

CCC without random reals

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June 18, 2009

A topic and goal of this talk

Notation. For a forcing notion \mathbb{P} , let $a(\mathbb{P})$ be the forcing notion consists of finite antichains in \mathbb{P} ,

$$\sigma \leq_{a(\mathbb{P})} \tau : \iff \sigma \supseteq \tau.$$

Theorem (Zapletal). Let T be an Aronszajn tree, N a countable elementary submodel N of $H(\theta)$ which has the set $\{T\}$, $\sigma \in a(T) \cap N$, and $f \in \omega^\omega$. If f is not captured by any slalom in N , then there exists $\tau \leq_{a(T)} \sigma$ which is $(N, a(T))$ -generic such that

$$\tau \Vdash_{a(T)} \text{“ } f \text{ is not captured by any slalom in } N \text{”}.$$

That is, $a(T)$ keeps $\text{add}(\mathcal{N})$ small (by the countable support iterations).

We argue that $a(T)$ doesn't add random reals, so it keeps $\text{cov}(\mathcal{N})$ small.

Known examples of ccc forcing notions not adding random reals

There are many kinds of non-ccc forcing notions not adding random reals. But it seems that we don't know ccc forcing notions not adding random reals so much.

The following forcing notions are such examples.

- σ -centered forcing notions
- Suslin algebras (ccc complete Boolean algebras not adding new reals)
- ccc forcing notions with the Sacks property
- ? Talagrand's counterexample of the Control Measure Problem ?

Note that for a Suslin tree T , $a(T)$ doesn't have the property K , and we will see an example of the form $a(\mathbb{P})$ which doesn't add random reals and not ω^ω -bounding.

Properties of Aronszajn trees

Proposition. *For an ω_1 -tree T , T is Aronszajn iff*

$$\forall I \in [T]^{\aleph_1}$$

$\exists s_0, s_1 \in T$ such that $s_0 \perp_T s_1$ and

both $\{u \in I; s_0 \leq_T u\}$ and $\{u \in I; s_1 \leq_T u\}$ are uncountable.

Proof. If T is not Aronszajn, i.e. there exists an uncountable branch I through T , then for any s_0 and s_1 in T with $s_0 \perp_T s_1$, at least one of the sets $\{u \in I; s_0 \leq_T u\}$ and $\{u \in I; s_1 \leq_T u\}$ have to be countable.

If there exists an uncountable branch I through T such that for any s_0 and s_1 in T with $s_0 \perp_T s_1$, at least one of the sets $\{u \in I; s_0 \leq_T u\}$ and $\{u \in I; s_1 \leq_T u\}$ is countable, then the set

$$\{t \in T; \{u \in I; t \leq_T u\} \text{ is uncountable}\}$$

forms an uncountable branch thorough T , so T is not Aronszajn. □

Properties of Aronszajn trees

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both $\{u \in I; s_0 \leq_T u\}$ and $\{u \in I; s_1 \leq_T u\}$ are uncountable.

Corollary. *For an Aronszajn tree T ,*

$$\forall I \in [T]^{\aleph_1} \forall J \in [T]^{\aleph_1}$$

$\exists I' \in [I]^{\aleph_1} \exists J' \in [J]^{\aleph_1}$ such that $\forall p \in I', \forall q \in J', p \perp_T q$.

Proof. For I and J in $[T]^{\aleph_1}$, there are s_0, s_1, t_0 and t_1 in T such that $s_0 \perp_T s_1, t_0 \perp_T t_1$ and for each $i \in \{0, 1\}$, both $\{u \in I; s_i \leq_T u\}$ and $\{u \in J; t_i \leq_T u\}$ are uncountable.

Then there are $i \in \{0, 1\}$ and $j \in \{0, 1\}$ such that $s_i \perp_T t_j$, and then let

$$I' := \{u \in I; s_i \leq_T u\} \text{ and } J' := \{u \in J; t_j \leq_T u\}.$$



Properties of Aronszajn trees

Definition (Y.). A forcing notion \mathbb{P} has *the anti-rectangle refining property (arec)* if \mathbb{P} is uncountable and

$$\begin{aligned} &\forall I \in [\mathbb{P}]^{\aleph_1} \forall J \in [\mathbb{P}]^{\aleph_1} \\ &\exists I' \in [I]^{\aleph_1} \exists J' \in [J]^{\aleph_1} \text{ such that } \forall p \in I', \forall q \in J', p \perp_{\mathbb{P}} q. \end{aligned}$$

Proposition. If \mathbb{P} has the arec, then

$$\begin{aligned} &\forall I \in [a(\mathbb{P})]^{\aleph_1} \forall J \in [a(\mathbb{P})]^{\aleph_1}, \text{ if } I \cup J \text{ forms a } \Delta\text{-system,} \\ &\text{then } \exists I' \in [I]^{\aleph_1} \exists J' \in [J]^{\aleph_1} \text{ such that } \forall \sigma \in I' \forall \tau \in J', \sigma \not\perp_{a(\mathbb{P})} \tau. \end{aligned}$$

Proof. Let I and J in $[a(\mathbb{P})]^{\aleph_1}$ be such that $I \cup J$ forms a Δ -system with root ν . By shrinking I and J if necessary, we may assume that there are $m, n \in \omega$ such that for every $\sigma \in I$ and $\tau \in J$, $|\sigma \setminus \nu| = m$ and $|\tau \setminus \nu| = n$.

Using the arec $m \cdot n$ many times, we can find $I' \in [I]^{\aleph_1}$ and $J' \in [J]^{\aleph_1}$ such that for every $\sigma \in I'$, $\tau \in J'$, $i \in m$ and $j \in n$,

$$(i\text{-th member of } \sigma \setminus \nu) \perp_{\mathbb{P}} (j\text{-th member of } \tau \setminus \nu).$$



Properties of Aronszajn trees

Proposition. For an ω_1 -tree T , T is Aronszajn iff

$$\forall I \in [T]^{\aleph_1}$$

$\exists s_0, s_1 \in T$ such that $s_0 \perp_T s_1$ and

both $\{u \in I; s_0 \leq_T u\}$ and $\{u \in I; s_1 \leq_T u\}$ are uncountable.

Corollary. For an Aronszajn tree T ,

\forall countable $N \prec H(\aleph_2)$ with $T \in N \quad \forall I \in [T]^{\aleph_1} \cap N \quad \forall p \in T \setminus N$

$\exists I' \in [I]^{\aleph_1} \cap N$ such that $\forall q \in I', p \perp_T q$.

Definition (Y.). A forcing notion \mathbb{P} has *the anti- R_{1,\aleph_1}* (the anti-R) if \mathbb{P} is uncountable and

\forall countable $N \prec H(\aleph_2)$ with $\mathbb{P} \in N \quad \forall p \in \mathbb{P} \setminus N \quad \forall I \in [\mathbb{P}]^{\aleph_1} \cap N$

$\exists I' \in [I]^{\aleph_1} \cap N$ such that $\forall q \in I', p \perp_{\mathbb{P}} q$.

Proposition. If \mathbb{P} has the anti-R, then

\forall countable $N \prec H(\aleph_2)$ with $\mathbb{P} \in N \quad \forall \sigma \in a(\mathbb{P}) \setminus N$

$\forall I \in [a(\mathbb{P})]^{\aleph_1} \cap N$ which forms a Δ -system with root $\sigma \cap N$

$\exists I' \in [I]^{\aleph_1} \cap N$ such that $\forall \tau \in I', \sigma \not\perp_{a(\mathbb{P})} \tau$.

Motivations of two properties

Definition (Larson–Todorčević). A partition $K_0 \cup K_1$ on $[\omega_1]^2$ has the *rectangle refining property* if

$$\begin{aligned} &\forall I \in [\omega_1]^{\aleph_1} \forall J \in [\omega_1]^{\aleph_1} \\ &\exists I' \in [I]^{\aleph_1} \exists J' \in [J]^{\aleph_1} \text{ such that } \forall \alpha \in I' \forall \beta \in J' \text{ if } \alpha < \beta, \\ &\hspace{20em} \text{then } \{\alpha, \beta\} \in K_0. \end{aligned}$$

Theorem (Y.). *TFAE:*

- Every partition $K_0 \cup K_1$ on $[\omega_1]^2$ with the rectangle refining property has an uncountable K_0 -homogeneous subset of ω_1 .
- For every forcing notion \mathbb{P} with the *arec*, $a(\mathbb{P})$ has the property K .

This partially answers a question of Todorčević's fragments of MA_{\aleph_1} :

If every ccc partition on $[\omega_1]^2$ has an uncountable homogeneous sets, then every ccc forcing notion has the property K ?

Motivations of two properties

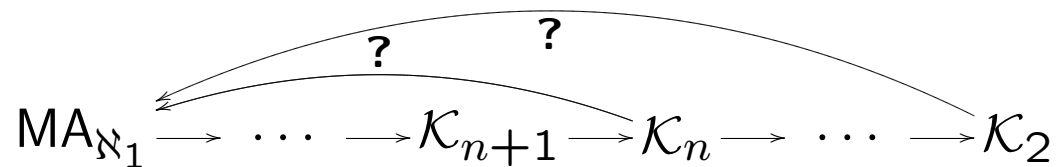
Theorem (Y.). *It is consistent that there exists a non-special Aronszajn tree and for every \mathbb{P} with the anti-R, $a(\mathbb{P})$ has precaliber \aleph_1 , i.e.*

$$\forall I \in [a(\mathbb{P})]^{\aleph_1}$$

$\exists I' \in [I]^{\aleph_1}$ with the finite compatibility property, i.e.

any finite subsets of I' has a common extension.

This partially answers a question of Todorčević's fragments of MA_{\aleph_1} :



where a forcing notion \mathbb{Q} has the property K_n if

$$\forall I \in [\mathbb{Q}]^{\aleph_1}$$

$\exists I' \in [I]^{\aleph_1}$ n -linked i.e.

any subset of I' of size n has a common extension in \mathbb{Q} ,

and \mathcal{K}_n says that every ccc forcing notion has the property K_n .

Motivations of two properties

Theorem (Y.). *It is consistent that there exists a non-special Aronszajn tree and for every \mathbb{P} with the anti-R, $a(\mathbb{P})$ has precaliber \aleph_1 , i.e.*

$$\forall I \in [a(\mathbb{P})]^{\aleph_1}$$

$\exists I' \in [I]^{\aleph_1}$ with the finite compatibility property, i.e.

any finite subsets of I' has a common extension.

Theorem (Todorčević–Veličković). MA_{\aleph_1} is equivalent to the statement that every ccc forcing notion has precaliber \aleph_1 .

Examples: (ω_1, ω_1) -gaps

Definition. An (ω_1, ω_1) -pregap is a sequence $\langle a_\alpha, b_\alpha; \alpha \in \omega_1 \rangle$ of infinite sets of natural numbers such that

- $\forall \alpha < \beta, a_\alpha \subseteq^* a_\beta$ and $b_\alpha \subseteq^* b_\beta$, and both $a_\alpha \cap b_\beta$ and $a_\beta \cap b_\alpha$ are finite,
- for every $\alpha \in \omega_1, a_\alpha \cap b_\alpha = \emptyset$,
- it is closed under finite modifications, that is,

$\forall \alpha \in \omega_1 \forall \langle c, d \rangle$, if $c \setminus n = a_\alpha \setminus n$ and $d \setminus n = b_\alpha \setminus n$ for some $n \in \omega$,
then $\exists \beta$ such that $\langle c, d \rangle = \langle a_\beta, b_\beta \rangle$,

and an (ω_1, ω_1) -pregap is called a gap if there are no $c \subseteq \omega$ such that

$$\forall \alpha \in \omega_1, a_\alpha \subseteq^* c \text{ and } b_\alpha \cap c \text{ finite.}$$

Definition. For an (ω_1, ω_1) -pregap $(\mathcal{A}, \mathcal{B}) = \langle a_\alpha, b_\alpha; \alpha \in \omega_1 \rangle$, the forcing notion $\mathcal{S}(\mathcal{S}, \mathcal{B}) := (\omega_1, \leq_{\mathcal{S}(\mathcal{A}, \mathcal{B})})$ is defined such that

$$\alpha \leq_{\mathcal{S}(\mathcal{A}, \mathcal{B})} \beta : \iff a_\beta \subseteq a_\alpha \text{ and } b_\beta \subseteq b_\alpha.$$

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$$\alpha \leq_{\mathcal{S}(\mathcal{A}, \mathcal{B})} \beta : \iff a_\beta \subseteq a_\alpha \text{ and } b_\beta \subseteq b_\alpha.$$

Proposition (Y.). For an (ω_1, ω_1) -pregap $(\mathcal{A}, \mathcal{B})$, $(\mathcal{A}, \mathcal{B})$ is a gap iff $\mathcal{S}(\mathcal{A}, \mathcal{B})$ has the arec iff $\mathcal{S}(\mathcal{A}, \mathcal{B})$ has the anti-R.

We note that $a(\mathcal{S}(\mathcal{A}, \mathcal{B}))$ is a forcing notion adds an uncountable subset I of ω_1 such that for every α and β in I with $\alpha \neq \beta$,

$$(a_\alpha \cap b_\beta) \cup (a_\beta \cap b_\alpha) \neq \emptyset,$$

i.e. $a(\mathcal{S}(\mathcal{A}, \mathcal{B}))$ forces $(\mathcal{A}, \mathcal{B})$ to be indestructible.

Example: Unbounded families

Theorem (Todorčević). For an $<^*$ -increasing sequence $F = \langle f_\alpha; \alpha \in \omega_1 \rangle$ of members of $\omega^{\uparrow\omega}$, if F is unbounded, then the following partition $K_0 \cup K_1$ on $[\omega_1]^2$ is ccc

$$\{\alpha, \beta\} \in K_0 : \iff \alpha < \beta \text{ and } \exists n \in \omega \text{ such that } f_\alpha(n) > f_\beta(n)$$

Therefore \mathcal{K}_2 (for partitions) implies $\mathfrak{b} > \aleph_1$.

Theorem (Y.). For an $<^*$ -increasing sequence $F = \langle f_\alpha; \alpha \in \omega_1 \rangle$ of members of $\omega^{\uparrow\omega}$, define the forcing notion (ordered by superset)

$$\mathbb{P}(F) := \left\{ \sigma \in [\omega_1]^{<\aleph_0}; \forall \alpha \in \sigma \forall n \in \omega \right. \\ \left. \max \{ f_\xi(n); \xi \in \sigma \cap \alpha \} < f_\alpha(n) \text{ or } f_\alpha(n) \in \{ f_\xi(n); \xi \in \sigma \cap \alpha \} \right\}.$$

Then F is unbounded, then $\mathbb{P}(F)$ has the arec and the anti-R and ccc.

Therefore, e.g. $\mathcal{K}_2(\text{rec})$ implies $\mathfrak{b} > \aleph_1$.

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Then F is unbounded, then $\mathbb{P}(F)$ has the arec and the anti-R and ccc.

Therefore, e.g. $\mathcal{K}_2(\text{rec})$ implies $\mathfrak{b} > \aleph_1$.

Question. What is any other example of forcing notions with the arec or the anti-R? And are the arec and the anti-R different?

Theorems

Theorem (Y.). Let \mathbb{P} be a forcing notion with the *arec* or the *anti-R*, N a countable elementary submodel N of $H(\theta)$ which has the set $\{\mathbb{P}\}$, $\sigma \in a(\mathbb{P}) \cap N$, and $f \in \omega^\omega$.

If f is not captured by any slalom in N , then there exists $\tau \leq_{a(\mathbb{P})} \sigma$ which is $(N, a(\mathbb{P}))$ -generic such that

$$\tau \Vdash_{a(\mathbb{P})} \text{“ } f \text{ is not captured by any slalom in } N \text{”}.$$

That is, $a(\mathbb{P})$ keeps $\text{add}(\mathcal{N})$ small (by the countable support iterations).

Theorem (Y.). Let \mathbb{P} be a forcing notion with the *arec* or the *anti-R*. Then $a(\mathbb{P})$ doesn't add random reals.

A proof that $a(\mathbb{P})$ adds no random reals

Let \mathbb{P} be a forcing notion $\langle \omega_1, \leq_{\mathbb{P}} \rangle$ with the a.r.c. or the anti-R, \dot{r} be an $a(\mathbb{P})$ -name for a real in 2^ω , and $\sigma \in a(\mathbb{P})$.

Let N be a countable elementary submodel of $H(\aleph_2)$ with $\{\mathbb{P}, \dot{r}, \sigma, \omega_1\} \in N$, and $\langle U_n; n \in \omega \rangle$ a sequence of open subsets of 2^ω such that for each $n \in \omega$, the Lebesgue measure of U_n is less than 2^{-n} and

$$2^\omega \cap N \subseteq \bigcap_{n \in \omega} \bigcup_{m \geq n} U_m.$$

We show that

$$\sigma \Vdash_{a(\mathbb{P})} \text{“ } \dot{r} \notin \bigcap_{n \in \omega} \bigcup_{m \geq n} U_m \text{”}.$$

A proof that $a(\mathbb{P})$ adds no random reals

$\mathbb{P} = \langle \omega_1, \leq_{\mathbb{P}} \rangle$, $\dot{r} : a(\mathbb{P})\text{-name}$, $\sigma \in a(\mathbb{P})$, $\{\mathbb{P}, \dot{r}, \sigma, \omega_1\} \in N$, $2^\omega \cap N \subseteq \bigcap_{n \in \omega} \bigcup_{m \geq n} U_m$.

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$\mathbb{P} = \langle \omega_1, \leq_{\mathbb{P}} \rangle$, \dot{r} : $a(\mathbb{P})$ -name, $\sigma \in a(\mathbb{P})$, $\{\mathbb{P}, \dot{r}, \sigma, \omega_1\} \in N$, $2^\omega \cap N \subseteq \bigcap_{n \in \omega} \bigcup_{m \geq n} U_m$.

Suppose that

$$\sigma \Vdash_{a(\mathbb{P})} \text{“ } \dot{r} \notin \bigcap_{n \in \omega} \bigcup_{m \geq n} U_m \text{ ”},$$

and take $\tau \leq_{a(\mathbb{P})} \sigma$ and $n \in \omega$ such that

$$\tau \Vdash_{a(\mathbb{P})} \text{“ } \forall m \geq n (\dot{r} \notin U_m) \text{ ”}.$$

Then, $n \in N$ and $\tau \cap N \in N$, and maybe $\tau \notin N$. So by strengthening τ if necessary, we may assume that $\tau \notin N$.

Let for each $k \in N$,

$$S_k := \left\{ s \in 2^k; \exists \alpha \in \omega_1 \text{ such that } \forall \mu \in a(\mathbb{P}) \text{ with } \mu \supseteq \tau \cap N, \right. \\ \left. \text{if } \mu \Vdash_{a(\mathbb{P})} \text{“ } \dot{r} \upharpoonright k \neq s \text{ ”, then } \min(\mu \setminus (\tau \cap N)) \leq \alpha \right\}.$$

Note that $\langle S_k; k \in \omega \rangle \in N$.

A proof that $a(\mathbb{P})$ adds no random reals

$\mathbb{P} = \langle \omega_1, \leq_{\mathbb{P}} \rangle$, $\dot{r} : a(\mathbb{P})$ -name, $\sigma \in a(\mathbb{P})$, $\{\mathbb{P}, \dot{r}, \sigma, \omega_1\} \in N$, $2^\omega \cap N \subseteq \bigcap_{n \in \omega} \bigcup_{m \geq n} U_m$.

$\tau \leq_{a(\mathbb{P})} \sigma$, $\tau \notin N$, $\tau \Vdash_{a(\mathbb{P})} \text{“} \forall m \geq n (\dot{r} \notin U_m) \text{”}$.

$S_k := \left\{ s \in 2^k; \exists \alpha \in \omega_1 \text{ such that } \forall \mu \in a(\mathbb{P}) \text{ with } \mu \supseteq \tau \cap N, \right.$
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$S_k := \left\{ s \in 2^k; \exists \alpha \in \omega_1 \text{ such that } \forall \mu \in a(\mathbb{P}) \text{ with } \mu \supseteq \tau \cap N, \right.$
 $\left. \text{if } \mu \Vdash_{a(\mathbb{P})} \text{“ } \dot{r} \upharpoonright k \neq s \text{”}, \text{ then } \min(\mu \setminus (\tau \cap N)) \leq \alpha \right\}$.

Claim. For every $k \in \omega$, S_k is not empty.

Proof of Claim. If S_k is empty, i.e.

$\forall s \in 2^k \forall \alpha \exists \mu \in a(\mathbb{P}) (\mu \supseteq \tau \cap N \ \& \ \mu \Vdash_{a(\mathbb{P})} \text{“ } \dot{r} \upharpoonright k \neq s \text{”} \ \& \ \min(\mu \setminus (\tau \cap N)) > \alpha)$,

then construct uncountable subsets $\langle I_s; s \in 2^k \rangle$ of $a(\mathbb{P})$ in N such that

- the set $\bigcup_{s \in 2^k} I_s$ forms a Δ -system with root $\tau \cap N$, and
- for any $s \in 2^k$ and $\mu \in I_s$, $\mu \Vdash_{a(\mathbb{P})} \text{“ } \dot{r} \upharpoonright k \neq s \text{”}$.

By the property of \mathbb{P} , we can find $\langle \mu_s; s \in 2^k \rangle \in \prod_{s \in 2^k} I_s$ such that $\bigcup_{s \in 2^k} \mu_s \in a(\mathbb{P})$. And then

$$\bigcup_{s \in 2^k} \mu_s \Vdash_{a(\mathbb{P})} \text{“ } \dot{r} \upharpoonright k \notin 2^k \text{”},$$

which is a contradiction. ⊥

Remember that

Proposition. *If \mathbb{P} has the arec, then*

*$\forall I \in [a(\mathbb{P})]^{\aleph_1} \forall J \in [a(\mathbb{P})]^{\aleph_1}$, if $I \cup J$ forms a Δ -system,
then $\exists I' \in [I]^{\aleph_1} \exists J' \in [J]^{\aleph_1}$ such that $\forall \sigma \in I' \forall \tau \in J'$, $\sigma \not\perp_{a(\mathbb{P})} \tau$.*

Proposition. *If \mathbb{P} has the anti-R, then*

*\forall countable $N \prec H(\aleph_2)$ with $\mathbb{P} \in N \forall \sigma \in a(\mathbb{P}) \setminus N$
 $\forall I \in [a(\mathbb{P})]^{\aleph_1} \cap N$ which forms a Δ -system with root $\sigma \cap N$
 $\exists I' \in [I]^{\aleph_1} \cap N$ such that $\forall \tau \in I'$, $\sigma \not\perp_{a(\mathbb{P})} \tau$.*

A proof that $a(\mathbb{P})$ adds no random reals

$\mathbb{P} = \langle \omega_1, \leq_{\mathbb{P}} \rangle$, \dot{r} : $a(\mathbb{P})$ -name, $\sigma \in a(\mathbb{P})$, $\{\mathbb{P}, \dot{r}, \sigma, \omega_1\} \in N$, $2^\omega \cap N \subseteq \bigcap_{n \in \omega} \bigcup_{m \geq n} U_m$.

$\tau \leq_{a(\mathbb{P})} \sigma$, $\tau \notin N$, $\tau \Vdash_{a(\mathbb{P})} \text{“ } \forall m \geq n (\dot{r} \notin U_m) \text{”}$.

$S_k := \left\{ s \in 2^k; \exists \alpha \in \omega_1 \text{ such that } \forall \mu \in a(\mathbb{P}) \text{ with } \mu \supseteq \tau \cap N, \right.$
 $\left. \text{if } \mu \Vdash_{a(\mathbb{P})} \text{“ } \dot{r} \upharpoonright k \neq s \text{”}, \text{ then } \min(\mu \setminus (\tau \cap N)) \leq \alpha \right\}$.

So $\bigcup_{k \in \omega} S_k$ forms an infinite subtree of 2^ω in N .

Take $u \in 2^\omega \cap N$ such that for every $k \in \omega$, $u \upharpoonright k \in S_k$, and
let $m \geq n$ and $k \geq m$ such that $[u \upharpoonright k] := \{v \in 2^\omega; u \upharpoonright k \subseteq v\} \subseteq U_m$.

Then there exists $\alpha \in \omega_1 \cap N$ such that for every $\mu \in a(\mathbb{P})$ with $\mu \supseteq \tau \cap N$,
if $\mu \Vdash_{a(\mathbb{P})} \text{“ } \dot{r} \upharpoonright k \neq u \upharpoonright k \text{”}$, then $\min(\mu \setminus (\tau \cap N)) \leq \alpha$.

Since $\min(\tau \setminus (\tau \cap N)) \geq \omega_1 \cap N > \alpha$, $\tau \not\Vdash_{a(\mathbb{P})} \text{“ } \dot{r} \upharpoonright k \neq u \upharpoonright k \text{”}$.

Thus there is $\nu \leq_{a(\mathbb{P})} \tau$ such that $\nu \Vdash_{a(\mathbb{P})} \text{“ } \dot{r} \upharpoonright k = u \upharpoonright k \text{”}$.

Then since $\nu \Vdash_{a(\mathbb{P})} \text{“ } [\dot{r} \upharpoonright k] = [u \upharpoonright k] \subseteq U_m \text{”}$, it follows that $\nu \Vdash_{a(\mathbb{P})} \text{“ } \dot{r} \in U_m \text{”}$,
which is a contradiction. \square