

The lifting problem for $\text{Aut}(X, \mu)$

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Vienna, June 25, 2009

Measure preserving transformations

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Warning: We usually write T for $[T]$ if there is no danger of confusion.

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$\text{Aut}(X, \mu)$ is a Polish group in the topology induced by the sub-neighbourhood basis

$$N(T_0, \varepsilon, A) = \{T \in \text{Aut}(X, \mu) : \mu(T(A) \Delta T_0(A)) < \varepsilon\}$$

where $A \subseteq X$ is Borel, $\varepsilon > 0$ and $T_0 \in \text{Aut}(X, \mu)$.

Near actions vs. spatial actions, I

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(2) A *spatial model* for a near action h is an (actual, pointwise) action $\sigma : G \times X \rightarrow X$ such that for each $g \in G$

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Nb. If G is a topological group, then in (1) it is natural to require that h be continuous or Borel (i.e. *continuous near-action*, *Borel near-action*). Likewise in (2), we could require σ to be continuous or Borel (i.e. *continuous spatial model*, *Borel spatial model*).

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Very recently Kwiatkowska and Solecki (2009) have generalized this to a new and much larger class of groups.

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Theorem 1. (T., 2009) *If CH holds, then the near-action of $\text{Aut}(X, \mu)$ on (X, μ) has a spatial model.*

Thus under CH every near-action has a spatial model.

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i.e, h splits the identity $\text{Id} : \text{MALG}(X, \mu) \rightarrow \text{MALG}(X, \mu)$:

$$\text{Id} = \kappa \circ h,$$

where $\kappa : \mathcal{B}(X) \rightarrow \text{MALG}(X, \mu)$ is the canonical homomorphism with $\ker(\kappa) = \mathcal{I}_{mz}$ = the ideal of measure zero sets.

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Theorem (Shelah, circa 1980) *There is a model of ZFC in which $\text{Id} : \text{MALG}(X, \mu) \rightarrow \text{MALG}(X, \mu)$ does not split.*

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(2) h splits the identity $\text{Id} : \text{Aut}(X, \mu) \rightarrow \text{Aut}(X, \mu)$ as follows:

$$\text{Id} = \kappa \circ h,$$

where $\kappa : G(X, \mu) \rightarrow \text{Aut}(X, \mu)$ is the canonical homomorphism with $\ker(\kappa) = I(X, \mu)$.

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$$H_\alpha = \langle T_\beta : \beta < \alpha \rangle,$$

(the group generated by the T_β , $\beta < \alpha < \omega_1$; for convenience, $H_0 = \{\text{Id}_X\}$.)

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At first one might try to arbitrarily choose some $g_0 \in T_0$, and let $h_1(T_0) = g_0$. But if we then choose $g_1 \in T_1$ arbitrarily and let $h_2(T_1) = g_1$, then h_2 will most likely only induce an action of H_2 *almost everywhere*, but fail to induce a H_2 action *everywhere*.

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The idea is to make sure that we have chosen the g_β , $\beta < \alpha$, in such a way that for a given choice of $g \in T_\alpha$, there is some reasonably easy way to adjust g on a null-set so that it becomes fully compatible with $h_\alpha : H_\alpha \rightarrow G(X, \mu)$, thus allowing the induction to proceed.

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- (i) For some $n \in \mathbb{N}$, the initial segment $\{m : m <^* n\}$ is isomorphic to α ;
- (ii) There is a monomorphism $\varphi : H_\alpha \rightarrow G$ such that $\text{rank}_{<^*}(\varphi(T_\beta)) = \beta$.

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Thus for $(\langle^*, G) \in \mathcal{S}_\alpha$, we may identify H_α with a subgroup of G in a canonical way.

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That is: For every $(\langle^*, G) \in \mathcal{S}_\alpha$, we identify H_α with a subgroup of G , and let H_α act on $(2^{\mathbb{N}})^{\mathbb{N}}$ by a left-shift (where we think of $(2^{\mathbb{N}})^{\mathbb{N}}$ as $(2^{\mathbb{N}})^G$.)

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Lemma

If $\alpha < \omega_1$ is a limit ordinal, it holds for the master action σ_α that

$$\sigma_\alpha = \bigcup_{\beta < \alpha} \sigma_\beta \upharpoonright \mathcal{M}_\alpha$$

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Universality Property. (Folklore.) *If $\Lambda \leq \Gamma$ are countable groups and $\tau : \Lambda \curvearrowright X$ is a Borel action of Λ on a standard Borel space, then there is a shift invariant Borel set $B \subseteq (2^{\mathbb{N}})^{\Gamma}$ and a Borel bijection $\psi : X \rightarrow B$ such that*

$$(\forall g \in \Lambda)\psi(\sigma(g)(x)) = \beta(g)(\psi(x)),$$

where β denotes the shift-action $\beta : \Gamma \curvearrowright (2^{\mathbb{N}})^{\Gamma}$.

One step extensions, I

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If, however, $X = X_0 \sqcup X_1 \sqcup X_2 \sqcup \cdots$ and $\sigma|_{X_i} \simeq \beta : \Lambda \curvearrowright (2^{\mathbb{N}})^{\Gamma}$ for all $i > 0$, then we may:

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- (i) map X_0 into X_i using some ψ_i that conjugates the action
- (ii) extend the Λ -action to Γ on $X_0 \sqcup X_1 \setminus \psi_1(X_0)$
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More precisely:

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One step extension Lemma. *Let $\Lambda < \Gamma$ be countable groups such that there is an element $\gamma \in \Gamma \setminus \Lambda$ such that $\Gamma = \langle \Lambda \cup \{\gamma\} \rangle$, and suppose there are countable groups Γ_i , $i \in \mathbb{N}$ such that $\Gamma \leq \Gamma_i$ for all i .*

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$$X = X_0 \sqcup \bigsqcup_{i \in \mathbb{N}} (2^{\mathbb{N}})^{\Gamma_i},$$

that is, X is the disjoint union of X_0 and $(2^{\mathbb{N}})^{\Gamma_i}$, ($i \in \mathbb{N}$), X_0 is Borel, and $(2^{\mathbb{N}})^{\Gamma_i}$ carries its usual Borel structure for all $i \in \mathbb{N}$.

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is the shift action. Then there is a Borel action $\hat{\rho} : \Gamma \curvearrowright X$ such that $\hat{\rho} \upharpoonright \Lambda \times X = \rho$.

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If this can be done then we get a lifting $h : \text{Aut}(X, \mu) \rightarrow G(X, \mu)$ by letting

$$h = \bigcup_{\alpha < \omega_1} h_\alpha.$$

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If α is a limit ordinal then $h_\alpha = \bigcup_{\beta < \alpha} h_\beta$ may easily be seen to work, using the fact that

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In this case, we let

$$Y_\alpha = \bigcap_{\beta < \alpha} Y_\beta$$

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First find some $Z \subseteq X_0$ of full measure and $\theta \in T_\beta$ such that Z is $h_\beta(H_\beta) \cup \{\theta\}$ -invariant, and $h_\beta \upharpoonright Z, \theta \upharpoonright Z$ implements an action of H_α .

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Pick a countable sequence $(y_i \in Y_\beta : i \in \mathbb{N})$ of distinct elements in Y_β . Also pick a sequence $(\langle \cdot \rangle_i^*, G_i) \in \mathcal{S}_\alpha, i \in \mathbb{N}$, distinct.

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Then on W the action induced by h_β has the form required in the one step extension Lemma to be extended to a H_α -action. Finally, we let h_α act like the master-action along each section on $Y_\alpha \times \mathcal{M}_\alpha$. □

A burning question, I

Recall that Shelah showed that in the case of the measure algebra that there is a model of ZFC in which there is *no* lifting $h : \text{MALG}(X, \mu) \rightarrow \mathcal{B}(X)$.

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Glasner-Tsirelson-Weiss' result shows that in the case of $\text{Aut}(X, \mu)$, there is no *uniformly Borel* lifting. So it is natural to ask:

Question 1. *Is there a model of ZFC in which there is **no** lifting of $h : \text{Aut}(X, \mu) \rightarrow G(X, \mu)$?*

A burning question, II

One can go a step further. Glasner, Tsirelson and Weiss showed that a so-called *Lévy groups* cannot act pointwise in a (uniformly) Borel way and induce a non-trivial measure preserving action.

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Question 2. *Is it consistent with ZFC that **no** Lévy group admits a non-trivial spatial measure preserving action (by Borel automorphisms, non-uniformly)?*

Another question

While Question 1 is undoubtedly the most important, it should be noted that the construction of the lifting in the proof of Theorem 1 gives us Borel automorphisms of arbitrarily high rank in the Borel hierarchy.

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Question 3. *Is it consistent with ZFC that there is some fixed $\gamma < \omega_1$ and a lifting $h : \text{Aut}(X, \mu) \rightarrow G(X, \mu)$ such that $h(T) \in \mathbf{\Pi}_\gamma^0$ for all $T \in \text{Aut}(X, \mu)$? Or must any lifting have unbounded range in the Borel hierarchy?*

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In any case, what can be proved from CH?

Thank you!