

# Construction with opposition: cardinal invariants and games

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(FWF)



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## Corollary

$$\mathfrak{c} = \omega_1 \not\rightarrow \diamond.$$

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For example,  $2^{\omega_1} = \omega_2$  implies  $\diamond_{\omega_2}(E_{\omega_2}^{\omega_2})$ .

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$\Phi$  is equivalent to  $2^{\aleph_0} < 2^{\aleph_1}$ .

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and for every  $X \in [\omega]^\omega$ , there is  $\alpha < \delta$  such that  $X \not\subseteq^* X_\alpha$ .

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The game  $G_t$  is played as follows.



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Now we show that the sequence  $\langle Y_\alpha : \alpha \in \omega_1 \rangle$  is a tower. Suppose otherwise, and pick  $X \in [\omega]^\omega$  such that  $X \subseteq^* Y_\alpha$  for every  $\alpha < \omega_1$ . Let  $X_0, X_1$  be two infinite disjoint subsets of  $X$  such that  $X = X_0 \cup X_1$ . As we have mentioned, the filter generated  $\mathcal{U}_y$  by  $\langle Y_\alpha : \alpha < \omega_1 \rangle$  is an ultrafilter. Take  $i \in \{0, 1\}$  such that  $X_i \in \mathcal{U}_y$ , and let  $\xi \in \omega_1$  such that  $Y_\xi \subseteq^* X_i$ .

$\mathfrak{c} = \omega_1$  implies the Builder has a winning strategy in  $G_t$

**Proof of Claim.** Let  $X \in [\omega]^\omega$ . We will show that either  $X \in \mathcal{U}_y$  or  $\omega \setminus X \in \mathcal{U}_y$ . Let  $\alpha \in \text{odd}(\omega_1)$  be such that  $X = A_\alpha$ . We have two cases:

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For a decreasing  $\subseteq^*$ -sequence  $s = \{Y_\xi^s : \xi < \delta(s)\}$  of length an infinite limit ordinal and  $C \subseteq \omega$  infinite,

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For a decreasing  $\subseteq^*$ -sequence  $s = \{Y_\xi^s : \xi < \delta(s)\}$  of length an infinite limit ordinal and  $C \subseteq \omega$  infinite, define  $F(s, C)$  as follows:

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$$Y_{\delta(s)} = \begin{cases} \{I_{2i}^s : i \in \omega\} & \text{if } g(\delta(s)) = 0, \\ \{I_{2i+1}^s : i \in \omega\} & \text{otherwise.} \end{cases}$$

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Let  $s = \{Y_\xi^s : \xi < \omega_1\}$  be a complete match played by the Builder according to the strategy described above.

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Corollary

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Corollary

$\diamond(2, =) \not\leftrightarrow$  the Builder has a winning strategy in the tower game  $G_t$ .



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Assume CH. Let  $\mathcal{Y} = (Y_\alpha : \alpha < \omega_1)$  be a tower. Let  $(f_\alpha : \alpha < \omega_1)$  list all partial functions from  $\omega \rightarrow \omega$  with infinite range.

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- $B_\alpha$  is chosen according to a given rule, and
- if  $\text{ran}(f_\alpha \upharpoonright B_\alpha)$  is infinite, then  $\text{ran}(f_\alpha \upharpoonright A_\alpha)$  is almost disjoint from some  $Y_{\beta_\alpha}$ .



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To choose  $A_\alpha$  note that there is  $\beta < \omega_1$  such that  $\text{ran}(f_\alpha \upharpoonright B_\alpha) \setminus Y_{\beta_\alpha}$   
is infinite because  $\mathcal{Y}$  is a tower.

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# $\mathfrak{t} = \omega_1$ does not imply the Builder has a winning strategy in $G_{\mathfrak{t}}$

To choose  $A_\alpha$  note that there is  $\beta < \omega_1$  such that  $\text{ran}(f_\alpha \upharpoonright_{B_\alpha}) \setminus Y_{\beta_\alpha}$  is infinite because  $\mathcal{Y}$  is a tower. Now let

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Let  $\mathcal{F}$  be the filter generated by the  $A_\alpha$ . Consider Laver forcing  $\mathbb{L}_{\mathcal{F}}$  with  $\mathcal{F}$ .

# $t = \omega_1$ does not imply the Builder has a winning strategy in $G_t$

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Assume the following:

## Claim

$\mathbb{L}_{\mathcal{F}}$  preserves  $\mathcal{Y}$ .



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The Builder wins the match if the filter generated by  $\{U_\alpha : \alpha < \omega_1\}$  is an ultrafilter; otherwise, the Spoiler wins.

# The ultrafilter number game

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Remember the *reaping number*  $\mathfrak{r} = \langle [\omega]^\omega, [\omega]^\omega, \mathbf{R} \rangle$ , where  $ARB$  if  $B \subseteq^* A$  or  $A \cap B =^* \emptyset$ <sup>1</sup>.

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Case 1:  $|g(\delta) \cap F(s \upharpoonright_\delta, C)| < \aleph_0$ . Let  $j \in \omega$  such that  $g(\delta) \cap F(s \upharpoonright_\delta, C) \subseteq j$ . Then  $U_\delta \setminus k_j^{s \upharpoonright_\delta} \subseteq C$  if  $\{i \in \omega : k_i^{s \upharpoonright_\delta} \in C\}$  is finite, and  $U_\delta \setminus k_j^{s \upharpoonright_\delta} \subseteq \omega \setminus C$  otherwise.

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The Builder having a winning strategy in  $G_u$  does not imply  $\diamond(\aleph_1)$ .

The Builder having a winning strategy in  $G_{\mathfrak{u}}$  does not imply  $\diamond(\mathfrak{r})$ .

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### Corollary

*The Builder having a winning strategy in  $G_{\mathfrak{u}}$  does not imply  $\diamond(\mathfrak{r})$ .*

$\mathfrak{u} = \omega_1$  does not imply that the Builder has a winning strategy in the game  $G_{\mathfrak{u}}$ .



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Start with  $V \models \text{CH} + 2^{\omega_1} = \omega_2$ , and force with  $\mathbb{P}^{\omega_2}$ , the countable support iteration used by Shelah to construct a model with a unique  $P$ -point.

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Builder	$A_0$		$\dots$	$A_\alpha$		$\dots$
Spoiler		$A_1$	$\dots$		$A_{\alpha+1}$	$\dots$

The Builder wins the match if the family  $\{A_\alpha : \alpha < \omega_1\}$  is a maximal almost disjoint family; otherwise, the Spoiler wins.



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### Proposition

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- 3 the set  $I(s, B) = \left\{ i \in \omega : B \cap A_{e_\delta(i)}^s \setminus \bigcup_{j < i} A_{e_\delta(j)}^s \neq \emptyset \right\}$  is infinite.



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Let  $g : \omega_1 \rightarrow \omega^\omega$  be a ◇(b)-sequence for  $F$ . Without loss of generality,  $g(\delta)$  is a strictly increasing function for every  $\delta < \omega_1$ .

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is infinite, we let  $A_\delta^s = A$ . Otherwise  $A_\delta^s$  is an arbitrary infinite set almost disjoint from the members of  $s$ .

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is finite for every  $i \in \omega$ . Therefore for  $i \in \omega$ , the intersection

$$A_{e_\delta(i)}^s \cap A \subseteq A_{e_\delta(i)}^s \cap \left( g(\delta)(i) \cup \bigcup_{j < i} A_{e_\delta(j)}^s \right) \text{ is finite.}$$

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We show that this is a winning strategy. Let  $s = \{A_\xi^s : \xi < \omega_1\}$  be a complete match where the Builder played according to the strategy defined by  $g$ . We show that  $s$  is maximal. Let  $B \in [\omega]^\omega$ . Consider  $f \in 2^{\omega_1}$  coding  $(B, s)$ , i.e.  $f(n) = 1$  iff  $n \in B$ , and  $f(\omega \cdot (1 + \xi) + n) = 1$  iff  $n \in A_\xi^s$ .

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Aiming towards a contradiction assume that it is not the case, that is  $\{B\} \cup \{A_\xi^s : \xi < \omega_1\}$  is an AD family, and for every indecomposable ordinal  $\delta$  (1)-(3) are satisfied.



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Observe that the family  $\{A_{e_\delta(i)}^s \setminus \bigcup_{j < i} A_{e_\delta(j)}^s : i \in \omega\}$  is disjoint, so  
 the application  $k \mapsto l_k$  is injective.

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$$l_k \notin A_{e_\delta(i_k)}^s \setminus \left( \bigcup_{j < i_k} A_{e_\delta(j)}^s \cup g(\delta)(i_k) \right).$$

Since  $g(\delta)$  is increasing we see that for all  $i \geq i_k$ ,

$$l_k \notin A_{e_\delta(i)}^s \setminus \left( \bigcup_{j < i} A_{e_\delta(j)}^s \cup g(\delta)(i) \right).$$

This implies that  $l_k \in A$ . In particular,  $A$  is infinite and  $A_\delta^s = A$ . Hence  $X \subseteq A_\delta^s$  follows.



## Open question

*The Builder has a winning strategy in the almost disjoint game  $G_\alpha$   
 $\not\vdash \mathfrak{a} = \omega_1$ ?*

# Games and cardinal invariants



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## Lemma

$\mathfrak{t}_{Builder}$  is a regular cardinal.

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Note that in general the Builder has a distinct advantage over the Spoiler in that her moves appear on a closed unbounded subset of  $\omega_1$  ( $\text{pair}(\omega_1) \in \text{Club}(\omega_1)$ ), while  $\text{odd}(\omega_1)$  is not stationary).

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Define  $t_{Builder}^*$  and  $t_{Spoiler}^*$  similarly as the unstarred versions.





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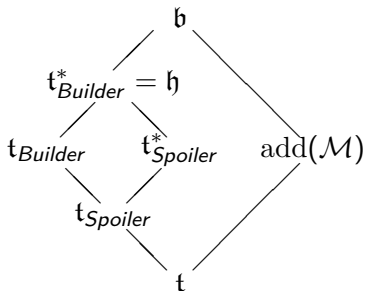
We additionally have the consistency of  $\mathfrak{t} < \mathfrak{t}_{Builder} = \text{add}(\mathcal{M})$ .





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- *Obviously  $\mathfrak{a} \leq \mathfrak{a}_{\text{Spoiler}} \leq \mathfrak{a}_{\text{Builder}}$ . Are these three numbers maybe equal?*
- *As for  $\mathfrak{u}$ , we even do not know whether  $\mathfrak{a}_{\text{Builder}}$  and  $\mathfrak{a}_{\text{Spoiler}}$  necessarily are cardinals.*

Thank you!