.

By definition we know that for this pair to be added, there must be a word w' such that $a'w' \in \ker(\hat{\rho})$ and $e'_w[s, \rho](k) = j$. As $a'w' \cong 1$ when w' is defined this means we can substitute a' for $(w')^{-1}$ in w . Repeating this for all tuples which were added when \bar{A} -applying relations to s we obtain a new word \bar{w} which has the same properties as w but $e_{\bar{w}}[s, \rho](l) \downarrow$, thus $(a', j, k) \in t$.

(iii). As both s and \bar{A} are finite, there are only finitely many pairs that can be added when \bar{A} -applying relations. Thus $t \in [A \times \omega \times \omega]^{<\omega}$.

Let $w \in \ker(\hat{f})$. Then $e_w[s, \rho] \cong id$ where it is defined. By the construction from the previous point, we see that $e_w[t, \rho] \cong id$ as well. Thus $(t, F) \in \mathbb{Q}_{A, \rho}^G$

(iv). Let $n \in \omega$ and $w \in F$ such that $e_w[t, \rho](n) = n$. As we have shown above, we must have $e_w[s, \rho](n) \downarrow$ which implies $(t, F) \leq (s, F)$. □

Now we can begin using forcing arguments to construct the groups we want.

Theorem 5.14. *Let H be a cofinitary group with cofinitary representation ρ and let G be an at most countable group. Then there exists a set of cofinitary permutations $F \subseteq S_\omega$ such that $\langle F \rangle \cong G$. In particular the group we obtain is $H \times G \cong H \times \langle F \rangle \leq S_\infty$ and $H \times \langle F \rangle$ is a cofinitary group.*

Proof. We will use a forcing argument to show this. Let us first show that the sets $D_{a,n}$, $R_{a,n}$ and W_w defined in Definition 4.18 are also dense with respect to $\mathbb{Q}_{A,\rho}^G$.

We begin by enumerating A and we write A_n for the set containing the first n elements of this sequence.

Let us fix some $a \in A$, $n \in \omega$ and $(s, F) \in \mathbb{Q}_{A,\rho}^G$. We let $t \in \mathbb{Q}_{A,\rho}^G$ be obtained from s by A_n -applying relations to s . If $n \in \text{dom}(t_a)$, then we are done by Lemma 5.13. If this is not the case, then we can use Lemma 4.11 to find an extension $(r, F) \in D_{a,n}$ such that $(r, F) \leq_{\mathbb{Q}_{A,\rho}^G} (t, F)$.

It remains to show that there is an r such that $(r, F) \in \mathbb{Q}_{A,\rho}^G$. Towards a contradiction, assume there is some $w \in \ker(\hat{f})$ such that $e_w[r, \rho] \not\cong id$. Let us now pick the shortest such w . Now there must be some $k \in \omega$ such that $e_w[r, \rho](k) \neq k$. The previous Lemma tells us that applying relations can not cause this, so we must have that the pair (a, n, m) which was added via our application of Lemma 4.11 must be used in the evaluation $e_w[r, \rho](k)$. As there are cofinitely many possible choices for r , we can simply choose m large enough so that this case is avoided, as only finitely many choices for m will lead to $e_w[r, \rho](k) \neq k$.

The argument for the density of $R_{a,n}$ follows analogously and W_w is trivially a dense set.

We can now find a $\mathbb{Q}_{A,\rho}^G$ -generic filter that has non empty intersection with all of the dense sets defined above. Using 5.1 we get a cofinitary representation induced by ρ_G .

We define $F := \rho(A)$. From our construction we know that every $a \in A$ maps to a cofinitary permutation. Furthermore we see that by our construction, we get that every word $w \in W_A$ such that $w \cong id$ we have $\hat{\rho}_G(w) = id$. Thus $\rho(A) \cong F(A)/W_{G,id} \cong G$. \square

Lastly we will just need to show a simple result that allows us to use CH for our proof.

Lemma 5.15. *There are 2^ω many countable groups up to isomorphism.*

Proof. Each group law can be thought of as a function $f: \omega \times \omega \rightarrow \omega$ which we know there are at most continuum many.

To see there are at least continuum many consider that for any subset of the primes we can form the direct product of the cyclic groups of the orders of the primes, obtaining continuum many non-isomorphic countable groups. \square

With these results, we can now finally show the main result of this section.

Theorem 5.16. *Assuming ZFC+CH, there is a maximal cofinitary group into which every countable group embeds.*

Proof. We begin by enumerating all countable groups. By CH, we know there are ω_1 many and thus we enumerate them as $(G_\alpha)_{\alpha < \omega_1}$. We do the same with all permutatinos in S_ω and get a sequence $(g_\alpha)_{\alpha < \omega_1}$.

Now we use Theorem 5.14 to adjoin one group after the other to G_0 yielding us a universal cofinitary group U . After the step where we adjoin group G_α we also check whether g_α is part of our group, if it is we are done. If $G_\alpha * \langle g_\alpha \rangle$ is cofinitary, we can use construction (iii) from Proposition 4.20 to construct an element f which we add to G_α .

Once we have constructed G_{ω_1} it will be maximal and all countable groups will embed into it. \square

Finally, we will see that this construction does not necessarily stipulate an assumption of CH on our part, but can also be done by assuming MA. Our main theorem then becomes:

Theorem 5.17. *Martin's Axiom implies the existance of a maximal cofinitary group into which every countable group can be embedded.*

Proof. The proof of this Theorem proceeds exactly as above, with the one change being the fact that the H we use in in Theorem 5.14 is no longer countable, which does not change the statement of it. The transfinite induction goes through as stated and we use MA to obtain the necessary generic filter for each step. \square

6 The Spectrum of Maximal Cofinitary Groups

In this section we will discuss the possible sizes of maximal cofinitary groups. We will find that there are models in which we can control the spectrum of maximal cofinitary groups very tightly. For this we will start with models of ZFC + GCH and then construct generic extensions using an alteration of our familiar poset.

Definition 6.1 (Spectrum). Let V be a model of ZFC and GCH and let \mathcal{S} be the class of all sets in V that fulfill some property. The spectrum of \mathcal{S} is the class of all possible sizes of such structures,

$$C(\mathcal{S}) := \{|S| \mid S \in \mathcal{S}\}.$$

Both \mathcal{S} and $C(\mathcal{S})$ may also be sets, depending on the model and the nature of \mathcal{S} .

Example 6.2. (i). Let V be any model of ZFC. Then the spectrum $C(\text{fin})$ of the class of finite sets fin is ω .

(ii). If the size of objects in the class \mathcal{S} is linked to the continuum, then the spectrum of this class changes depending on the model, while the spectrum of some classes such as fin is universal. For example if we consider $C(\text{mcg})$ the spectrum of maximal cofinitary groups then it must be the singleton set of ω_1 for models of CH, and the set 2^ω for models of MA, but this need not be ω_1 in this case.

We will now work in a model V of ZFC + GCH. Let $C(\omega)$ be a closed set of cardinals with the following properties:

- (i). $\min(C(\omega)) = \omega^+$,
- (ii). for all $\mu \in C(\omega)$, if $\text{cof}(\mu) = \omega$, then $\mu^+ \in C(\omega)$,
- (iii). if $|C(\omega)| \geq \omega^+$, then the interval $[\omega^+, |C(\omega)|] \subseteq C(\omega)$.

We call a set $C(\omega)$ satisfying these properties a potential spectrum.

Note that for a cardinal κ there are many possible sets $C(\kappa)$.

6.1 The Existence Result

We will now show that there is a ccc forcing notion \mathbb{P} such that in the \mathbb{P} -generic extension of V the spectrum of maximal cofinitary groups coincides with the set $C(\omega)$. The proof of the theorem follows the one given in [10], which was itself a generalization of the initial result presented by Brendle, Spinas and Zhang [3].

Example 6.3. A model for which $C(\omega) = \{\omega_1\}$ will have only maximal cofinitary groups of size ω_1 . In Section 3 we showed that there will always be maximal cofinitary groups of size continuum by Zorn's Lemma, thus we must have $\mathfrak{c} = \omega_1$.

Definition 6.4. Let ξ be a cardinal and let $I_\xi := \{(\eta, \xi) \mid \eta < \xi\}$ be the set of ordinals less than ξ . Let $\mathbb{Q}_{I_\xi, \rho}$ be the forcing notion defined like before, but instead of an abstract index set A , we now index over the set of tuples I_ξ . A $\mathbb{Q}_{I_\xi, \rho}$ -generic extension of V will contain a maximal cofinitary group of cardinality ξ by Proposition 5.1.

Furthermore, let

$$\mathbb{P} := \prod_{\xi \in C(\omega)} \mathbb{Q}_{I_\xi, \rho},$$

such that every element $p \in \mathbb{P}$ has at most finitely many non-empty sets in its $|C(\omega)|$ -many coordinates.

We say that $s \leq_{\mathbb{P}} t$ if $s_\eta \leq_{\mathbb{Q}_{I_\eta, \rho}} t_\eta$ for all $\eta \in C(\omega)$.

For an element $p \in \mathbb{P}$ we write

$$\text{supp}(p) := \{\xi \in C(\omega) \mid p_\xi \neq \emptyset\},$$

which we call the support of p .

The fact that \mathbb{P} is ccc follows immediately from the next lemma.

Lemma 6.5. *Let \mathbb{Q} be a product of ω^+ -Knaster posets $(\mathbb{Q}_i)_{i \in I}$ with finite supports. Then \mathbb{Q} is also ω^+ -Knaster.*

Proof. Let $A \subseteq \mathbb{Q}$ be a set of conditions with $|A| = \omega^+$. Assume that there are some $p, q \in \mathbb{Q}$ such that $p \perp_{\mathbb{Q}} q$.

For any $i \in I$ such that $|A_i \cap \mathbb{Q}_i| \geq \omega^+$ we can use the fact that \mathbb{Q}_i is ω^+ -Knaster and obtain $B_i \subset A_i$ with $|B_i| = \omega^+$ and for all $p, q \in B_i$ we have $p \parallel_{\mathbb{Q}_i} q$. We then restrict the i th coordinate of A to elements from B_i and get a new set A' which is of size at least ω^+ and such that all elements are compatible on the i th coordinate.

For $i \in I$ where $|A_i \cap \mathbb{Q}_i| < \omega^+$ we will, by regularity of ω , be able to find a compatible subset B_i such that the restriction of A to B_i on the i th coordinate A' will still be of cardinality ω^+ .

Applying either of these steps for each $i \in I$ will yield a set B of size ω^+ where all elements are compatible. \square

Remark 12. Note that a product of ccc posets \mathbb{Q}_i will not necessarily be ccc itself.

Knowing that \mathbb{P} will preserve all cardinals in our extension $V[\mathcal{G}]$, we can now show the existence part of the section's main theorem.

Lemma 6.6. *For every $\xi \in C(\omega)$ there exists a maximal cofinitary group of size ξ in the extension $V[\mathcal{G}]$ where \mathcal{G} is \mathbb{P} -generic.*

Proof. We know that for each $\xi \in C(\omega)$ we adjoin a maximal cofinitary group of size ξ via the poset $\mathbb{Q}_{I_\xi, \rho}$, as products of dense sets will be dense these groups will exist in the \mathbb{P} -generic extension $V[\mathcal{G}]$. However we still need to show that all these groups will still be maximal.

Let us fix a $\psi \in C(\omega)$ and towards a contradiction assume that G_ψ is not a maximal cofinitary group in $V^\mathbb{P}$. This means that there must be some $f \in S_\omega$ and a \mathbb{P} -name for it along with a condition $p \in \mathbb{P}$ such that

$$p \Vdash_{\mathbb{P}} \langle G_\psi, \dot{f} \rangle \text{ is cofinitary.}$$

We know that f has a nice name and as \mathbb{P} is ccc we know there are ω many antichains $(A_i)_{i \in \omega}$ such that for every $p \in A_n$ there is $k \in \omega$ such that $p \Vdash_{\mathbb{P}} \dot{f}(n) = k$.

Next we will aim to define a poset $\bar{\mathbb{P}} \times \bar{\mathbb{Q}}$, where we define $\bar{\mathbb{P}} := \prod_{\xi \in C'} \mathbb{Q}_{\xi, \rho}$ with finite supports and

$$C' := \left(\left(\bigcup_{i \in \omega, b \in A_i} \text{supp}(b) \right) \cup \text{supp}(p) \right) \setminus \{\psi\}.$$

Note that this set is at most countable. We let $\bar{\mathbb{Q}} := \mathbb{Q}_{A_\psi, \rho}$ where

$$A_\psi := \left(\bigcup_{i \in \omega, b \in A_i} \text{oc}_{I_\psi}(b(\psi)) \right) \cup \text{oc}_{I_\psi}(p(\psi)),$$

which is also a countable set.

By Lemma 5.4 we note that $\mathbb{Q}_{A_\psi, \rho}$ is completely contained in $\mathbb{Q}_{A_\psi, \rho}$. Also note that p is a $\bar{\mathbb{P}} \times \bar{\mathbb{Q}}$ -condition and similarly all the $b \in A_i$ for $i \in \omega$, meaning that \dot{f} is a $\bar{\mathbb{P}} \times \bar{\mathbb{Q}}$ -name. Thus

$$p \Vdash_{\bar{\mathbb{P}} \times \bar{\mathbb{Q}}} \langle G_\psi, \dot{f} \rangle \text{ is cofinitary.}$$

Now let \mathcal{G}' be $\bar{\mathbb{P}} \times \bar{\mathbb{Q}}$ -generic and let $p \in \mathcal{G}'$. We note that by 5.8 we have

$$\Vdash_{\mathbb{Q}_{I_\psi \setminus A_\psi, \rho_{A_\psi}}} \langle \text{im}(\rho_{A_\psi \mathcal{H}}) \rangle \text{ is a maximal cofinitary group of size } \psi.$$

We see that

$$(\bar{\mathbb{P}} \times \mathbb{Q}_{A_\psi}) * \mathbb{Q}_{I_\psi \setminus B_\psi} = \bar{\mathbb{P}} \times (\mathbb{Q}_{A_\psi} * \mathbb{Q}_{I_\psi \setminus A_{p \text{ssi}}}) = \bar{\mathbb{P}} \times \mathbb{Q}_{I_\xi},$$

where $*$ denotes an iterated forcing step and so

$$p \Vdash_{\mathbb{P} \times \mathbb{Q}_{I_\psi}} \langle G_\psi, \dot{f} \rangle \text{ is cofinitary,}$$

which is a contradiction. \square

6.2 The Nonexistence Result

The proof of the main lemma of this section is an adaption of the proof given in [9], which concerns itself with mad families, to our setting of maximal cofinitary groups.

It remains to show that for any cardinal number $\lambda \notin C(\omega)$ there exist no maximal cofinitary groups of size λ in our model $V[\mathcal{G}]$, which is what we will show in the remainder of this chapter. Once again V is a model of ZFC and GCH.

Lemma 6.7. *Let λ be a cardinal number such that $\lambda \notin C(\omega)$ and suppose \mathcal{G} is \mathbb{P} -generic. Then there is no maximal cofinitary group of size λ in $V[\mathcal{G}]$.*

Proof. Fix $\lambda \notin C(\omega)$. Towards a contradiction, suppose that in our model $V[\mathcal{G}]$ there is a maximal cofinitary group $G_\lambda = \{g_\alpha\}_{\alpha < \lambda}$ of size λ . First, let us define

$$\mu := \max(\{\xi \in C(\omega) \mid \xi < \lambda\}),$$

as the largest cardinal in $C(\omega)$ less than λ .

By definition of $C(\omega)$ we get $\mu \geq \text{cof}(\mu) \geq \omega_1$ and by GCH we get $\mu^\kappa = \mu$. Also note that $\mu \geq |C(K)^\kappa|$.

Next let us define some helper notions.

Definition 6.8. (i). Let \dot{f} be a \mathbb{P} -name for a cofinitary permutation. Then we can assume that \dot{f} is a nice name, so we can find ω -many maximal antichains $(A_i)_{i \in \omega}$ with the property that A_n decides $\dot{f}(n)$. We then define

$$\Delta_{\dot{f}} := \bigcup_{i \in \omega} A_i,$$

as the set of conditions involved in \dot{f} .

(ii). Let \dot{f} be a \mathbb{P} -name for a cofinitary permutation and let $\Delta_{\dot{f}}$ be the set of conditions involved in \dot{f} . Then

$$J_{\dot{f}} := \bigcup_{p \in \Delta_{\dot{f}}, \xi \in \text{supp}(p)} \text{dom}(p(\xi)),$$

where $\text{dom}(p(\xi)) = \text{dom}((s, F)) = \text{dom}(s)$ by an abuse of notation. We call $J_{\dot{f}}$ the support of \dot{f} .

- (iii). Any countable set J' with $J_{\dot{f}} \subseteq J' \subseteq I := \bigcup_{\xi \in C(\omega)} I_{\xi}$ is referred to as a support of \dot{f} .

For each $\dot{g}_{\alpha} \in G_{\lambda}$ let J_{α} be a support of \dot{g}_{α} . We define the set

$$K^* := \bigcup \{I_{\xi} \mid \xi \in C(\omega) \text{ and } \xi \leq \mu\},$$

and

$$S := K^* \cup \bigcup \{J_{\alpha} \mid \alpha \in C(\omega)\}.$$

Definition 6.9. Now let K be a set such that $K^* \subseteq K \subseteq S$ and $|K| = \mu$ and let \dot{f} be a \mathbb{P} -name for a cofinitary permutation. Then:

- (i). A support J for \dot{f} is said to be a K -support if whenever $J \cap (I_{\gamma} \setminus K) \neq \emptyset$ then $|J \cap (I_{\gamma} \setminus K)| = \omega$.
- (ii). A K -support J of \dot{f} is said to be K -standard if $J \cap K = J \cap S$.

If \dot{f} is a \mathbb{P} -name for a cofinitary permutation and K is as above, then \dot{f} has a K -support. Furthermore, any support J of \dot{f} can be made into a K -support.

To see this, consider that J is countable, so we can add countably many tuples of the form (η, γ) for any γ fulfilling the condition in (i) above.

With K as in the above definition, let $G(K)$ be the group of all permutations of the index set $I = \bigcup_{\xi \in C(\omega)} I_{\xi}$ such that any element $g \in G$ is the identity on K and the orbits of the action of $G(K)$ are the individual I_{ξ} .

Each $g \in G(K)$ defines an automorphism ϕ_g of \mathbb{P} if for a $p \in \mathbb{P}$ we let $\phi_g(p)$ be a condition with the same support as p and for every tuple $(a, m, n) \in p(\xi)$ we let $\phi_g((a, m, n)) = (\phi_g(a), m, n) \in \phi_g(p(\xi))$.

The fact that ϕ_g is an automorphism is easily seen as it merely permutes the labels of the elements of the components $\mathbb{Q}_{I_{\xi}, \rho}$ of \mathbb{P} and as such also preserves the relation $\leq_{\mathbb{P}}$ and antichains of \mathbb{P} .

As a consequence of this any K -support J remains a K -support under the action of $g \in G(K)$.

For any K -support J we can define the following set,

$$\bar{J} := \{\gamma \mid J \cap (I_{\gamma} \setminus K) \neq \emptyset\}.$$

In our case the set \bar{J} is of size at most ω .

Lemma 6.10. For K -supports J_0 and J_1 we have that there is a $g \in G(K)$ such that $g(J_0) = J_1$ if and only if $J_0 \cap K = J_1 \cap K$ and $\bar{J}_0 = \bar{J}_1$.

Proof. If there is $g \in G(K)$ such that $g(J_0) = J_1$, then we immediately get that

$$J_0 \cap K = g(J_0 \cap K) = g(J_0) \cap K = J_1 \cap K,$$

and the second condition follows from g having the orbits I_ξ .

To see the other direction, note that if we do not have $J_0 \cap K = J_1 \cap K$ then we can not have $g|_K \cong id_K$ and if we don't have $\overline{J_0} = \overline{J_1}$ then a function taking J_0 to J_1 could not have the orbits I_ξ \square

For a fixed K we get that there are at most μ -many orbits under the action of $G(K)$ on the sets of K -supports due to the fact that $|[K]^\omega| = \mu$, i.e. there are μ -many choices for static sets of K under the action of $G(K)$, and $|[C(\omega)]^\omega| \leq \mu^\omega = \mu$, which is the number of possible choices of index sets that are non-isomorphic.

We also know that any orbit contains a K -standard support and thus we find that as there are at most $\omega^\omega = \omega^+$ -many different names for cofinitary permutations with the same support we find that there are at most μ -many names for cofinitary permutations with K -standard supports.

Now if \dot{f} is a \mathbb{P} -name for a cofinitary permutation, the fact that \mathbb{P} is ccc guarantees us the existence of a set $B(\dot{f}) \in [\lambda]^\omega \cap V$ such that

$$\Vdash_{\mathbb{P}} \exists \alpha \in \check{B}(\dot{f}) \ |\dot{g}_\alpha \cap \dot{f}| = \omega.$$

Definition 6.11. Let $K \subset S$ such that $|K| = \mu$ and $K^* \subset K$. Let

$$B(K) := \bigcup \{B(\dot{x}) \mid \dot{x} \text{ is a } \mathbb{P}\text{-name for a cofinitary permutation with a } K\text{-standard support}\}.$$

By the above observation on the number of names of K -standard supports, $|B(K)| = \mu$.

Now we construct recursive sequences of sets as follows:

Let $K_0 := K^*$ and let $M_0 := \emptyset$. Now define $M_1 := B(K^*)$ and let

$$K_1 := K_0 \cup \bigcup \{J_\alpha \mid \alpha \in M_0\}.$$

Assuming K_δ has been defined we define $M_{\delta+1} := B(K_\delta)$ and

$$K_{\delta+1} := K_\delta \cup \bigcup \{J_\alpha \mid \alpha \in M_{\delta+1}\}.$$

If δ is a limit, then let $K_\delta := \bigcup_{\eta < \delta} K_\eta$ and let $M_\delta := \bigcup_{\eta < \delta} M_\eta$. Finally, let $K := \bigcup_{\eta < \omega^+} K_\eta$ and let $M := \bigcup_{\eta < \omega^+} M_\eta$. By construction M is of size μ .

There is an $\alpha \in \lambda \setminus M$ and let us consider $\dot{f} = \dot{g}_\alpha$. Let J be a support for \dot{f} and by definition of K there must be some K_γ such that $J \cap K_\gamma = J \cap K$. We

may assume that J is a K_γ -support and thus there is a $g \in G(K_\gamma)$ such that $g(J)$ is a K_γ -standard support.

As $g(J)$ is K_γ -standard, we have that $g(J) \cap K_\gamma = g(J) \cap S$ and thus we get $g(J) \cap (K_{\gamma+1} \setminus K_\gamma) = \emptyset$. We can thus find $h \in G(K_{\gamma+1})$ with $h \upharpoonright J = g$. This means that $g(\dot{f}) = h(\dot{f})$ and as $g(J)$ is K_γ -standard we note that $B(g(\dot{f})) \subseteq M_{\gamma+1}$ and $\bigcup_{\delta \in M_{\gamma+1}} J_\delta \subseteq K_{\gamma+1}$. By definition of $B(g(\dot{f}))$ we get

$$\Vdash_{\mathbb{P}} \exists \delta \in \check{M}_{\gamma+1} |g(\dot{f}) \cap \dot{g}_\delta| = \omega.$$

Next we use the fact that $h(\dot{f}) = g(\dot{f})$ and $h(\dot{g}_\delta) = \dot{g}_\delta$ as $J_\delta \subseteq K_{\gamma+1}$ to obtain

$$\Vdash_{\mathbb{P}} \exists \delta \in \check{M}_{\gamma+1} |h(\dot{f}) \cap h(\dot{g}_\delta)| = \omega.$$

Finally we get

$$\Vdash_{\mathbb{P}} \exists \delta \in \check{M}_{\gamma+1} |\dot{f} \cap \dot{g}_\delta| = \omega,$$

from the fact that h is an automorphism of \mathbb{P} . As $\dot{f} = \dot{g}_\alpha$ this is a contradiction. \square

Finally, this yields the main theorem of this section:

Theorem 6.12. *Let $C(\omega)$ be a potential spectrum. Then, assuming GCH, we can find a generic extension, which preserves cardinals, in which $C(\text{mcg}) = C(\omega)$.*

7 Open Questions

Finally, here are some (to my knowledge) open questions about cofinitary groups:

- Is it consistent with *ZFC* that $\mathfrak{a}_f \neq \mathfrak{a}_g$?
- Are there closed maximal cofinitary groups?
- Are all closed cofinitary groups of countable degree locally compact?
- How many non-isomorphic maximal cofinitary groups of a fixed cardinality are there?
- Which uncountable groups admit a cofinitary representation?

8 References

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