

1.

# Yet another proof of Gaboriau-Popa

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2.

Defn. Let  $E$  be a Borel equivalence relation on a standard Borel probability space  $(\mathbb{X}, \mu)$  with all its equivalence classes countable.

$E$  is ergodic if every  $E$ -invariant Borel set is either null or conull.

$E$  is measure preserving if given  $A, B \subseteq \mathbb{X}$  Borel

$\Theta : A \rightarrow B$  a Borel bijection

with  $\Theta \in E$ ,

(i.e.  $x E \Theta(x)$  all  $x \in A$ )

then we have  $\mu(A) = \mu(B)$ .

(This happens when  $E = E_T$ , the orbit equivalence relation induced by a countable group  $T$  acting by measure preserving automorphisms.)

For  $E_1, E_2$  on  $(\mathbb{X}_1, \mu_1), (\mathbb{X}_2, \mu_2)$  we say that  $E_1$  is orbit equivalent to  $E_2$ ,

" $E_1 \text{ O.E. } E_2$ ",

if there is a measure preserving  $\Theta : \mathbb{X}_1 \rightarrow \mathbb{X}_2$

s.t. for  $\mu_1$  a.e.  $x \in \mathbb{X}_1$

$$[\Theta(x)]_{E_2} = \{\Theta(y) \mid y E_1 x\} \quad (=_{df.} \Theta[[x]_{E_1}])$$

Here:  $[\Theta(x)]_{E_2} = \{z \mid z E_2 \Theta(x)\}$ .

Theorem: (Gaboriau, Popa).

$\mathbb{F}_2$ , the free group on two generators, has  $2^{16}$  many free, ergodic, measure preserving actions on standard Borel probability spaces up to orbit equivalence.

I.e.  $\exists (\mathbb{X}_s, \mu_s, E_s)_{s \in [0,1]}$

each  $E_s$  induced by  $\mathbb{F}_2 \curvearrowright (\mathbb{X}_s, \mu_s)$  as above

with

$E_s \text{ O.E. } E_t \text{ iff } s = t.$

Afterwards, a sequence of results for other classes of groups,  
finally finishing in Inessa Epstein's proof of the same for  
any "non-amenable" group.

Their proof used deep ideas in operator algebras as well as "property (T)".  
Asger Törnquist gave a new proof, eliminating the use of operator algebras,  
but still using property (T).

4.

Just for the sake of completeness,  
here is the definition.

Defn. Let  $\mathcal{H}$  be a Hilbert space.

An action  $\Gamma \curvearrowright \mathcal{H}$  is unitary if

(i) it is linear

(ii)  $\forall \gamma \in \Gamma \quad \forall v, w \in \mathcal{H}$

$$\langle \gamma \cdot v, \gamma \cdot w \rangle = \langle v, w \rangle$$

Defn. For  $\Gamma$  a group,  $\Delta \triangleleft \Gamma$  a normal subgroup,

$\Gamma$  has relative property (T) over  $\Delta$

whenever  $\Gamma \curvearrowright \mathcal{H}$  unitary with "almost invariant vectors"

there is a  $\Delta$ -invariant vector  $\neq 0$ .

("Almost invariant vectors":  
 $\forall F \subseteq \Gamma$  finite  $\forall \epsilon > 0 \exists v \neq 0$   
 $\forall \gamma \in F (\|v - \gamma \cdot v\| < \epsilon \|v\|)$ ).

E.g.  $SL_2(\mathbb{Z}) \times \mathbb{Z}^2$  has relative property (T) over  $\mathbb{Z}^2$ .

Rmk: Simon Thomas: " $SL_2(\mathbb{Z})$  is practically the same thing as  $\mathbb{Z}_2$ ".

5.

Defn. Let  $(\mathbb{X}, d)$  be a complete separable metric space.

Let  $\Gamma \curvearrowright \mathbb{X}$  be a Borel action.

The action is expansive if  $\exists \varepsilon > 0 \ \exists A_1, \dots, A_n \subseteq \mathbb{X}$  Borel  
 $\exists F_1, \dots, F_n \subseteq \Gamma$  finite

such that

$$(i) \bigcup A_i \supseteq \{(x, y) \in \mathbb{X}^2 \mid d(x, y) < \varepsilon\}$$

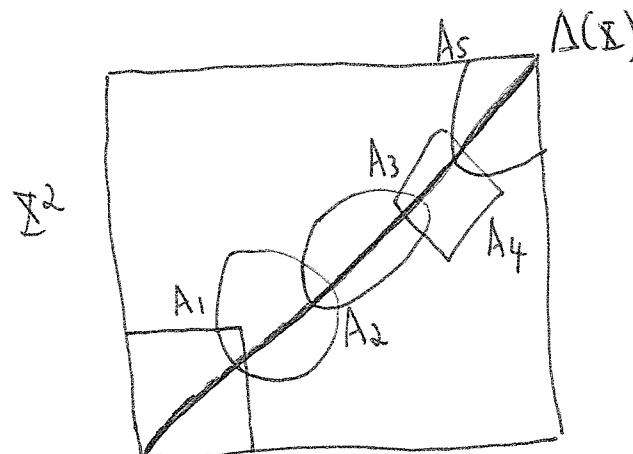
$$(ii) \forall i \neq j \ \forall \gamma \in F_i \quad (\gamma \cdot A_i \cap A_j \subseteq \Delta(\mathbb{X}))$$

$$\Delta(\mathbb{X}) = \{(x, x) \mid x \in \mathbb{X}\}$$

$$(iii) \forall i$$

$$\bigcap_{\gamma \in F_i} \gamma \cdot A_i \subseteq \Delta(\mathbb{X})$$

$\Gamma$  acts on  $\mathbb{X}^2$  by  
 $\gamma \cdot (x, y) = (\gamma \cdot x, \gamma \cdot y)$



6.

Lemma: Let  $T \sim (\mathbb{X}, d)$  be expansive.

Let  $\varepsilon, A_1, \dots, A_n, F_1, \dots, F_n$  be as above, in defn.

Then:

Any Borel probability measure on  $\mathbb{X}^2$  that "largely concentrates"  
on  $\{(x, y) : d(x, y) < \varepsilon\}$

and is "sufficiently  $T$ -invariant"

will "largely concentrate" on  $\Delta(\mathbb{X})$

FORMAL TRANSLATION:

$\forall \delta > 0 \exists \delta' > 0$  such that

$v(\{(x, y) | d(x, y) < \varepsilon\}) > 1 - \delta'$  for  $v$  a Borel prob. measure  
and

$\forall i \leq n \forall x \in F_i (|v(A_i) - v(x \cdot A_i)| < \delta')$

then  $v(\Delta(\mathbb{X})) > 1 - \delta$ .

Theorem: Let  $T \sim (\mathbb{X}, d)$  be expansive.

Let  $\mu$  be a  $T$ -invariant Borel probability measure.

Suppose we have  $(E_s)_{s \in \{0,1\}}$  s.t.

(i) each  $E_s$  a countable, borel, ergodic, measure preserving equivalence relation

(ii) each  $E_s \supseteq E_T$

(iii) for  $s \neq t$ ,  $A \subseteq \mathbb{X}$  with  $\mu(A) > 0$   
we have for a.e.  $x \in A$

$$[x]_{E_s} \cap A \neq [x]_{E_t} \cap A.$$

Then:

Each  $E_t$  is orbit equivalent  
to only countably many other  $E_s$ .

8.

Sketch of proof:

Fix  $\varepsilon > 0$ ,  $F_1, \dots, F_n, A_1, \dots, A_n$  as in defn. of expansive.

Let  $F = \bigcup_{i \leq n} F_i$ .

Suppose instead  $\exists t_0 \in [0, 1], W \subseteq [0, 1], |W| = \frac{\varepsilon}{\sum_i \mu(F_i)}$

such that at each  $s \in W$

$$\Theta_s: \mathbb{X} \rightarrow \mathbb{X}$$

witnesses  $E_{t_0} \circ E \cdot E_s$ .

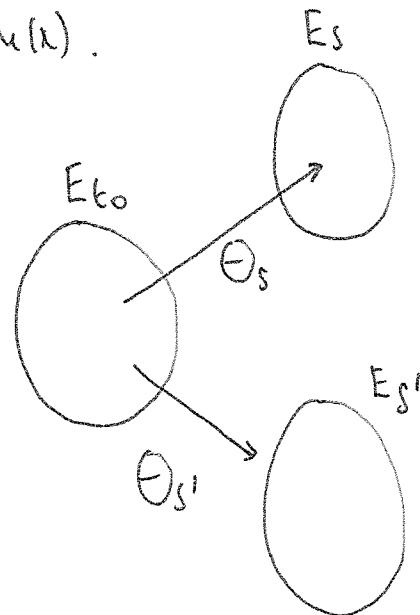
For  $s, s' \in W$ , let  $d_o(\Theta_s, \Theta_{s'}) = \int d(\Theta_s(x), \Theta_{s'}(x)) d\mu(x)$ .

This is a separable premetric  
so can easily get lots of  $s \neq s'$

with

$$d_o(\Theta_s, \Theta_{s'}) \approx 0.$$

(I.e. "close to zero.")



9.

Let  $\Delta$  be a countable group acting in a measure preserving fashion with

$$E_{t_0} = E_\Delta. \quad (\text{Feldman-Moore})$$

At each  $s \in W$  define

$$\varphi_s : \mathbb{X} \times F \rightarrow \Delta \cup \{\alpha\}$$

with

$$\varphi_s(x, \gamma) = \text{"least" } \delta \in \Delta \text{ s.t. } \gamma \cdot \Theta_s(x) = \Theta_\delta(\delta \cdot x)$$

(Here "least" refers to some enumeration of  $\Delta = \{\delta_n | n \in \mathbb{N}\}$ .)

Let  $d_1(\varphi_s, \varphi_{s'})$

$$= \sum_{r \in F} \mu(\{x : \varphi_s(x, r) \neq \varphi_{s'}(x, r)\})$$

Again a separable premetric

$\therefore$  can obtain  $s \neq s'$  s.t.  $d_1(\varphi_s, \varphi_{s'}) > 0$

$F = \bigcup_{i \in n} F_i$ : along with  $A_1, \dots, A_n$  witnessing "expansive"

10.

Now:

Define  $\Theta = (\Theta_S, \Theta_{S'}) : \mathcal{X} \rightarrow \mathcal{X}^2$   
 $x \mapsto (\Theta_S(x), \Theta_{S'}(x))$

Let  $v = \Theta^*[\mu]$   
i.e.  $v(A) = \mu(\Theta^{-1}[A])$

By  $d_0(\Theta_S, \Theta_{S'}) \approx 0$   
 $v$  "largely concentrates" on  $\{(x, y) \mid d(x, y) < \varepsilon\}$ .

By  $d_1(\varphi_S, \varphi_{S'}) \approx 0$   
 $v$  is "largely"  $(UF_i)_{i \in \mathbb{N}}$  invariant.

Therefore by lemma,

$v$  "largely" concentrates on  $\Delta(\mathcal{X})$ .

$$\boxed{\bigcup F_i = F}$$

Thus we get  $A \subseteq \mathcal{X}, \mu(A) > 0$  s.t.

$$\Theta[A] \subseteq \Delta(\mathcal{X}).$$

I.e.  $\forall x \in A \quad \Theta_S(x) = \Theta_{S'}(x)$ .

$\Theta_S$  and  $\Theta_{S'}$  are orbit equivalences

$$\therefore E_{S_1}|_A = E_{S_2}|_A \quad \perp. \quad \square$$

Back to our present context (Gaborian-Popa).

Take the usual linear action of  $SL_2(\mathbb{Z})$  on  $\mathbb{R}^2$ .

$\mathbb{Z}^2$  is  $SL_2(\mathbb{Z})$ -invariant.

Let  $p: \mathbb{R}^2 \rightarrow \mathbb{R}^2/\mathbb{Z}^2$  be the projection.

The  $SL_2(\mathbb{Z}) \curvearrowright \mathbb{R}^2$  action pushes down to  $SL_2(\mathbb{Z}) \curvearrowright \mathbb{R}^2/\mathbb{Z}^2$

$$M \cdot p(\vec{x}) = p(M \cdot \vec{x}).$$

There is an invariant Borel probability measure  
(basically, Lebesgue measure).

It is expansive, since for  $\epsilon$  small

$$SL_2(\mathbb{Z}) \curvearrowright \{(p(\vec{x}), p(\vec{y})) : d(\vec{x}, \vec{y}) < \epsilon\}$$

"resembles"  $SL_2(\mathbb{Z}) \curvearrowright \{\vec{x} \in \mathbb{R}^2 : |\vec{x}| < \epsilon\}$ .

Now take a suitable copy of  $\mathbb{F}_2 < SL_2(\mathbb{Z})$   
(fact from combinatorial group theory).

Can be done so  $\mathbb{F}_2 \curvearrowright \mathbb{R}^2/\mathbb{Z}^2$  expansive.

More details

$$\text{Let } A = \begin{bmatrix} 1 & 3 \\ 0 & 1 \end{bmatrix}, B = \begin{bmatrix} 1 & 0 \\ 3 & 1 \end{bmatrix}.$$

$\langle A, B \rangle \cong \mathbb{F}_2$  (combinatorial group theory)

$\mathbb{F}_2 \curvearrowright \mathbb{R}^2/\mathbb{Z}^2$  is expansive  
(linear algebra).

Then (Törnquist, see his JSL paper):

Can find  $(\psi_s)_{s \in [0,1]}$  s.t. at each  $s \in [0,1]$

(i)  $\psi_s : \mathbb{R}^2 / \mathbb{Z}^2$  measure preserving

(ii)  $\langle A, B, \psi_s \rangle \cong \mathbb{F}_3$  (in  $\text{Mod}(\mathbb{R}^2 / \mathbb{Z}^2)$ )

(iii) gives rise to a free action of  $\mathbb{F}_3$

and such that for  $s \neq t$

$$E_{\langle A, B, \psi_s \rangle} \neq E_{\langle A, B, \psi_t \rangle} \text{ a.e.}$$

Applying last theorem

get each  $E_{\langle A, B, \psi_t \rangle}$  o.e. to only countably many  $E_{\langle A, B, \psi_s \rangle}$

This gives Gaboriau-Popa for  $\mathbb{F}_3$  rather than  $\mathbb{F}_2$

- but "standard" technical tricks make possible  
the transition back to  $\mathbb{F}_2$ .

Some fanciful questions:

(1) Is there a connection between expansive actions  
and relative property (T)?

(2) Suppose  $\Gamma$  a countable group

$$\Delta \triangleleft \Gamma$$

an abelian normal subgroup  
with  $\Gamma$  having relative property (T).

Must  $\Gamma/\Delta$  admit an expansive action  
by automorphisms on a compact abelian group?

(3) Let  $\Gamma$  be non-amenable countable.

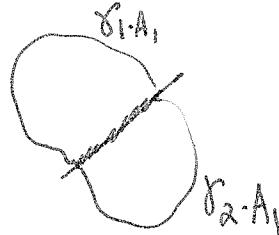
Does there exist  $\Delta$  and  $\Gamma \times \Delta$ ,  $\Delta$  abelian, infinite,  
 $\Gamma \times \Delta$  having relative property (T)?

Pf. of lemma on expansiveness:

Assume  $n=1$  for convenience.

Say  $\mathcal{F}_1 = \{\mathcal{F}_1, \mathcal{F}_2\}$

$$v(A_1) = v(\mathcal{F}_1 \cdot A_1) = v(\mathcal{F} \cdot A_1) = 1$$



$$v(\mathcal{F}_1 \cdot A_1) = v(\mathcal{F}_1 \cdot A_1 \cap \mathcal{F}_2 \cdot A_1) + v(\mathcal{F}_1 \cdot A_1 \setminus \mathcal{F}_2 \cdot A_1) \approx 1 \quad \text{--- } ①$$

$$v(\mathcal{F}_2 \cdot A_1) = v(\mathcal{F}_2 \cdot A_1 \cap \mathcal{F}_1 \cdot A_1) + v(\mathcal{F}_2 \cdot A_1 \setminus \mathcal{F}_1 \cdot A_1) \approx 1 \quad \text{--- } ②$$

$$v(\mathcal{F}_1 \cdot A_1 \cap \mathcal{F}_2 \cdot A_1) + v(\mathcal{F}_1 \cdot A_1 \setminus \mathcal{F}_2 \cdot A_1) + v(\mathcal{F}_2 \cdot A_1 \setminus \mathcal{F}_1 \cdot A_1) \approx 1 \quad \text{--- } ③$$

$$③ - ① \text{ gives } v(\mathcal{F}_2 \cdot A_1 \setminus \mathcal{F}_1 \cdot A_1) \approx 0$$

$$\text{similarly } v(\mathcal{F}_1 \cdot A_1 \setminus \mathcal{F}_2 \cdot A_1) \approx 0$$

The general case:

For any pair  $i, j$  (can get  $i \neq j$ )

$$v(\mathcal{F}_i \cdot A_1) = v(\mathcal{F}_i \cdot A_1 \cap \mathcal{F}_j \cdot A_1) + v(\mathcal{F}_i \cdot A_1 \setminus \mathcal{F}_j \cdot A_1) \approx 1$$

$$v(\mathcal{F}_j \cdot A_1) = v(\mathcal{F}_j \cdot A_1 \cap \mathcal{F}_i \cdot A_1) + v(\mathcal{F}_j \cdot A_1 \setminus \mathcal{F}_i \cdot A_1) \approx 1$$

$$\text{Then similarly, } v(\mathcal{F}_i \cdot A_1 \setminus \mathcal{F}_j \cdot A_1) \approx 0$$

$$\text{Let } B = \{x \mid \forall \delta \in F (\varphi_s(x, \delta) = \varphi_{s+1}(x, \delta))\}$$

let  $A = \emptyset[B]$ .  $v(A) \approx 1$  by assumption. ( $v(\Delta^2 \setminus A) \approx 0$ )

Given  $A'_i \subseteq A$  (note:  $v(A) \approx 1$ ), and  $\gamma \in F$ :

$$(\text{let } B'_i = \bar{\Theta}^{-1}[A'_i]).$$

$$(A'_i = \Theta[B'_i]).$$

For  $\delta \in \Delta$  let  $B_\delta = \{x \in B'_i \mid \varphi_\delta(x, \delta) = \varphi_{s+1}(x, \delta) = s\}$ .

$$B'_i = \bigcup B_\delta. \quad = \{x \in B'_i \mid \gamma \cdot \Theta(x) = \Theta(s \cdot x)\} \quad A_\delta = \Theta[B_\delta] \quad A'_i = \bigcup_{\delta \in \Delta} A_\delta$$

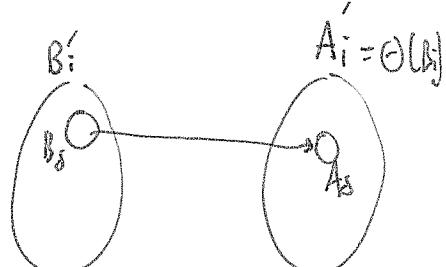
$$v(A'_i) = \mu(\bar{\Theta}^{-1}[A'_i]) = \mu(B'_i)$$

$$= \sum_{\delta \in \Delta} \mu(B_\delta)$$

$$= \sum_{\delta \in \Delta} \mu(s \cdot B_\delta)$$

$$= \sum_{\delta \in \Delta} \mu(\{s \cdot x \mid x \in B_\delta\})$$

$$= \sum_{\delta \in \Delta} v(\Theta[\{s \cdot x \mid x \in B_\delta\}]) \quad \text{since } \Theta \text{ 1-1}$$



since by defn

of  $x \in B_\delta$   
 $\Theta(s \cdot x) = \gamma \cdot \Theta(x)$

det. of  $A_\delta$

$$= \sum_{\delta \in \Delta} v(\gamma \cdot \Theta[\{x \mid x \in B_\delta\}]) = \sum_{\delta \in \Delta} v(\gamma \cdot A_\delta) = v\left(\bigcup_{\delta \in \Delta} \gamma \cdot A_\delta\right) \text{ by disjointness}$$

$$= v(\gamma \cdot \bigcup_{\delta \in \Delta} A_\delta) = v(\gamma \cdot A'_i).$$