

A precipitous club guessing ideal on ω_1

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Convention

- 1 Lim denotes the class of all limit ordinals.
- 2 $\text{Cof}(\theta)$ denotes the class of all limit ordinals of cofinality θ .
- 3 For sets X and Y of ordinals, we say that X is almost contained in Y and denote $X \subseteq^* Y$ iff $X = \emptyset$ or there exists a $\zeta < \sup(X)$ such that $X \setminus \zeta \subseteq Y$.
- 4 Throughout this talk, we assume that κ is an uncountable regular cardinal and S a stationary subset of κ consisting of limit ordinals.

Definition of club guessing sequences

Definition

We say that a sequence $\langle C_\delta : \delta \in S \rangle$ is a **tail club guessing (TCG) sequence on S** iff

- 1 for each $\delta \in S$, C_δ is an unbounded subset of δ , and
- 2 for every club subset D of κ , there exists a $\delta \in S$ such that $C_\delta \subseteq^* D$.

If \vec{C} satisfies the same condition with $C_\delta \subseteq^* D$ replaced by $C_\delta \subseteq D$, we say that \vec{C} is a **fully club guessing (FCG) sequence on S** . When $S = \kappa \cap \text{Lim}$, we simply say that \vec{C} is a TCG(FCG)-sequence on κ .

Relation between FCG and TCG-sequences

Trivially, every FCG-sequence is a TCG-sequence.

The converse fails. If $\langle C_\delta : \delta \in S \rangle$ is an FCG-sequence, then $\langle C_\delta \cup \{0\} : \delta \in S \rangle$ is a TCG-sequence which is not FCG-sequence. However, every TCG-sequence can be made FCG very easily.

Relation between fully and TCG-sequences (Cont.)

Theorem (T. Ishiu)

Let $\langle C_\delta : \delta \in S \rangle$ be a TCG-sequence on S . Then, there exists a $\zeta < \kappa$ such that $\langle C_\delta \setminus \zeta : \delta \in S \setminus (\zeta + 1) \rangle$ is an FCG-sequence on $S \setminus (\zeta + 1)$.

So, for every stationary subset S , there is an FCG-sequence on S iff there is a TCG-sequence on S .

Order type of a TCG-sequence

Definition

An ordinal ε is **indecomposable** iff for every ordinal $\alpha, \beta < \varepsilon$, $\alpha + \beta < \varepsilon$.

Definition

We say that a club guessing sequence $\langle C_\delta : \delta \in S \rangle$ **has order type ε** iff for club many $\delta \in S$, $\text{otp}(C_\delta) = \varepsilon$.

Remark

Not every club guessing sequence has an order type.

Order type of a TCG-sequence (Cont.)

Fact

Let $\vec{C} = \langle C_\delta : \delta \in S \rangle$ be a TCG-sequence on S such that $\text{otp}(C_\delta) < \delta$ for club many $\delta \in S$. Then, there exist a TCG-sequence $\vec{C}' = \langle C'_\delta : \delta \in S' \rangle$ of some indecomposable order type ε on $S' \subseteq S$ such that C'_δ is a tail of C_δ . So, $\vec{C} \upharpoonright S'$ and \vec{C}' are essentially the same as TCG-sequences.

Existence of a club guessing sequence

Trivially, \clubsuit_κ implies the existence of an FCG-sequence on κ .

→ For every uncountable regular cardinal κ , it is consistent that there is an FCG-sequence on κ . However, S. Shelah proved the following surprising theorem.

Theorem (S. Shelah)

Let θ and κ be regular cardinals with $\theta^+ < \kappa$. Let S be a stationary subset of κ with $S \subseteq \text{Cof}(\theta)$. Then, there is an FCG-sequence on S .

In particular, if $\kappa \geq \aleph_2$, then there exists an FCG-sequence on κ .

Strong club guessing sequences

Definition

We say that a sequence $\langle C_\delta : \delta \in S \rangle$ is a **strong club guessing sequence on S** iff

- 1 for each $\delta \in S$, C_δ is an unbounded subset of δ , and
- 2 for every club subset D of κ , there exists a club subset E of κ such that for every $\delta \in E \cap S$, $C_\delta \subseteq^* D$.

Remark

Its existence is consistent. For example, $V = L$ implies that κ carries a strong club guessing sequence iff κ is not ineffable.

It can be also added by forcing.

Definition of club guessing ideals

Definition

Let $\vec{C} = \langle C_\delta : \delta \in S \rangle$ be a TCG-sequence. We define the **tail club guessing (TCG) filter** $\text{TCG}(\vec{C})$ associated with \vec{C} as the filter on κ generated by the sets of the form $\{\delta \in S : C_\delta \subseteq^* D\}$ for club subset D of κ . The tail club guessing (TCG) ideal means the dual ideal $\text{T}\check{\text{C}}\text{G}(\vec{C})$ of the TCG-filter.

This is a typical ‘natural ideal’, which is definable possibly from simple parameters. Every TCG-ideal is κ -complete and normal.

Definition of precipitous ideals

Definition

Let I be an ideal on κ . We define an equivalence class \sim_I on $\mathcal{P}(\kappa)$ by $X \sim_I Y$ iff $(X \setminus Y) \cup (Y \setminus X) \in I$.

Let $\mathcal{P}(\kappa)/I = \{[X]_I : X \subseteq \kappa \text{ and } X \notin I\}$, which is ordered by $[X]_I \leq [Y]_I$ iff $X \setminus Y \in I$.

Definition

An ideal I on κ is **precipitous** iff for every generic filter $G \subseteq \mathcal{P}(\kappa)/I$, the ultrapower $(V^\kappa \cap V)/G$ is well-founded.

Consistency of precipitous ideals on a small cardinal

Theorem (T. Jech, M. Magidor, W. Mitchell, and K. Prikry)

The following are equiconsistent.

- 1 *There is a measurable cardinal.*
- 2 *There is a precipitous ideal on ω_1 .*
- 3 *NS_{ω_1} is precipitous.*

Saturatedness

Definition

An ideal I on κ is **saturated** iff $\mathcal{P}(\kappa)/I$ is κ^+ -cc.

Fact

(R. Solovay) Every saturated ideal on κ is precipitous. In fact, saturated ideals behave nicely as precipitous ideals.

Motivation

There are (at least) two reasons to consider the precipitousness of natural ideals.

- 1 We can often apply special arguments to prove the precipitousness (e.g. the ones of M. Foreman, M. Magidor, and S. Shelah).
- 2 The definition of the ideal often gives us some information about the generic elementary embedding (Details on the next slide).

Generic elementary embedding from a TCG-ideal

Definition

Let W be some model and κ an uncountable regular cardinal in W . We say that a club subset C of κ is a **fast club over W** iff for every club subset $D \in W$ of κ , $C \subseteq^* D$.

Let \vec{C} be a TCG-sequence on κ such that $\text{T}\check{\text{C}}\text{G}(\vec{C})$ is precipitous. Let $j: V \rightarrow M$ be a generic elementary embedding built from $\text{T}\check{\text{C}}\text{G}(\vec{C})$. Then there is a fast club subset $C \in M$ of κ over V . Moreover, if \vec{C} has order type ε , then we can have $\text{otp}(C) = \varepsilon$.

Generic elementary embedding from $NS_{\kappa} \upharpoonright S$

Suppose that $NS_{\kappa} \upharpoonright S$ is precipitous and there is no strong club guessing sequence on S . Let $j : V \rightarrow M$ be a generic elementary embedding built from $NS_{\kappa} \upharpoonright S$.

Then there is no fast club subset $C \in M$ of κ over V .

Thus, there is a clearly distinguishable difference between these two generic elementary embeddings.

Precipitous TCG-ideals that are equal to $\text{NS}_{\kappa} \upharpoonright S$

Theorem (H. Woodin)

It is consistent relative to $\text{ZF} + \text{AD}$ that there exists a strong club guessing sequence \vec{C} on ω_1 such that $\text{T}\check{\text{C}}\text{G}(\vec{C})$ is saturated.

Theorem (P. Komjáth and M. Foreman)

It is consistent relative to a huge cardinal above an uncountable regular cardinal κ that there exists a strong club guessing sequence \vec{C} on a stationary subset S of κ such that $\text{T}\check{\text{C}}\text{G}(\vec{C})$ is saturated.

Precipitous TCG-ideals not of the form $NS_{\kappa} \upharpoonright S$

Both theorems in the previous slide produce precipitous tail club guessing ideals, but they are of the form $NS_{\kappa} \upharpoonright S$.

Is it necessary? \longrightarrow NO!

Theorem (T. Ishiu)

It is consistent relative to a Woodin cardinal above an uncountable regular cardinal κ that every TCG-ideal on κ is precipitous and there is no strong club guessing sequence on κ .

The witnessing model is obtained by Levy-collapse of the Woodin cardinal to κ^+ . So, there are many different TCG-sequences on κ .

Questions

Question

What is the consistency strength of the existence of a precipitous TCG-ideal?

Question

For an uncountable regular cardinal κ , if NS_κ is precipitous, then is there a precipitous TCG-ideal on κ ? Vice versa?

I will present the solutions to these questions on the rest of my talk.

Strategy of Jech, Magidor, Mitchell, and Prikry

Recall how they built a model from a measurable cardinal in which NS_{ω_1} is precipitous.

- 1 Let κ be a measurable cardinal.
- 2 Levy-collapse κ to ω_1 . Then, there is a precipitous ideal on ω_1 .
- 3 Keep shooting clubs by countable conditions so that the ideal remains precipitous but it becomes NS_{ω_1} .

Remark

The fact that this indeed works is far from trivial.

Shooting a TCG-measure one set

Definition

Let $\vec{C} = \langle C_\delta : \delta \in S \rangle$ be a TCG-sequence. For a TCG(\vec{C})-positive subset X of κ , **the poset $R(\vec{C}, X)$ to shoot a TCG(\vec{C})-measure one set through X** is defined as the set of all closed bounded subsets p of ω_1 such that for every $\delta \in p \cap (S \setminus X)$, $C_\delta \not\subseteq^* p$. Ordered by end-extension.

$R(\vec{C}, X)$ is proper and forces that \vec{C} remains a TCG-sequence on S and $X \in \text{TCG}(\vec{C})$.

The forcing

Let $P = \text{Coll}(\omega, < \kappa)$. In V^P , there is a precipitous ideal I on $\omega_1^{V^P} = \kappa$.

In V^P , let Q be the countable support iteration that

- ① generically adds a TCG-sequence \vec{C} at the zero-th stage, and
- ② shoots TCG(\vec{C})-measure one sets through all elements of \check{I} at the remaining stages. (In fact, I changes as we extend the model, but it remains precipitous).

Then, in V^{P*Q} , I is an \aleph_1 -complete normal precipitous ideal and $I \subseteq \text{TCG}(\vec{C})$.

$$I = \text{T}\check{\text{C}}\text{G}(\vec{C})$$

NS_{ω_1} is the least \aleph_1 -complete normal ideal on ω_1 , so the precipitous ideal in the model of JMMP is equal to NS_{ω_1} .

But this argument does not work for $\text{T}\check{\text{C}}\text{G}(\vec{C})$. Then does $I = \text{T}\check{\text{C}}\text{G}(\vec{C})$ really hold?

Well, the forcing is smart enough to guarantee it (though it took me long time to realize the fact).

A precipitous TCG-ideal from a measurable cardinal

So, we obtained the following result.

Theorem (T. Ishiu)

It is consistent relative to a measurable cardinal that there is a precipitous TCG-ideal on ω_1 that is not a restriction of NS_{ω_1} .

It solves our first question: the existence of a precipitous TCG-ideal (on ω_1) is equiconsistent to that of a measurable cardinal.

Toward the second question

Now, what about the second question? Particularly,

Question

Is NS_{ω_1} precipitous in the obtained model?

In fact, NS_{ω_1} is nowhere precipitous if we begin with the model of the form $L[U]$ where U is a measure on κ .

Some facts about $L[U]$

Recall some facts about the model $L[U]$.

- 1 If κ is a measurable cardinal, then there is a unique U such that $U \in L[U]$ and $L[U] \models U$ is a κ -complete normal filter on κ '.
- 2 Let I be a normal precipitous ideal on κ . Then, $\check{I} \cap L[U] = U$.

NS_{ω_1} is nowhere precipitous

Suppose $V = L[U]$ is the ground model. Let $P = \text{Coll}(\omega, < \kappa)$ and Q the iteration we defined. Let $G * H \subseteq P * \dot{Q}$ be generic.

Suppose that in $V[G][H] = L[U][G][H]$, $NS_{\omega_1} \upharpoonright S$ is precipitous for some stationary subset S of ω_1 .

Let $j' : L[U][G][H] \rightarrow L[\hat{U}'][\hat{G}'][\hat{H}']$ be the generic elementary embedding obtained from $NS_{\omega_1} \upharpoonright S$ where

- $L[\hat{U}'] \models \hat{U}'$ is a unique $j'(\kappa)$ -complete normal filter on $j'(\kappa)$,
- \hat{G}' is a $j'(P)$ -generic filter over $L[\hat{U}']$, and
- \hat{H}' is a $j'(Q)$ -generic filter over $L[\hat{U}'][\hat{G}']$.

The codomain must have this form.

NS_{ω_1} is nowhere precipitous (Cont.)

It is easy to see that in $L[U][G][H]$, there is no strong club guessing sequence on any stationary subset S of ω_1 .

So, there is no fast club subset $C' \in L[\hat{U}][\hat{G}][\hat{H}]$ of $\omega_1^{L[U][G][H]}$ over $L[U][G][H]$.

NS_{ω_1} is nowhere precipitous (Cont.)

We can build a generic elementary embedding

$j : L[U][G][H] \rightarrow L[\hat{U}][\hat{G}][\hat{H}]$ from $T\check{C}G(\vec{C})$ so that $\hat{U} = \hat{U}'$ and $\hat{G} = \hat{G}'$.
 $\hat{U} = \hat{U}'$ is automatic by the uniqueness.

$j(P)/G$ is regularly embedded into both $\mathcal{P}(\omega_1)/NS_{\omega_1} \upharpoonright S$ and $\mathcal{P}(\omega_1)/T\check{C}G(\vec{C})$. So, (if we are careful enough), we can pick a common filter for that part.

NS $_{\omega_1}$ is nowhere precipitous (Cont.)

Since $j : L[U][G][H] \rightarrow L[\hat{U}][\hat{G}][\hat{H}]$ is built from $\text{T}\check{\text{C}}\text{G}(\vec{C})$, there is a fast club subset $C \in L[\hat{U}][\hat{G}][\hat{H}]$ of $\omega_1^{L[U][G][H]}$ over $L[U][G][H]$.

But we can show that in $L[U][G]$, Q adds no new countable sequence of ordinals. Hence, $j(Q)$ adds no new countable sequence of ordinals in $L[\hat{U}][\hat{G}]$. Thus, $C \in L[\hat{U}][\hat{G}]$.

However, there shouldn't be such a thing in $L[\hat{U}'][\hat{G}'][\hat{H}'] = L[\hat{U}][\hat{G}][\hat{H}']$

Contradiction!

TCG-sequences with (essentially) different order types

With a little modification, for every indecomposable ordinal $\varepsilon < \kappa$, we can arrange that \vec{C} has order type ε .

Let $G * H \subseteq P * \dot{Q}$ be generic. By the same argument as we presented, we can show that in $V[G][H] = L[U][G][H]$, if ε' is an indecomposable ordinal $\neq \varepsilon$ and \vec{C}' is a TCG-sequence on ω_1 of order type ε' , then $\text{T}\check{\text{C}}\text{G}(\vec{C}')$ is not precipitous.

This is not vacuous because in $L[U][G][H]$, for every indecomposable ordinal $\varepsilon' < \omega_1$, there is a TCG-sequence \vec{C}' on ω_1 of order type ε' .

As a result...

Theorem (T. Ishiu)

Let κ be a measurable cardinal, and $\varepsilon < \kappa$ an indecomposable ordinal. Let $P = \text{Coll}(\omega, < \kappa)$ and $G \subseteq P$ be generic. Then, in $V[G]$, there exists a forcing notion Q that forces

- 1 there exists a TCG-sequence \vec{C} on ω_1 of order type ε such that $\text{T}\check{\text{C}}\text{G}(\vec{C})$ is precipitous,
- 2 NS_{ω_1} is nowhere precipitous, and
- 3 for every indecomposable ordinal $\varepsilon' \neq \varepsilon$, for every TCG-sequence \vec{C}' on ω_1 of order type ε' , $\text{T}\check{\text{C}}\text{G}(\vec{C}')$ is not precipitous.

What happens in the model of JMMP?

By applying the same argument to the model of JMMP, we can show that

- NS_{ω_1} is precipitous, and
- for every TCG-sequence \vec{C} , $T\check{C}G(\vec{C})$ is not precipitous.

So, we answered the presented questions!

Conclusion

- 1 $\text{Con}(\exists \text{measurable}) \Leftrightarrow \text{Con}(\exists \text{precipitous TCG-ideal on } \omega_1)$.
- 2 NS_{ω_1} is precipitous $\not\Rightarrow \exists$ precipitous TCG-ideal on ω_1 .
- 3 \exists precipitous TCG-ideal on $\omega_1 \not\Rightarrow NS_{\omega_1}$ is precipitous.
- 4 \exists precipitous TCG-ideal on $\omega_1 \not\Rightarrow$ every TCG-ideal on ω_1 is precipitous.

Open questions

Question

Can we distinguish TCG-ideals of the same order type?

Question

What about regular cardinals $\geq \aleph_2$?

Question

What about other natural ideals?

Question

What is the consistency strength of the existence of two 'essentially' different natural precipitous ideals on the same cardinal?