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Generic IO at \aleph_{ω}

Vincenzo Dimonte

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- Chapter I: The Importance of Being Generic Rank-into Rank (model-theoretic / combinatorial on the first ω cardinals)
- Chapter II: The Importance of Being Generic I0 (¬AC combinatorics of P(ℵ_ω)).

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Definition (Chang's Conjecture, 1963)

Every model of type (\aleph_2, \aleph_1) (i.e., the universe has cardinality \aleph_2 and there is a predicate of cardinality \aleph_1) for a countable language has an elementary submodel of type (\aleph_1, \aleph_0) .

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Theorem (Keisler, 1962)

 κ is measurable iff there exists $j : V \prec M$ with $crt(j) = \kappa$

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Definition (Kunen, 1972)

Let κ be a cardinal. Then κ is *huge* iff there is a $j : V \prec M$ with $\operatorname{crt}(j) = \kappa, {}^{j(\kappa)}M \subseteq M$.

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Let $j : V \prec M$ with $\operatorname{crt}(j) = \kappa$. We define the critical sequence $\langle \kappa_0, \kappa_1, \dots \rangle$ as $\kappa_0 = \kappa$ and $j(\kappa_n) = \kappa_{n+1}$.

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Definition (Reinhardt, 1970)

Let κ be a cardinal. Then κ is ω -huge or Reinhardt iff there is a $j : V \prec M$ with $\operatorname{crt}(j) = \kappa_0$, $\lambda M \subseteq M$, with $\lambda = \sup_{n \in \omega} \kappa_n$.

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Theorem (Kunen, 1971)

There is no Reinhardt cardinal.

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Generic large cardinals are a "virtual" version of large cardinals



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Large cardinals are really large, but there is a trick to apply their properties to small cardinals. Generic large cardinals are a "virtual" version of large cardinals. Definition (" 'Generic measurable" ') (Solovay) Let κ be a cardinal, I an ideal on $\mathcal{P}(\kappa)$. Then $\mathcal{P}(\kappa)/I$ is a forcing notion

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One can extend the definition to all the large cardinals above: generic γ -supercompact, generic huge, generic *n*-huge

$\mathsf{Con}(\mathsf{huge cardinal}) \rightarrow \mathsf{Con}((\aleph_3, \aleph_2) \twoheadrightarrow (\aleph_2, \aleph_1))$



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Proposition

If $j : V \prec M \subseteq V[G]$, M closed under \aleph_3 -sequences, $crt(j) = \aleph_2$ and $j(\aleph_2) = \aleph_3$, then $(\aleph_3, \aleph_2) \twoheadrightarrow (\aleph_2, \aleph_1)$.

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In the same way

Proposition

If $j: V \prec M \subseteq V[G]$, M closed under \aleph_{n+1} -sequences, $crt(j) = \aleph_1$ and $j(\aleph_1) = \aleph_2$, $j(\aleph_2) = \aleph_3, \ldots$, then $(\aleph_{n+1}, \ldots, \aleph_2, \aleph_1) \twoheadrightarrow (\aleph_n, \ldots, \aleph_1, \aleph_0)$.

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Then \aleph_{ω} is Jónsson if $(\ldots, \aleph_2, \aleph_1) \rightarrow (\ldots, \aleph_1, \aleph_0)$



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Then \aleph_{ω} is Jónsson if $(\ldots, \aleph_2, \aleph_1) \to (\ldots, \aleph_1, \aleph_0)$: Theorem (Silver)

 \aleph_{ω} is Jónsson iff there are $k_n \in \{\aleph_m : m \in \omega\}$, strictly increasing, such that $(\ldots, \aleph_{k_2}, \aleph_{k_1}) \rightarrow (\ldots, \aleph_{k_1}, \aleph_{k_0})$

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Open Problem

What about $Con(\aleph_{\omega} \text{ is Jónsson})$?

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Open Problem

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There is no ω -huge (and Shelah proved there is no generic ω -huge)! What can we do?

Kunen proved in fact $\neg \exists j : V_{\lambda+2} \prec V_{\lambda+2}$




Definition

I3 iff there exists λ s.t. $\exists j : V_{\lambda} \prec V_{\lambda}$;

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Definition

13 iff there exists λ s.t. $\exists j : V_{\lambda} \prec V_{\lambda}$;

12 iff there exists λ s.t. $\exists j : V_{\lambda+1} \prec_1 V_{\lambda+1}$;

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Kunen proved in fact $\neg \exists j : V_{\lambda+2} \prec V_{\lambda+2}$. This leaves space for the following definitions:

Definition

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With the "right" forcing, generic I1 or I0 at \aleph_ω implies \aleph_ω is Jónsson:

Remark

If there exists $j: V \prec M \subseteq V[G]$, $j(\aleph_{\omega}) = \aleph_{\omega}$, $j'' \aleph_{\omega} \in M$, then \aleph_{ω} is Jónsson.

Disclaimer: it is still not clear how strong this is



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Theorem (Foreman, 1982)

 $\mathsf{Con}(2\text{-huge cardinal}) {\rightarrow} \mathsf{Con}(\aleph_1 \text{ is generic } 2\text{-huge cardinal and } \dots)$

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Theorem (Foreman, 1982)

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Open Problem

What about $Con(\aleph_1 \text{ is generic 3-huge cardinal and } ...)?$

How to define generic IO (at \aleph_{ω})



How to define generic I0 (at \aleph_{ω})? Naive attempt (GCH) $\exists j : L(\mathcal{P}(\aleph_{\omega})) \prec (L(\mathcal{P}(\aleph_{\omega}))^{V[G]}$



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How to define generic IO (at \aleph_{ω})? Naive attempt (GCH) $\exists j : L(\mathcal{P}(\aleph_{\omega})) \prec (L(\mathcal{P}(\aleph_{\omega}))^{V[G]})$. Example Remember: if $j : V \prec M$ then $M^{<\operatorname{crt}(j)} \subseteq M$. If I is precipitous, then there exists $j : V \prec M \subseteq V[G]$, with G. But not always $M^{<\operatorname{crt}(j)} \subseteq M$, only when I is saturated.

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Suppose GCH below \aleph_{ω} . We say that generic I0 holds at \aleph_{ω} if there exists a forcing notion \mathbb{P} and a generic G such that:

- 1. in V[G] there exists $j : L(\mathcal{P}(\aleph_{\omega})) \prec L(\mathcal{P}(\aleph_{\omega}))[G];$
- P ∈ L(P(ℵ_ω)) and in L(P(ℵ_ω)) there exists π : P(ℵ_ω) → P;
 ℵ^V_ω = ℵ^{V[G]}_ω;
- 4. every element of $\mathcal{P}(\aleph_{\omega})^{V[G]}$ has a name (coded) in $\mathcal{P}(\aleph_{\omega})$;

5. there is a
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-term for $H^{V^{\mathbb{P}}}(\aleph_{\omega})$ and $j \upharpoonright H(\aleph_{\omega}) : H(\aleph_{\omega}) \prec H^{V^{\mathbb{P}}}(\aleph_{\omega})$

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Examples: $\mathbb{P} = \text{Coll}(\aleph_3, \aleph_2)$

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Examples: $\mathbb{P} = \text{Coll}(\aleph_3, \aleph_2)$, $\mathbb{P} = \text{product of } \mathbb{P}_n$, where $\mathbb{P}_n = \text{Coll}(\aleph_{n+3}, \aleph_{n+2})$.

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Definition $\Theta = \sup\{\alpha : \exists \pi : \mathcal{P}(\aleph_{\omega}) \twoheadrightarrow \alpha, \ \pi \in L(\mathcal{P}(\aleph_{\omega}))\}$



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- 2. Θ is weakly inaccessible;
- 3. Θ is limit of measurable cardinals.

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Confront this with:

Theorem (Shelah)

If \aleph_{ω} is strong limit, then $2^{\aleph_{\omega}} < \aleph_{\omega_4}$



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So:

- Either generic I0 is consistent, and then pcf-theory without AC has some serious limits;
- or generic I0 is inconsistent, and that would put a shadow on the consistency of I0.

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Having just $\aleph_{\omega+1}$ measurable is nothing new



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Theorem (Apter, 1985)

Suppose κ is 2^{λ} -supercompact, with λ measurable. Then there is a model of ZF+ $\aleph_{\omega+1}$ is measurable

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Definition

Define $D(\lambda)$ as the following: in $L(\mathcal{P}(\lambda))$:

- λ^+ is measurable;
- Θ is a weakly inaccessible limit of measurable cardinals.

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When it does happens



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Theorem

With enough large cardinals, $L(\mathbb{R}) \vDash AD$, and $D(\omega)$ holds



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Theorem (Woodin)
I0(\lambda) \rightarrow D(\lambda).
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When it does not happen



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When it does not happen For regular cardinals, with forcing one can kill AC, so it is not interesting
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Theorem (Shelah, 1996)

If λ has uncountable cofinality, then $L(\mathcal{P}(\lambda)) \models AC$, therefore $\neg D(\lambda)$

When it does not happen For regular cardinals, with forcing one can kill AC, so it is not interesting.

Theorem (Shelah, 1996)

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Theorem

In the Mitchell-Steel core model, if λ is singular, then $L(\mathcal{P}(\lambda)) \models AC$, therefore $\neg D(\lambda)$.

Conjecture (Woodin)

In Ultimate L, internal $IO(\lambda)$ iff $L(\mathcal{P}(\lambda) \nvDash AC)$



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Open Problem

What is the consistency strength of $D(\lambda)$ with λ uncountable?

Thanks for your attention.

