

# A reflecting principle compatible with the continuum large (1)

David Asperó

(joint work with Miguel Ángel Mota)

ICREA at U. Barcelona

ESI workshop on large cardinals and descriptive set theory

*PFA* implies  $2^{\aleph_0} = \aleph_2$ .

All known proofs of this implication use forcing notions that collapse  $\omega_2$  to  $\omega_1$ .

**Question:** Does  $FA(\{\mathbb{P} : \mathbb{P} \text{ proper, } |\mathbb{P}| = \aleph_1\})$  imply  $2^{\aleph_0} = \aleph_2$ ?

I will isolate a certain subclass  $\Gamma$  of  $\{\mathbb{P} : \mathbb{P} \text{ proper, } |\mathbb{P}| = \aleph_1\}$  and will sketch a proof that  $FA(\Gamma) + 2^{\aleph_0} > \aleph_2$  is consistent.

*FA*( $\Gamma$ ) will be strong enough to imply for example the negation of Justin Moore's  $\hat{\cup}$  and other strong forms of the negation of Club Guessing.

I will isolate a certain subclass  $\Gamma$  of  $\{\mathbb{P} : \mathbb{P} \text{ proper, } |\mathbb{P}| = \aleph_1\}$  and will sketch a proof that  $FA(\Gamma) + 2^{\aleph_0} > \aleph_2$  is consistent.

$FA(\Gamma)$  will be strong enough to imply for example the negation of Justin Moore's  $\bar{U}$  and other strong forms of the negation of Club Guessing.

## Notation

If  $N$  is a set such that  $N \cap \omega_1 \in \omega_1$ , set  $\delta_N = N \cap \omega_1$ .

If  $\mathcal{W}$  is a collection of countable sets and  $N$  is a set,  $\mathcal{W}$  is  $N$ -stationary if for every ordinal  $\gamma \in N$  and every function  $Z : [\gamma]^{<\omega} \rightarrow \gamma$ ,  $Z \in N$  there is some  $M \in \mathcal{W} \cap N$  such that  $Z''[M]^{<\omega} \subseteq M$ .

If  $\mathbb{P}$  is a partial order,  $\mathbb{P}$  is *nice* if

- (a) conditions in  $\mathbb{P}$  are functions with domain included in  $\omega_1$ , and
- (b) if  $p, q \in \mathbb{P}$  are compatible, then the greatest lower bound  $r$  of  $p$  and  $q$  exists,  $\text{dom}(r) = \text{dom}(p) \cup \text{dom}(q)$ , and  $r(\nu) = p(\nu) \cup q(\nu)$  for all  $\nu \in \text{dom}(r)$  (where  $f(\nu) = \emptyset$  if  $\nu \notin \text{dom}(f)$ ).

Exercise: Every set-forcing for which  $\text{glb}(p, q)$  exists whenever  $p$  and  $q$  are compatible conditions is isomorphic to a nice forcing.

## Notation

If  $N$  is a set such that  $N \cap \omega_1 \in \omega_1$ , set  $\delta_N = N \cap \omega_1$ .

If  $\mathcal{W}$  is a collection of countable sets and  $N$  is a set,  $\mathcal{W}$  is  $N$ -stationary if for every ordinal  $\gamma \in N$  and every function  $Z : [\gamma]^{<\omega} \rightarrow \gamma$ ,  $Z \in N$  there is some  $M \in \mathcal{W} \cap N$  such that  $Z''[M]^{<\omega} \subseteq M$ .

If  $\mathbb{P}$  is a partial order,  $\mathbb{P}$  is *nice* if

- (a) conditions in  $\mathbb{P}$  are functions with domain included in  $\omega_1$ , and
- (b) if  $p, q \in \mathbb{P}$  are compatible, then the greatest lower bound  $r$  of  $p$  and  $q$  exists,  $\text{dom}(r) = \text{dom}(p) \cup \text{dom}(q)$ , and  $r(\nu) = p(\nu) \cup q(\nu)$  for all  $\nu \in \text{dom}(r)$  (where  $f(\nu) = \emptyset$  if  $\nu \notin \text{dom}(f)$ ).

Exercise: Every set-forcing for which  $\text{glb}(p, q)$  exists whenever  $p$  and  $q$  are compatible conditions is isomorphic to a nice forcing.

## More notation

Given a nice partial order  $(\mathbb{P}, \leq)$ , a  $\mathbb{P}$ -condition  $p$  and a set  $M$  such that  $\delta_M$  exists, we say that  $M$  is good for  $p$  iff, letting

$$X = \{s \in \mathbb{P} \cap M : s \leq p \upharpoonright \delta_M, s \text{ compatible with } p\},$$

- (i)  $X \neq \emptyset$ , and
- (ii) for every  $s \in X$  there is some  $t \leq s$ ,  $t \in M$ , such that for all  $t' \leq t$ , if  $t' \in M$ , then  $t' \in X$ .

## A class of posets

Let  $\mathbb{P}$  be a nice poset.  $\mathbb{P}$  is  $\kappa$ -suitable if there are a binary relation  $R$  and a club  $C \subseteq \omega_1$  satisfying the following properties.

(1) If  $p R (N, \mathcal{W})$ , then the following conditions hold.

(1.1)  $N$  is a countable subset of  $H(\kappa)$ ,  $\mathcal{W}$  is an  $N$ -stationary subset of  $[H(\kappa)]^{\aleph_0}$ , and all members of  $\mathcal{W} \cap N$  are good for  $p$ .

(1.2) If  $p'$  is a  $\mathcal{P}$ -condition extending  $p$ , then there is some  $\mathcal{W}' \subseteq \mathcal{W}$  such that  $p' R (N, \mathcal{W}')$ .

(1.3) If  $\mathcal{W}' \subseteq \mathcal{W}$  is  $N$ -stationary, then  $p R (N, \mathcal{W}')$ .



## A class of posets

(2) For every  $p \in \mathcal{P}$  and every finite set  $\{(N_i, \mathcal{W}_i) : i < m\}$  such that

- (o) each  $N_i$  is a countable subset of  $H(\kappa)$  containing  $p$ ,  $\omega_1^{N_i} = \omega_1$ ,  $\delta_{N_i} \in \mathcal{C}$ ,  $N_i \models \text{ZFC}^*$ , and
- (o) each  $\mathcal{W}_i$  is  $N_i$ -stationary

there is a condition  $q \in \mathcal{P}$  extending  $p$  and there are  $\mathcal{W}'_i \subseteq \mathcal{W}_i$  ( $i < m$ ) such that  $q R(N_i, \mathcal{W}'_i)$  for all  $i < m$ .

We will say that a nice partial order is *absolutely  $\kappa$ -suitable* if it is  $\kappa$ -suitable in every inner model  $W$  containing it and such that  $\omega_1^W = \omega_1$ .

## A class of posets

(2) For every  $p \in \mathcal{P}$  and every finite set  $\{(N_i, \mathcal{W}_i) : i < m\}$  such that

- (o) each  $N_i$  is a countable subset of  $H(\kappa)$  containing  $p$ ,  
 $\omega_1^{N_i} = \omega_1$ ,  $\delta_{N_i} \in \mathcal{C}$ ,  $N_i \models \text{ZFC}^*$ , and
- (o) each  $\mathcal{W}_i$  is  $N_i$ -stationary

there is a condition  $q \in \mathcal{P}$  extending  $p$  and there are  $\mathcal{W}'_i \subseteq \mathcal{W}_i$  ( $i < m$ ) such that  $q R(N_i, \mathcal{W}'_i)$  for all  $i < m$ .

We will say that a nice partial order is *absolutely  $\kappa$ -suitable* if it is  $\kappa$ -suitable in every inner model  $W$  containing it and such that  $\omega_1^W = \omega_1$ .

## A class of posets

Let  $\Gamma_\kappa$  denote the class of all absolutely  $\kappa$ -suitable posets consisting of finite functions included in  $\omega_1 \times [\omega_1]^{<\omega}$ .

Easy: For all  $\kappa \geq \omega_2$ ,  $\Gamma_\kappa \subseteq \text{Proper}$ .

$FA(\Gamma_\kappa)$ : For every  $\mathbb{P} \in \Gamma_\kappa$  and every collection  $\mathcal{D}$  of size  $\aleph_1$  consisting of dense subsets of  $\mathbb{P}$  there is a filter  $G \subseteq \mathbb{P}$  such that  $G \cap D \neq \emptyset$  for all  $D \in \mathcal{D}$ .

## A class of posets

Let  $\Gamma_\kappa$  denote the class of all absolutely  $\kappa$ -suitable posets consisting of finite functions included in  $\omega_1 \times [\omega_1]^{<\omega}$ .

Easy: For all  $\kappa \geq \omega_2$ ,  $\Gamma_\kappa \subseteq \textit{Proper}$ .

$FA(\Gamma_\kappa)$ : For every  $\mathbb{P} \in \Gamma_\kappa$  and every collection  $\mathcal{D}$  of size  $\aleph_1$  consisting of dense subsets of  $\mathbb{P}$  there is a filter  $G \subseteq \mathbb{P}$  such that  $G \cap D \neq \emptyset$  for all  $D \in \mathcal{D}$ .

## A class of posets

Let  $\Gamma_\kappa$  denote the class of all absolutely  $\kappa$ -suitable posets consisting of finite functions included in  $\omega_1 \times [\omega_1]^{<\omega}$ .

Easy: For all  $\kappa \geq \omega_2$ ,  $\Gamma_\kappa \subseteq \text{Proper}$ .

$FA(\Gamma_\kappa)$ : For every  $\mathbb{P} \in \Gamma_\kappa$  and every collection  $\mathcal{D}$  of size  $\aleph_1$  consisting of dense subsets of  $\mathbb{P}$  there is a filter  $G \subseteq \mathbb{P}$  such that  $G \cap D \neq \emptyset$  for all  $D \in \mathcal{D}$ .

## One application of $FA(\Gamma_\kappa): \Omega$

**Definition** (Moore)  $\mathcal{U}$ : There is a sequence  $\langle g_\delta : \delta < \omega_1 \rangle$  such that each  $g_\delta : \delta \rightarrow \omega$  is continuous with respect to the order topology and such that for every club  $C \subseteq \omega_1$  there is some  $\delta \in C$  with  $g_\delta \restriction C = \omega$ .

- (o) Club Guessing implies  $\mathcal{U}$ .
- (o)  $\mathcal{U}$  preserved by ccc forcing, and in fact by  $\omega$ -proper forcing.
- (o) Each of *BPFA* and *MRP* implies  $\Omega := \neg \mathcal{U}$ .

## One application of $FA(\Gamma_\kappa): \Omega$

**Definition** (Moore)  $\mathcal{U}$ : There is a sequence  $\langle g_\delta : \delta < \omega_1 \rangle$  such that each  $g_\delta : \delta \rightarrow \omega$  is continuous with respect to the order topology and such that for every club  $C \subseteq \omega_1$  there is some  $\delta \in C$  with  $g_\delta \restriction C = \omega$ .

- (o) Club Guessing implies  $\mathcal{U}$ .
- (o)  $\mathcal{U}$  preserved by ccc forcing, and in fact by  $\omega$ -proper forcing.
- (o) Each of *BPFA* and *MRP* implies  $\Omega := \neg \mathcal{U}$ .

**Theorem** (Moore)  $\mathfrak{U}$  implies the existence of an Aronszajn line which does not contain any Contryman suborder.

Question (Moore):

Does  $\Omega$  imply  $2^{\aleph_0} \leq \aleph_2$ ?



**Theorem** (Moore)  $\mathfrak{U}$  implies the existence of an Aronszajn line which does not contain any Contryman suborder.

**Question** (Moore):

Does  $\Omega$  imply  $2^{\aleph_0} \leq \aleph_2$ ?

**Proposition:** For every  $\kappa \geq \omega_2$ ,  $FA(\Gamma_\kappa)$  implies  $\Omega$ .

Proof sketch:

**Notation:** Given  $X$ , a set of ordinals, and  $\delta$ , an ordinal, set

( $\circ$ )  $rank(X, \delta) = 0$  iff  $\delta$  is not a limit point of  $X$ , and

( $\circ$ )  $rank(X, \delta) > \eta$   
if and only if  $\delta$  is a limit of ordinals  $\epsilon$  such that  $rank(X, \epsilon) \geq \eta$ .

Given a sequence  $\mathcal{G} = \langle g_\delta : \delta < \omega_1 \rangle$  of continuous colourings, let  $\mathbb{P}_{\mathcal{G}}$  be the following poset:

**Proposition:** For every  $\kappa \geq \omega_2$ ,  $FA(\Gamma_\kappa)$  implies  $\Omega$ .

**Proof sketch:**

**Notation:** Given  $X$ , a set of ordinals, and  $\delta$ , an ordinal, set

(o)  $rank(X, \delta) = 0$  iff  $\delta$  is not a limit point of  $X$ , and

(o)  $rank(X, \delta) > \eta$   
if and only if  $\delta$  is a limit of ordinals  $\epsilon$  such that  $rank(X, \epsilon) \geq \eta$ .

Given a sequence  $\mathcal{G} = \langle g_\delta : \delta < \omega_1 \rangle$  of continuous colourings, let  $\mathbb{P}_{\mathcal{G}}$  be the following poset:

**Proposition:** For every  $\kappa \geq \omega_2$ ,  $FA(\Gamma_\kappa)$  implies  $\Omega$ .

**Proof sketch:**

**Notation:** Given  $X$ , a set of ordinals, and  $\delta$ , an ordinal, set

(o)  $rank(X, \delta) = 0$  iff  $\delta$  is not a limit point of  $X$ , and

(o)  $rank(X, \delta) > \eta$   
if and only if  $\delta$  is a limit of ordinals  $\epsilon$  such that  $rank(X, \epsilon) \geq \eta$ .

Given a sequence  $\mathcal{G} = \langle g_\delta : \delta < \omega_1 \rangle$  of continuous colourings, let  $\mathbb{P}_{\mathcal{G}}$  be the following poset:

Conditions in  $\mathbb{P}_G$  are pairs  $p = (f, \langle k_\xi : \xi \in D \rangle)$  satisfying the following properties:

(1)  $f$  is a finite function that can be extended to a normal function  $F : \omega_1 \longrightarrow \omega_1$ .

(2) For every  $\xi \in \text{dom}(f)$ ,  $\text{rank}(f(\xi), f(\xi)) \geq \xi$ .

(3)  $D \subseteq \text{dom}(f)$  and for every  $\xi \in \text{dom}(f)$ ,

(3.1)  $k_\xi < \omega$ ,

(3.2)  $g_{f(\xi)}$  "range( $f$ )  $\subseteq \omega \setminus \{k_\xi\}$ , and

(3.3)  $\text{rank}(\{\gamma < f(\xi) : g_{f(\xi)}(\gamma) \neq k_\xi\}, f(\xi)) = \text{rank}(f(\xi), f(\xi))$ .

Given conditions  $p_\epsilon = (f_\epsilon, (k_\xi^\epsilon : \xi \in D_\epsilon)) \in \mathbb{P}_G$  for  $\epsilon \in \{0, 1\}$ ,  $p_1$  extends  $p_0$  iff

- (i)  $f_0 \subseteq f_1$ ,
- (ii)  $D_0 \subseteq D_1$ , and
- (iii)  $k_\xi^1 = k_\xi^0$  for all  $\xi \in D_0$ .

Easy: If  $G$  is  $\mathbb{P}_G$ -generic and  $C = \text{range}(\bigcup\{f : (\exists \vec{k})(\langle f, \vec{k} \rangle \in G)\})$ , then  $C$  is a club of  $\omega_1^V$  and for every  $\delta \in C$  there is  $k_\delta \in \omega$  such that  $g_\delta \restriction C \subseteq \omega \setminus \{k_\delta\}$ .

Given conditions  $p_\epsilon = (f_\epsilon, (k_\xi^\epsilon : \xi \in D_\epsilon)) \in \mathbb{P}_G$  for  $\epsilon \in \{0, 1\}$ ,  $p_1$  extends  $p_0$  iff

- (i)  $f_0 \subseteq f_1$ ,
- (ii)  $D_0 \subseteq D_1$ , and
- (iii)  $k_\xi^1 = k_\xi^0$  for all  $\xi \in D_0$ .

Easy: If  $G$  is  $\mathbb{P}_G$ -generic and  $C = \text{range}(\bigcup\{f : (\exists \vec{k})(\langle f, \vec{k} \rangle \in G)\})$ , then  $C$  is a club of  $\omega_1^V$  and for every  $\delta \in C$  there is  $k_\delta \in \omega$  such that  $g_\delta \text{ " } C \subseteq \omega \setminus \{k_\delta\}$ .

$\mathbb{P}_G \in \Gamma_\kappa$  for every  $\kappa \geq \omega_2$ :

(•) We may easily translate  $\mathbb{P}_G$  into a nice forcing consisting of finite functions contained in  $\omega_1 \times [\omega_1]^{<\omega}$ .

(•) Given  $p = (f, \langle k_\xi : \xi \in D \rangle) \in \mathbb{P}_G$ ,  $N \subseteq H(\kappa)$  countable such that  $N \models ZFC^*$  and  $\delta_N$  exists, and given  $\mathcal{W}$  an  $N$ -stationary set, set

$$pR(N, \mathcal{W})$$

if and only if

(a)  $\delta_N$  is a fixed point of  $f$ ,

(b)  $\delta_N \in D$ , and

(c) for every  $M \in \mathcal{W}$ ,  $g_{\delta_N}(\delta_M) \neq k_{\delta_N}$ .



$\mathbb{P}_G \in \Gamma_\kappa$  for every  $\kappa \geq \omega_2$ :

(•) We may easily translate  $\mathbb{P}_G$  into a nice forcing consisting of finite functions contained in  $\omega_1 \times [\omega_1]^{<\omega}$ .

(•) Given  $p = (f, \langle k_\xi : \xi \in D \rangle) \in \mathbb{P}_G$ ,  $N \subseteq H(\kappa)$  countable such that  $N \models \text{ZFC}^*$  and  $\delta_N$  exists, and given  $\mathcal{W}$  an  $N$ -stationary set, set

$$pR(N, \mathcal{W})$$

if and only if

- (a)  $\delta_N$  is a fixed point of  $f$ ,
- (b)  $\delta_N \in D$ , and
- (c) for every  $M \in \mathcal{W}$ ,  $g_{\delta_N}(\delta_M) \neq k_{\delta_N}$ .

Easy to verify:

(1) in the definition of  $\kappa$ -suitable

[that is:

If  $p R(N, \mathcal{W})$ , then

- (1.1)  $N$  is a countable subset of  $H(\kappa)$ ,  $\mathcal{W}$  is an  $N$ -stationary subset of  $[H(\kappa)]^{\aleph_0}$ , and all members of  $\mathcal{W} \cap N$  are good for  $p$ ,
- (1.2) if  $p'$  is a  $\mathcal{P}$ -condition extending  $p$ , then there is some  $\mathcal{W}' \subseteq \mathcal{W}$  such that  $p' R(N, \mathcal{W}')$ , and
- (1.3) if  $\mathcal{W}' \subseteq \mathcal{W}$  is  $N$ -stationary, then  $p R(N, \mathcal{W}')$ .

]

Let us check (2) in the definition of  $\kappa$ -suitable (with  $C = \omega_1$ )

[that is:

(2) For every  $p \in \mathcal{P}$  and every finite set  $\{(N_i, \mathcal{W}_i) : i < m\}$  such that

(a) each  $N_i$  is a countable subset of  $H(\kappa)$  containing  $p$ ,  
 $\omega_1^{N_i} = \omega_1$ ,  $\delta_{N_i} \in C$ ,  $N_i \models ZFC^*$ , and

(b) each  $\mathcal{W}_i$  is  $N_i$ -stationary

there is a condition  $q \in \mathcal{P}$  extending  $p$  and there are  $\mathcal{W}'_i \subseteq \mathcal{W}_i$  ( $i < m$ ) such that  $q R(N_i, \mathcal{W}'_i)$  for all  $i < m$ .

]

Let  $p = (f, \langle k_\xi : \xi \in D \rangle) \in \mathbb{P}_G$ . Let  $\{(N_i, \mathcal{W}_i) : i < m\}$  satisfy (a) and (b).

Let  $(\delta_j)_{j < n}$  be the increasing enumeration of  $\{\delta_{N_i} : i < m\}$ .

Suppose  $\{N_i : \delta_{N_i} = \delta_0\} = \{N_0, N_1, N_2\}$ .

Let  $\{k_0, \dots, k_{2^3-1}\}$  be 8 colours not touched by  $g_{\delta_0}$  "range( $f$ ).

There is  $k^0 \in \{k_0, \dots, k_7\}$  such that, for all  $i < 3$ ,  $\mathcal{W}'_i = \{M \in \mathcal{W}_i : \delta_M \neq k^0\}$  is  $N_i$ -stationary.

Hence we may make the promise to avoid the colour  $k^0$  in the colouring  $g_{\delta_0}$ .

Let  $p = (f, \langle k_\xi : \xi \in D \rangle) \in \mathbb{P}_G$ . Let  $\{(N_i, \mathcal{W}_i) : i < m\}$  satisfy (a) and (b).

Let  $(\delta_j)_{j < n}$  be the increasing enumeration of  $\{\delta_{N_i} : i < m\}$ .

Suppose  $\{N_i : \delta_{N_i} = \delta_0\} = \{N_0, N_1, N_2\}$ .

Let  $\{k_0, \dots, k_{2^3-1}\}$  be 8 colours not touched by  $g_{\delta_0}$  "range( $f$ ).

There is  $k^0 \in \{k_0, \dots, k_7\}$  such that, for all  $i < 3$ ,  $\mathcal{W}'_i = \{M \in \mathcal{W}_i : \delta_M \neq k^0\}$  is  $N_i$ -stationary.

Hence we may make the promise to avoid the colour  $k^0$  in the colouring  $g_{\delta_0}$ .

Let  $p = (f, \langle k_\xi : \xi \in D \rangle) \in \mathbb{P}_G$ . Let  $\{(N_i, \mathcal{W}_i) : i < m\}$  satisfy (a) and (b).

Let  $(\delta_j)_{j < n}$  be the increasing enumeration of  $\{\delta_{N_i} : i < m\}$ .

Suppose  $\{N_i : \delta_{N_i} = \delta_0\} = \{N_0, N_1, N_2\}$ .

Let  $\{k_0, \dots, k_{2^3-1}\}$  be 8 colours not touched by  $g_{\delta_0}$  "range( $f$ ).

There is  $k^0 \in \{k_0, \dots, k_7\}$  such that, for all  $i < 3$ ,  $\mathcal{W}'_i = \{M \in \mathcal{W}_i : \delta_M \neq k^0\}$  is  $N_i$ -stationary.

Hence we may make the promise to avoid the colour  $k^0$  in the colouring  $g_{\delta_0}$ .

Now we continue with  $\delta_1$ ,  
and get a colour  $k^1$  we may avoid in the colouring  $g_{\delta_1}$ . And so on.

In the end there is a condition  $q = (f', \langle k'_\xi : \xi \in D' \rangle)$ ,  $q \leq p$ , and  $N_i$ -stationary  $\mathcal{W}'_i \subseteq \mathcal{W}_i$  ( $i < m$ ) such that

- (a)  $f'$  has all  $\delta_j$  ( $j < n$ ) as limit points and makes the promise  $k^j$  at each  $\delta_j$ , and
- (b)  $q R(N_i, \mathcal{W}'_i)$  for all  $i < m$ .

Hence,  $\mathbb{P}_{\mathcal{G}}$  is (isomorphic to) a forcing in  $\Gamma_\kappa$ .

An application of  $FA(\{\mathbb{P}_{\mathcal{G}}\})$  gives now a witness of  $\Omega$  for  $\mathcal{G}$ .

□

Now we continue with  $\delta_1$ ,  
and get a colour  $k^1$  we may avoid in the colouring  $g_{\delta_1}$ . And so on.

In the end there is a condition  $q = (f', \langle k'_\xi : \xi \in D' \rangle)$ ,  $q \leq p$ , and  $N_i$ -stationary  $\mathcal{W}'_i \subseteq \mathcal{W}_i$  ( $i < m$ ) such that

- (a)  $f'$  has all  $\delta_j$  ( $j < n$ ) as limit points and makes the promise  $k^j$  at each  $\delta_j$ , and
- (b)  $q R(N_i, \mathcal{W}'_i)$  for all  $i < m$ .

Hence,  $\mathbb{P}_{\mathcal{G}}$  is (isomorphic to) a forcing in  $\Gamma_\kappa$ .

An application of  $FA(\{\mathbb{P}_{\mathcal{G}}\})$  gives now a witness of  $\Omega$  for  $\mathcal{G}$ .

□



Now we continue with  $\delta_1$ ,  
and get a colour  $k^1$  we may avoid in the colouring  $g_{\delta_1}$ . And so on.

In the end there is a condition  $q = (f', \langle k'_\xi : \xi \in D' \rangle)$ ,  $q \leq p$ , and  $N_i$ -stationary  $\mathcal{W}'_i \subseteq \mathcal{W}_i$  ( $i < m$ ) such that

- (a)  $f'$  has all  $\delta_j$  ( $j < n$ ) as limit points and makes the promise  $k^j$  at each  $\delta_j$ , and
- (b)  $q R(N_i, \mathcal{W}'_i)$  for all  $i < m$ .

Hence,  $\mathbb{P}_{\mathcal{G}}$  is (isomorphic to) a forcing in  $\Gamma_\kappa$ .

An application of  $FA(\{\mathbb{P}_{\mathcal{G}}\})$  gives now a witness of  $\Omega$  for  $\mathcal{G}$ .

□

## Another application of $FA(\Gamma_\kappa)$

**Proposition:** For every  $\kappa \geq \omega_2$ ,  $FA(\Gamma_\kappa)$  implies:

$\neg$ **VWCG:** For every  $\mathcal{C}$ , if

(a)  $|\mathcal{C}| = \aleph_1$  and

(b) for all  $X \in \mathcal{C}$ ,  $X \subseteq \omega_1$  and  $ot(X) = \omega$ ,

then there is a club  $C \subseteq \omega_1$  such that  $|X \cap C| < \omega$  for all  $X \in \mathcal{C}$ .

$\neg$ **VWCG** is equivalent to the following statement:

For every  $\mathcal{C}$ , if

(a)  $|\mathcal{C}| = \aleph_1$  and

(b) for all  $X \in \mathcal{C}$ ,  $X \subseteq \omega_1$  and  $X$  is such that for all nonzero  $\gamma < \omega_1$ ,  $rank(X, \gamma) < \gamma$  (equivalently,  $ot(X \cap \gamma) < \omega^\gamma$ ),

then there is a club  $C \subseteq \omega_1$  such that  $|X \cap C| < \omega$  for all  $X \in \mathcal{C}$ .

## Another application of $FA(\Gamma_\kappa)$

**Proposition:** For every  $\kappa \geq \omega_2$ ,  $FA(\Gamma_\kappa)$  implies:

$\neg$ **VWCG:** For every  $\mathcal{C}$ , if

- (a)  $|\mathcal{C}| = \aleph_1$  and
- (b) for all  $X \in \mathcal{C}$ ,  $X \subseteq \omega_1$  and  $ot(X) = \omega$ ,

then there is a club  $\mathcal{C} \subseteq \omega_1$  such that  $|X \cap \mathcal{C}| < \omega$  for all  $X \in \mathcal{C}$ .

$\neg$ **VWCG** is equivalent to the following statement:

For every  $\mathcal{C}$ , if

- (a)  $|\mathcal{C}| = \aleph_1$  and
- (b) for all  $X \in \mathcal{C}$ ,  $X \subseteq \omega_1$  and  $X$  is such that for all nonzero  $\gamma < \omega_1$ ,  $rank(X, \gamma) < \gamma$  (equivalently,  $ot(X \cap \gamma) < \omega^\gamma$ ),

then there is a club  $\mathcal{C} \subseteq \omega_1$  such that  $|X \cap \mathcal{C}| < \omega$  for all  $X \in \mathcal{C}$ .

# The main theorem

**Main Theorem (CH)** Let  $\kappa$  be a cardinal such that  $2^{<\kappa} = \kappa$ ,  $\kappa^{\aleph_1} = \kappa$  and  $\mu^{\aleph_0} < \kappa$  for all  $\mu < \kappa$ . Then there is a partial order  $\mathcal{P}$  such that

- (1)  $\mathcal{P}$  is proper,
- (2)  $\mathcal{P}$  has the  $\aleph_2$ -chain condition,
- (3)  $\mathcal{P}$  forces
  - (•)  $FA(\Gamma_\kappa)$
  - (•)  $2^{\aleph_0} = \kappa$

## Proof sketch

Let  $\Phi : \kappa \longrightarrow H(\kappa)$  be a bijection.

( $\Phi$  exists by  $2^{<\kappa} = \kappa$ .)

Note: There is an  $\omega_1$ -club of  $\gamma < \kappa$  such that  $\Phi \upharpoonright \gamma$  enumerates  $[\gamma]^{\aleph_0}$ .)

## Proof sketch (continued)

### Coherent systems of structures

$\{N_i : i < m\}$  is a *coherent systems of structures* if

a1)  $m < \omega$  and every  $N_i$  is a countable subset of  $H(\kappa)$  such that  $(N_i, \epsilon, \Phi \cap N_i) \preccurlyeq (H(\kappa), \epsilon, \Phi)$ .

a2) Given distinct  $i, i'$  in  $m$ , if  $\delta_{N_i} = \delta_{N_{i'}}$ , then there is an isomorphism

$$\Psi_{N_i, N_{i'}} : (N_i, \epsilon, \Phi \cap N_i) \longrightarrow (N_{i'}, \epsilon, \Phi \cap N_{i'})$$

Furthermore,  $\Psi_{N_i, N_{i'}}$  is the identity on  $\kappa \cap N_i \cap N_{i'}$ .

## Proof sketch (continued)

- a3) For all  $i, j$  in  $m$ , if  $\delta_{N_j} < \delta_{N_i}$ , then there is some  $i' < m$  such that  $\delta_{N_{i'}} = \delta_{N_i}$  and  $N_j \in N_{i'}$ .
- a4) For all  $i, i', j$  in  $m$ , if  $N_j \in N_i$  and  $\delta_{N_i} = \delta_{N_{i'}}$ , then there is some  $j' < m$  such that  $N_{j'} = \Psi_{N_i, N_{i'}}(N_j)$ .

## Proof sketch (continued)

Our forcing will be the direct limit  $\mathcal{P}_{\omega_2}$  of a sequence  $\langle \mathcal{P}_\alpha : \alpha < \omega_2 \rangle$  of posets such that

- (o)  $\mathcal{P}_\alpha$  is a complete suborder of  $\mathcal{P}_\beta$  if  $\alpha < \beta \leq \omega_2$ , and
- (o) a condition  $q$  in  $\mathcal{P}_\alpha$  is an  $\alpha$ -sequence  $p$  together with a certain system  $\Delta_q$  of side conditions.

Unlike in a usual iteration,  $p$  will not consist of names, but of well-determined objects (finite functions included in  $\omega_1 \times [\omega_1]^{<\omega}$ ).



## Proof sketch (continued)

Our forcing will be the direct limit  $\mathcal{P}_{\omega_2}$  of a sequence  $\langle \mathcal{P}_\alpha : \alpha < \omega_2 \rangle$  of posets such that

- (o)  $\mathcal{P}_\alpha$  is a complete suborder of  $\mathcal{P}_\beta$  if  $\alpha < \beta \leq \omega_2$ , and
- (o) a condition  $q$  in  $\mathcal{P}_\alpha$  is an  $\alpha$ -sequence  $p$  together with a certain system  $\Delta_q$  of side conditions.

Unlike in a usual iteration,  $p$  will not consist of names, but of well-determined objects (finite functions included in  $\omega_1 \times [\omega_1]^{<\omega}$ ).

## Defining $\langle \mathcal{P}_\alpha : \alpha \leq \omega_2 \rangle$

$\mathcal{P}_0$ : Conditions are  $p = \{(N_i, 0) : i < m\}$  where  $\{N_i : i < m\}$  is a coherent system of structures.

$\leq_0$  is  $\supseteq$ .

## Defining $\langle \mathcal{P}_\alpha : \alpha \leq \omega_2 \rangle$ (continued)

Suppose  $\mathcal{P}_\alpha$  defined and suppose conditions in  $\mathcal{P}_\alpha$  are pairs  $(p, \Delta_p)$  with  $p$  an  $\alpha$ -sequence and  $\Delta_p = \{(N, \beta_i) : i < m\}$ .

Suppose  $\mathcal{P}_\alpha$  has the  $\aleph_2$ -chain condition and  $|\mathcal{P}_\alpha| = \kappa$ .

By  $\kappa^{\aleph_1} = \kappa$  we may fix an enumeration  $\dot{Q}_i^\alpha$  (for  $i < \kappa$ ) of nice  $\kappa$ -suitable partial orders consisting of finite functions included in  $\omega_1 \times [\omega_1]^{<\omega}$  such that for every  $\mathcal{P}_\alpha$ -name  $\dot{Q}$  for such a poset there are  $\kappa$ -many  $i < \kappa$  such that  $\Vdash_{\mathcal{P}_\alpha} \dot{Q} = \dot{Q}_i^\alpha$ .

We also fix  $\mathcal{P}_\alpha$ -names  $\dot{R}_i^\alpha$  and  $\dot{C}_i^\alpha$  (for  $i < \kappa$ ) such that  $\mathcal{P}_\alpha$  forces that  $\dot{R}_i^\alpha$  and  $\dot{C}_i^\alpha$  witness that  $\dot{Q}_i^\alpha$  is  $\kappa$ -suitable.

## Defining $\langle \mathcal{P}_\alpha : \alpha \leq \omega_2 \rangle$ (continued)

Suppose  $\mathcal{P}_\alpha$  defined and suppose conditions in  $\mathcal{P}_\alpha$  are pairs  $(p, \Delta_p)$  with  $p$  an  $\alpha$ -sequence and  $\Delta_p = \{(N, \beta_i) : i < m\}$ .

Suppose  $\mathcal{P}_\alpha$  has the  $\aleph_2$ -chain condition and  $|\mathcal{P}_\alpha| = \kappa$ .

By  $\kappa^{\aleph_1} = \kappa$  we may fix an enumeration  $\dot{Q}_i^\alpha$  (for  $i < \kappa$ ) of nice  $\kappa$ -suitable partial orders consisting of finite functions included in  $\omega_1 \times [\omega_1]^{<\omega}$  such that for every  $\mathcal{P}_\alpha$ -name  $\dot{Q}$  for such a poset there are  $\kappa$ -many  $i < \kappa$  such that  $\Vdash_{\mathcal{P}_\alpha} \dot{Q} = \dot{Q}_i^\alpha$ .

We also fix  $\mathcal{P}_\alpha$ -names  $\dot{R}_i^\alpha$  and  $\dot{C}_i^\alpha$  (for  $i < \kappa$ ) such that  $\mathcal{P}_\alpha$  forces that  $\dot{R}_i^\alpha$  and  $\dot{C}_i^\alpha$  witness that  $\dot{Q}_i^\alpha$  is  $\kappa$ -suitable.

## Defining $\langle \mathcal{P}_\alpha : \alpha \leq \omega_2 \rangle$ (continued)

$\mathcal{P}_{\alpha+1}$ : Conditions are

$$q = (p \frown \langle f_i : i \in a \rangle, \{(N_i, \beta_i) : i < m\})$$

satisfying the following conditions.

*b1)* For all  $i < m$ ,  $\beta_i \leq (\alpha + 1) \cap \text{sup}(N_i \cap \omega_2)$ .

*b2)* The restriction of  $q$  to  $\alpha$  is a condition in  $\mathcal{P}_\alpha$ . This restriction is defined as the object  $q|_\alpha := (p, \{(N_i, \beta_i^\alpha) : i < m\})$ ; where  $\beta_i^\alpha = \beta_i$  if  $\beta_i < \alpha + 1$ , and  $\beta_i^\alpha = \alpha$  if  $\beta_i = \alpha + 1$ . We denote  $\{(N_i, \beta_i) : i < m\}$  by  $\Delta_q$ .

*b3)*  $a$  is a finite subset of  $\kappa$ .

## Defining $\langle \mathcal{P}_\alpha : \alpha \leq \omega_2 \rangle$ (continued)

*b4)* For each  $i \in a$ ,  $f_i$  is a finite function included in  $\omega_1 \times [\omega_1]^{<\omega}$  and  $q|_\alpha$  forces (in  $\mathcal{P}_\alpha$ ) that  $f_i \in \dot{Q}_i^\alpha$ .

*b5)* For every  $N$  such that  $(N, \alpha + 1) \in \Delta_q$  and  $\alpha \in N$ ,  $q|_\alpha$  forces that there is some  $\mathcal{W}_N \subseteq \mathcal{W}^\alpha$  such that

$$f_i \dot{R}_i^\alpha(N, \mathcal{W}_N)$$

for all  $i \in a \cap N$ .

Here,  $\mathcal{W}^\alpha$  denotes the collection of all  $M$  such that  $(M, \alpha) \in \Delta_u$  for some  $u \in \dot{G}_\alpha$ .

## Defining $\langle \mathcal{P}_\alpha : \alpha \leq \omega_2 \rangle$ (continued)

Given conditions

$$q_\epsilon = (p_\epsilon \widehat{\langle f_i^\epsilon : i \in a_\epsilon \rangle}, \{(N_i^\epsilon, \beta_i^\epsilon) : i < m_\epsilon\})$$

(for  $\epsilon \in \{0, 1\}$ ), we will say that  $q_1 \leq_{\alpha+1} q_0$  if and only if the following holds.

c1)  $q_1|_\alpha \leq_\alpha q_0|_\alpha$

c2)  $a_0 \subseteq a_1$

c3) For all  $i \in a_0$ ,  $q|_\alpha$  forces in  $\mathcal{P}_\alpha$  that  $f_i^1 \leq_{\dot{Q}_i^\alpha} f_i^0$ .

c4) For all  $i < m_0$  there exists  $\tilde{\beta}_i \geq \beta_i^0$  such that  $(N_i^0, \tilde{\beta}_i) \in \Delta_{q_1}$ .

## Defining $\langle \mathcal{P}_\alpha : \alpha \leq \omega_2 \rangle$ (continued)

Suppose  $\alpha \leq \omega_2$  is a nonzero limit ordinal.

$\mathcal{P}_\alpha$  Conditions are  $q = (p, \{(N_i, \beta_i) : i < m\})$  such that:

*d 1)*  $p$  is a sequence of length  $\alpha$ .

*d 2)* For all  $i < m$ ,  $\beta_i \leq \alpha \cap \sup(X_i \cap \omega_2)$ . (Note that  $\beta_i$  is always less than  $\omega_2$ , even when  $\alpha = \omega_2$ .)

*d 3)* For every  $\varepsilon < \alpha$ , the restriction  $q|_\varepsilon := (p \upharpoonright \varepsilon, \{(X_i, \beta_i^\varepsilon) : i < m\})$  is a condition in  $\mathcal{P}_\varepsilon$ ; where  $\beta_i^\varepsilon = \beta_i$  if  $\beta_i \leq \varepsilon$ , and  $\beta_i^\varepsilon = \varepsilon$  if  $\beta_i > \varepsilon$ .

*d 4)* The set of  $\zeta < \alpha$  such that  $p(\zeta) \neq \emptyset$  is finite.



## Defining $\langle \mathcal{P}_\alpha : \alpha \leq \omega_2 \rangle$ (continued)

Given conditions  $q_1 = (p_1, \Delta_1)$  and  $q_0 = (p_0, \Delta_0)$  in  $\mathcal{P}_\alpha$ ,  
 $q_1 \leq_\alpha q_0$  if and only if:

e1) For every  $(X_i, \beta_i) \in \Delta_0$  there exists  $\tilde{\beta}_i \geq \beta_i$  such that  
 $(X_i, \tilde{\beta}_i) \in \Delta_1$ .

e2) For every  $\beta < \alpha$ ,  $q_1|_\beta \leq_\beta q_0|_\beta$ .

**Notation:** If  $\alpha \leq \omega_2$  and  $q = (p, \{(N_i, \beta_i) : i < m\}) \in \mathcal{P}_\alpha$ , we set  
 $\mathcal{X}_q = \{N_i : i < m\}$ .

## Main facts about $\langle \mathcal{P}_\alpha : \alpha \leq \omega_2 \rangle$

**Lemma**     Let  $\alpha \leq \beta \leq \omega_2$ .

If  $q = (p, \Delta_q) \in \mathcal{P}_\alpha$ ,  $s = (r, \Delta_s) \in \mathcal{P}_\beta$  and  $q \leq_\alpha s|_\alpha$ , then  $(p \hat{\ } (r \upharpoonright [\alpha, \beta]), \Delta_q \cup \Delta_s)$  is a condition in  $\mathcal{P}_\beta$  extending  $s$ .

Therefore,  $\mathcal{P}_\alpha$  can be seen as a complete suborder of  $\mathcal{P}_\beta$ .

**Lemma**     For every  $\alpha \leq \omega_2$ ,  $\mathcal{P}_\alpha$  is  $\aleph_2$ -Knaster.

## Main facts about $\langle \mathcal{P}_\alpha : \alpha \leq \omega_2 \rangle$

**Lemma**     Let  $\alpha \leq \beta \leq \omega_2$ .

If  $q = (p, \Delta_q) \in \mathcal{P}_\alpha$ ,  $s = (r, \Delta_s) \in \mathcal{P}_\beta$  and  $q \leq_\alpha s|_\alpha$ , then  $(p \hat{\ } (r \upharpoonright [\alpha, \beta]), \Delta_q \cup \Delta_s)$  is a condition in  $\mathcal{P}_\beta$  extending  $s$ .

Therefore,  $\mathcal{P}_\alpha$  can be seen as a complete suborder of  $\mathcal{P}_\beta$ .

**Lemma**     For every  $\alpha \leq \omega_2$ ,  $\mathcal{P}_\alpha$  is  $\aleph_2$ -Knaster.

Let  $\langle \theta_\alpha : \alpha \leq \omega_2 \rangle$  be the following sequence of regular cardinals:  $\theta_0 = (2^\kappa)^+$ ,  $\theta_\gamma = (\sup_{\alpha < \gamma} \theta_\alpha)^+$  if  $\gamma$  is a nonzero limit ordinal, and  $\theta_{\alpha+1} = (2^{\theta_\alpha})^+$ .

Also, for each  $\alpha \leq \omega_2$  let  $\mathcal{M}_\alpha$  be the collection of all countable elementary substructures of  $H(\theta_\alpha)$  containing  $\langle \theta_\beta : \beta < \alpha \rangle$ ,  $\Phi$  and  $\mathcal{P}_\alpha$ .

All  $\mathcal{P}_\alpha$  are proper:

Let  $\langle \theta_\alpha : \alpha \leq \omega_2 \rangle$  be the following sequence of regular cardinals:  $\theta_0 = (2^\kappa)^+$ ,  $\theta_\gamma = (\sup_{\alpha < \gamma} \theta_\alpha)^+$  if  $\gamma$  is a nonzero limit ordinal, and  $\theta_{\alpha+1} = (2^{\theta_\alpha})^+$ .

Also, for each  $\alpha \leq \omega_2$  let  $\mathcal{M}_\alpha$  be the collection of all countable elementary substructures of  $H(\theta_\alpha)$  containing  $\langle \theta_\beta : \beta < \alpha \rangle$ ,  $\Phi$  and  $\mathcal{P}_\alpha$ .

All  $\mathcal{P}_\alpha$  are proper:

**Lemma**     Suppose  $\alpha \leq \omega_2$  and  $N^* \in \mathcal{M}_\alpha$ . Then,

(1) $_\alpha$  for every  $q \in N^* \cap \mathcal{P}_\alpha$  there is  $q' \leq_\alpha q$  such that  $(N^* \cap H(\kappa), \alpha \cap \text{sup}(N^* \cap \omega_2)) \in \Delta_{q'}$ , and

(2) $_\alpha$  for every  $q \in \mathcal{P}_\alpha$ , if there is some  $N$  such that  $(N, \alpha \cap \text{sup}(N \cap \omega_2)) \in \Delta_q$  and such that either

(a)  $N^* \cap H(\kappa) = N$  or

(b)  $N^* \cap H(\kappa) = \Phi''(\gamma \cap N)$  for some  $\gamma \in N \cap \kappa$  such that  $\Phi \upharpoonright \gamma$  enumerates  $[\gamma]^{\aleph_0}$ ,

then  $q$  is  $(N^*, \mathcal{P}_\alpha)$ -generic.

The proof is by induction on  $\alpha$ .

Proof sketch of  $(2)_\alpha$  in the case  $\alpha = \sigma + 1$ :

Let  $E$  be an open and dense subset of  $\mathcal{P}_\alpha$  in  $N^*$ .

It suffices to show that every  $q$  satisfying the hypothesis of  $(2)_\alpha$  is compatible with some condition in  $E \cap N^*$ .

By density of  $E$  we may assume, without loss of generality, that  $q \in E$ .

We may also assume that  $a^q \neq \emptyset$ .

The proof is by induction on  $\alpha$ .

Proof sketch of  $(2)_\alpha$  in the case  $\alpha = \sigma + 1$ :

Let  $E$  be an open and dense subset of  $\mathcal{P}_\alpha$  in  $N^*$ .

It suffices to show that every  $q$  satisfying the hypothesis of  $(2)_\alpha$  is compatible with some condition in  $E \cap N^*$ .

By density of  $E$  we may assume, without loss of generality, that  $q \in E$ .

We may also assume that  $a^q \neq \emptyset$ .



## Claim

For every  $i \in \kappa \setminus N^*$  there are ordinals  $\alpha_i < \beta_i$  such that

- (a)  $\alpha_i \in N^*$  and  $\beta_i \in (\kappa \cap N^*) \cup \{\kappa\}$ ,
- (b)  $\alpha_i < i < \beta_i$ , and
- (c)  $[\alpha_i, \beta_i) \cap N' \cap N^* = \emptyset$  whenever  $N' \in \mathcal{X}_q \setminus N^*$  is such that  $\delta_{N'} < \delta_{N^*}$ .

[This is proved using the fact that all  $\Psi_{\bar{N}, N}$  fix  $\kappa \cap \bar{N} \cap N$  and are continuous (for  $\bar{N} \in \mathcal{X}_q$  with  $\delta_{\bar{N}} = \delta_N$ ), meaning that  $\Psi_{\bar{N}, N}(\xi) = \sup(\Psi_{\bar{N}, N} \text{ ``}\xi)$  whenever  $\xi \in \bar{N}$  is an ordinal of countable cofinality.]

Suppose  $a^q \setminus N^* = \{i_0, \dots, i_{n-1}\}$ , and for each  $k < n$  let  $\alpha_k < \beta_k$  be ordinals realizing the above claim for  $i_k$ .

Let us work in  $V^{\mathcal{P}_{\sigma+1}(q|\sigma)}$ . By condition **b5)** in the definition of  $\mathcal{P}_{\sigma+1}$  we know that there is a stationary  $\mathcal{W}_N \subseteq \mathcal{W}^\sigma$  such that  $f_i^q \dot{R}_i^\sigma(N, \mathcal{W}_N)$  for all  $i \in a^q \cap N$ .

By an inductive construction (using (1) in the definition of  $\kappa$ -suitable) we may find an  $N$ -stationary  $\mathcal{W} \subseteq \mathcal{W}_N$  such that  $f_i^q \dot{R}_i^\sigma(N, \mathcal{W})$  for all  $i \in a^q \cap N$  and such that each  $M \in \mathcal{W}$  is good for  $f_j^q$  for every  $j \in a^q \cap M$ .

Since  $N^* \cap H(\kappa)$  is an  $\in$ -initial segment of  $N$  and since  $[N^* \cap H(\kappa)]^{\aleph_0} \cap N \subseteq N^*$ , every  $N$ -stationary subset of  $[H(\kappa)]^{\aleph_0}$  is also  $N^*$ -stationary.

Hence, we may find  $M^*$  and  $M$  in  $N^*$  such that

- (a)  $M^* \in \mathcal{M}_\sigma$  and  $M^*$  contains  $\mathcal{P}_{\sigma+1}$ ,  $E$ ,  $a^q \cap N^*$ ,  $f_i^q \upharpoonright \delta_{N^*}$  for every  $i \in a^q \cap N^*$ ,  $\alpha_k$  for every  $k < n$ , and  $\beta_k$  for every  $k < n$  with  $\beta_k < \kappa$ .
- (b)  $(M, \sigma) \in \Delta_U$  for some  $u \in \dot{G}_\sigma$ ,
- (c)  $M^* \cap H(\kappa) = \Phi''(\gamma \cap M)$  for some ordinal  $\gamma \in M$  such that  $\Phi \upharpoonright \gamma$  enumerates  $[\gamma]^{\aleph_0}$ , and
- (d)  $M$  is good for  $f_i^q$  for every  $i \in a^q \cap N^*$ .

Since  $N^* \cap H(\kappa)$  is an  $\in$ -initial segment of  $N$  and since  $[N^* \cap H(\kappa)]^{\aleph_0} \cap N \subseteq N^*$ , every  $N$ -stationary subset of  $[H(\kappa)]^{\aleph_0}$  is also  $N^*$ -stationary.

Hence, we may find  $M^*$  and  $M$  in  $N^*$  such that

- (a)  $M^* \in \mathcal{M}_\sigma$  and  $M^*$  contains  $\mathcal{P}_{\sigma+1}$ ,  $E$ ,  $a^q \cap N^*$ ,  $f_i^q \upharpoonright \delta_{N^*}$  for every  $i \in a^q \cap N^*$ ,  $\alpha_k$  for every  $k < n$ , and  $\beta_k$  for every  $k < n$  with  $\beta_k < \kappa$ .
- (b)  $(M, \sigma) \in \Delta_u$  for some  $u \in \dot{G}_\sigma$ ,
- (c)  $M^* \cap H(\kappa) = \Phi''(\gamma \cap M)$  for some ordinal  $\gamma \in M$  such that  $\Phi \upharpoonright \gamma$  enumerates  $[\gamma]^{\aleph_0}$ , and
- (d)  $M$  is good for  $f_i^q$  for every  $i \in a^q \cap N^*$ .

From (d), together with  $\delta_M = \delta_{M^*}$ , we have that  $M^*$  is good for  $f_i^q$  for every  $i \in a^q \cap N^*$ . For every such  $i$  let  $f_i$  be a  $\dot{Q}_i^\sigma$ -condition in  $M^*$  extending  $f_i^q \upharpoonright \delta_{M^*} = f_i^q \upharpoonright \delta_N$  and such that every  $\dot{Q}_i^\sigma$ -condition in  $M^*$  extending  $f_i$  is compatible with  $f_i^q$ .

By extending  $q$  below  $\sigma$  we may assume that  $(M, \sigma) \in \Delta_q$  and that  $q_\sigma$  decides  $f_i$  for every  $i \in a^q$ .

The result of replacing  $f_i^q$  with  $\text{glb}(f_i, f_i^q)$  in  $q$  for every  $i \in a^q \cap N^*$  is a  $\mathcal{P}_{\sigma+1}$ -condition.

Hence, by further extending  $q$  if necessary we may assume that every  $\dot{Q}_i^\sigma$ -condition in  $M^*$  extending  $f_i^q \upharpoonright \delta_{M^*}$  is compatible with  $f_i^q$ .

From (d), together with  $\delta_M = \delta_{M^*}$ , we have that  $M^*$  is good for  $f_i^q$  for every  $i \in a^q \cap N^*$ . For every such  $i$  let  $f_i$  be a  $\dot{Q}_i^\sigma$ -condition in  $M^*$  extending  $f_i^q \upharpoonright \delta_{M^*} = f_i^q \upharpoonright \delta_N$  and such that every  $\dot{Q}_i^\sigma$ -condition in  $M^*$  extending  $f_i$  is compatible with  $f_i^q$ .

By extending  $q$  below  $\sigma$  we may assume that  $(M, \sigma) \in \Delta_q$  and that  $q_\sigma$  decides  $f_i$  for every  $i \in a^q$ .

The result of replacing  $f_i^q$  with  $\text{glb}(f_i, f_i^q)$  in  $q$  for every  $i \in a^q \cap N^*$  is a  $\mathcal{P}_{\sigma+1}$ -condition.

Hence, by further extending  $q$  if necessary we may assume that every  $\dot{Q}_i^\sigma$ -condition in  $M^*$  extending  $f_i^q \upharpoonright \delta_{M^*}$  is compatible with  $f_i^q$ .

Let now  $G$  be a  $\mathcal{P}_\sigma$ -generic filter over the ground model with  $q|_\sigma \in G$ .

By correctness of  $M^*[G]$  within  $H(\theta_\sigma)[G]$  we know that in  $M^*[G]$  there is a condition  $q^\circ$  satisfying the following conditions.

(a)  $q^\circ \in E$  and  $q^\circ|_\sigma \in G$ .

(b)  $a^{q^\circ} = (a^q \cap N^*) \cup \{i_0^\circ, \dots, i_{n-1}^\circ\}$  with  $\alpha_k < i_k^\circ < \beta_k$  for all  $k < n$ .

(c) For all  $i \in a^q \cap N^*$ ,  $f_i^{q^\circ}$  extends  $f_i^q \upharpoonright \delta_{M^*}$  in  $\dot{Q}_i^\sigma$ .

(the existence of such a  $q^\circ$  is witnessed, in  $V[G]$ , by  $q$  itself).

By induction hypothesis,  $q|_\sigma$  is  $(M^*, \mathcal{P}_\sigma)$ -generic. Hence,  $M^*[G] \cap V = M^*$ . It follows that  $q^\circ$  is in  $M^*$ .

By extending  $q$  below  $\sigma$  we may assume that  $q$  decides  $q^\circ$  and also that it extends  $q^\circ|_\sigma$ . The proof in this case will be finished if we show that  $q$  and  $q^\circ$  are compatible.

It is not difficult to find  $f_i^*$  (for  $i \in a^q \cup \{i_0^*, \dots, i_{n_1}^*\}$ ) extending  $f_i^q$  and/or  $f_{i_k}^{q^\circ}$  (for  $k < n$ ) for which, in  $V^{\mathcal{P}_{\sigma+1}(q|_\sigma)}$ , we can verify condition b5) with respect to all  $N'$  such that  $(N', \sigma + 1) \in \Delta_q \cup \Delta_{q^\circ}$  and  $\sigma \in N'$ .

If  $\delta_{N'} \geq \delta_N$ , we use condition (2) (and (1)) in the definition of  $\kappa$ -suitable.

If  $\delta_{N'} < \delta_N$  and  $N' \in M^*$  (that is,  $(N', \sigma + 1) \in \Delta_{q^\circ}$ ), we use condition (1) in the definition of  $\kappa$ -suitable.



By induction hypothesis,  $q|_\sigma$  is  $(M^*, \mathcal{P}_\sigma)$ -generic. Hence,  $M^*[G] \cap V = M^*$ . It follows that  $q^\circ$  is in  $M^*$ .

By extending  $q$  below  $\sigma$  we may assume that  $q$  decides  $q^\circ$  and also that it extends  $q^\circ|_\sigma$ . The proof in this case will be finished if we show that  $q$  and  $q^\circ$  are compatible.

It is not difficult to find  $f_i^*$  (for  $i \in a^q \cup \{i_0^\circ, \dots, i_{n_1}^*\}$ ) extending  $f_i^q$  and/or  $f_{i_k^\circ}^{q^\circ}$  (for  $k < n$ ) for which, in  $V^{\mathcal{P}_\sigma \upharpoonright (q|_\sigma)}$ , we can verify condition **b5**) with respect to all  $N'$  such that  $(N', \sigma + 1) \in \Delta_q \cup \Delta_{q^\circ}$  and  $\sigma \in N'$ .

If  $\delta_{N'} \geq \delta_N$ , we use condition (2) (and (1)) in the definition of  $\kappa$ -suitable.

If  $\delta_{N'} < \delta_N$  and  $N' \in M^*$  (that is,  $(N', \sigma + 1) \in \Delta_{q^\circ}$ ), we use condition (1) in the definition of  $\kappa$ -suitable.

By induction hypothesis,  $q|_\sigma$  is  $(M^*, \mathcal{P}_\sigma)$ -generic. Hence,  $M^*[G] \cap V = M^*$ . It follows that  $q^\circ$  is in  $M^*$ .

By extending  $q$  below  $\sigma$  we may assume that  $q$  decides  $q^\circ$  and also that it extends  $q^\circ|_\sigma$ . The proof in this case will be finished if we show that  $q$  and  $q^\circ$  are compatible.

It is not difficult to find  $f_i^*$  (for  $i \in a^q \cup \{i_0^*, \dots, i_{n_1}^*\}$ ) extending  $f_i^q$  and/or  $f_{i_k^*}^{q^\circ}$  (for  $k < n$ ) for which, in  $V^{\mathcal{P}_\sigma \upharpoonright (q|_\sigma)}$ , we can verify condition **b5**) with respect to all  $N'$  such that  $(N', \sigma + 1) \in \Delta_q \cup \Delta_{q^\circ}$  and  $\sigma \in N'$ .

If  $\delta_{N'} \geq \delta_N$ , we use condition (2) (and (1)) in the definition of  $\kappa$ -suitable.

If  $\delta_{N'} < \delta_N$  and  $N' \in M^*$  (that is,  $(N', \sigma + 1) \in \Delta_{q^\circ}$ ), we use condition (1) in the definition of  $\kappa$ -suitable.

The only potentially problematic case is when  $\delta_{N'} < \delta_N$  and  $N' \in \mathcal{X}_q \setminus M^*$ . But we are safe also in this case since then  $(a^q \cup \{i_0^\circ, \dots, i_{n_1}^*\}) \cap N' = a^q \cap N'$ . We apply again (1) in the definition of  $\kappa$ -suitable.

Finally we extend  $q$  below  $\sigma$  once more to a condition  $q'$  deciding  $f_j^*$ . Now we amalgamate  $q'$  and  $q^\circ$  and get a legal  $\mathcal{P}_\alpha$ -condition (note that in extending  $q$  below  $\sigma$  we are not adding new pairs  $(N', \sigma + 1)$  to  $\Delta$ ).

This finishes the (very sketchy) proof of the lemma in this case.

□

The only potentially problematic case is when  $\delta_{N'} < \delta_N$  and  $N' \in \mathcal{X}_q \setminus M^*$ . But we are safe also in this case since then  $(a^q \cup \{i_0^\circ, \dots, i_{n_1}^*\}) \cap N' = a^q \cap N'$ . We apply again (1) in the definition of  $\kappa$ -suitable.

Finally we extend  $q$  below  $\sigma$  once more to a condition  $q'$  deciding  $f_j^*$ . Now we amalgamate  $q'$  and  $q^\circ$  and get a legal  $\mathcal{P}_\alpha$ -condition (note that in extending  $q$  below  $\sigma$  we are not adding new pairs  $(N', \sigma + 1)$  to  $\Delta$ ).

This finishes the (very sketchy) proof of the lemma in this case.

□

Given ordinals  $\alpha < \omega_2$  and  $i < \kappa$ , we let  $\dot{G}_i^\alpha$  be a  $\mathcal{P}_{\alpha+1}$  for the collection of all  $f_i^q$ , where  $q \in \dot{G}_{\alpha+1}$ ,  $\alpha \in P\text{supp}(q)$ , and  $i \in a^q$ .

## Lemma

*For every  $\alpha < \omega_2$  and every  $i < \kappa$ ,  $\mathcal{P}_{\alpha+1}$  forces that  $\dot{G}_i^\alpha$  is a  $V^{\mathcal{P}_\alpha}$ -generic filter over  $\dot{Q}_i^\alpha$ .*

From the above lemmas it is easy to see by standard arguments that  $\mathcal{P}_{\omega_2}$  forces  $FA(\Gamma_\kappa)$  and  $2^{\aleph_0} = \kappa$ .  $\square$

Given ordinals  $\alpha < \omega_2$  and  $i < \kappa$ , we let  $\dot{G}_i^\alpha$  be a  $\mathcal{P}_{\alpha+1}$  for the collection of all  $f_i^q$ , where  $q \in \dot{G}_{\alpha+1}$ ,  $\alpha \in \text{Psupp}(q)$ , and  $i \in a^q$ .

### Lemma

For every  $\alpha < \omega_2$  and every  $i < \kappa$ ,  $\mathcal{P}_{\alpha+1}$  forces that  $\dot{G}_i^\alpha$  is a  $V^{\mathcal{P}_\alpha}$ -generic filter over  $\dot{Q}_i^\alpha$ .

From the above lemmas it is easy to see by standard arguments that  $\mathcal{P}_{\omega_2}$  forces  $FA(\Gamma_\kappa)$  and  $2^{\aleph_0} = \kappa$ .  $\square$

# An enhanced version of the Main Theorem

Given a class  $\Gamma$  of partial orders and a cardinal  $\lambda$ ,  $FA(\Gamma)_{<\lambda}$  means:

For every  $\mathbb{P} \in \Gamma$  and collection  $\mathcal{D}$  of size less than  $\lambda$  consisting of dense subsets of  $\mathbb{P}$  there is a filter  $G \subseteq \mathbb{P}$  such that  $G \cap D \neq \emptyset$  for every  $D \in \mathcal{D}$ .

# An enhanced version of the Main Theorem

**Theorem (CH)** Let  $\kappa$  be a cardinal such that  $2^{<\kappa} = \kappa$ ,  $\kappa^{\aleph_1} = \kappa$  and  $\mu^{\aleph_0} < \kappa$  for all  $\mu < \kappa$ . Then there is a partial order  $\mathcal{P}$  such that

- (1)  $\mathcal{P}$  is proper,
- (2)  $\mathcal{P}$  has the  $\aleph_2$ -chain condition,
- (3)  $\mathcal{P}$  forces
  - (•)  $FA(\Gamma_\kappa)_{<cf(\kappa)}$
  - (•)  $2^{\aleph_0} = \kappa$



## Another strong failure of Club Guessing

**Definition** (Moore): *Measuring*: For every sequence  $(C_\delta : \delta < \omega_1)$  such that each  $C_\delta$  is a closed subset of  $\delta$  there is a club  $D \subseteq \omega_1$  such that for every limit point  $\delta \in D$  of  $D$ ,

- (a) either a tail of  $D \cap \delta$  is contained in  $C_\delta$ ,
- (b) or a tail of  $D \cap \delta$  is disjoint from  $C_\delta$ .

(o) *Measuring* follows from *BPFA* and also from *MRP*.

(o) *Measuring* implies the negation of Weak Club Guessing and implies  $\Omega$ .

## Another strong failure of Club Guessing

**Definition** (Moore): *Measuring*: For every sequence  $(C_\delta : \delta < \omega_1)$  such that each  $C_\delta$  is a closed subset of  $\delta$  there is a club  $D \subseteq \omega_1$  such that for every limit point  $\delta \in D$  of  $D$ ,

- (a) either a tail of  $D \cap \delta$  is contained in  $C_\delta$ ,
  - (b) or a tail of  $D \cap \delta$  is disjoint from  $C_\delta$ .
- (o) *Measuring* follows from *BPFA* and also from *MRP*.
- (o) *Measuring* implies the negation of Weak Club Guessing and implies  $\Omega$ .

We do not know how to derive Measuring from any “natural” forcing axiom that we can force together with the continuum large.

However,

We do not know how to derive Measuring from any “natural” forcing axiom that we can force together with the continuum large.

However,

**Theorem (CH)** Let  $\kappa$  be a cardinal such that  $2^{<\kappa} = \kappa$ ,  $\kappa^{\aleph_1} = \kappa$  and  $\mu^{\aleph_0} < \kappa$  for all  $\mu < \kappa$ . Then there is a partial order  $\mathcal{P}$  such that

- (1)  $\mathcal{P}$  is proper,
- (2)  $\mathcal{P}$  has the  $\aleph_2$ -chain condition,
- (3)  $\mathcal{P}$  forces
  - (•) Measuring
  - (•)  $2^{\aleph_0} = \kappa$